

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Graham, James L	2. Court or Organization U.S. District Court, SD/OH	3. Date of Report 5/24/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination, Date 9/26/1986 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 169 Joseph P. Kinneary U.S. Co 85 Marconi Boulevard Columbus, Ohio 43215	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. **General Partner**

Graham [REDACTED] Partnership

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 10/03	The Ohio State University - Teaching Fee	675.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1. National City Mortgage Co. Columbus,
OH

Mortgage on Rental Property - Item 2 - Part VII

L

2. Farm Credit Services of Mid- America,
ACA - Louisville, KYMortgage on Farm Land - owned by Graham Family Partnership - Item 3 -
Part VII

L

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Apartment Buildings - Gahanna, OH	F	Rent	O	R					
2. Rental Home - Dublin, OH	A	Rent	N	R					
3. Graham Partnership - Farm Land (acres)	D	Rent	O	Q					
4. HNB - Checking & Savings Accounts	B	Interest	J	T					
5. Gold and Silver Coins		None	K	T					
6. The following assets are held by Merrill Lynch in account									
7. of James L. Graham:									
8. Merrill Lynch Bank - Money Market Fund	A	Interest	M	T					
9. Managed Muny Portfolio, Inc. Closed End Fund	D	Interest	L	T					
10. Ohio St. Higher EdL - 3.95% Due 05/01/03	A	Interest			Matured	05/12	K		
11. Ohio StWtr Dev. AU Purp Tax - 5.75% Due 06/01/03	B	Interest			Matured	06/01	K		
12. Ohio St. Water Dev. Auth. POLTB CLT - 4.65% Due 06/01/03	A	Interest			Matured	06/01	K		
13. Columbus, OH Var. Purp - 5% Due 06/15/03	B	Interest			Matured	06/15	L		
14. Ohio St. Common Schs Cap Facs - 5.25% Due 06/15/03	A	Interest			Matured	06/15	K		
15. Ohio St. Bldg. Auth. Vern Riffe Ctr. - 4.5% Due 10/01/03	A	Interest			Matured	10/01	K		
16. Southwest Loc. Sch. Dist. Oh. Ham. Cty. - 4.1% Due 12/01/05	B	Interest	K	T					
17. Lorain Ohio Swr Sys - 5.1% Due 04/01/06	B	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) -	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Ohio St. Hwy Cap Impt - 4.25% Due 05/01/06	A	Interest	K	T					
19. Franklin Cty, OH Riverside Meth Hosp - 5.6% Due 05/15/06	C	Interest	L	T					
20. Ohio HSG Res Mtg Rev - 4.9% Due 09/01/06	B	Interest	K	T					
21. Ohio HSG Res Mtg Rev - 4.9% Due 09/01/06	A	Interest			Redemption	11/06	J		
22. Ohio HSG Res Mtg Rev - 3.45% Due 09/01/06	B	Interest	L	T					
23. Ohio HSG Res Mtg Rev - 3.45% Due 09/01/06	A	Interest			Redemption	09/02	J		
24. Ohio HSG Mtg Rev Sec C - 4.7% Due 09/01/06	B	Interest	K	T					
25. Ohio HSG Mtg Rev Sec C - 4.7% Due 09/01/06	A	Interest			Redemption	09/02	J		
26. Ohio HFA Res Mtg GNMA - 5.55% Due 09/01/07	A	Interest	J	T					
27. Ohio HFA Res Mtg GNMA - 5.55% Due 09/01/07	A	Interest			Redemption	03/03	J		
28. Ohio HFA Res Mtg GNMA - 5.55% Due 09/01/07	A	Interest			Redemption	11/03	J		
29. Ohio St WTR CEV Auth - 5.25% Due 06/01/11	C	Interest	L	T					
30. Portage Cty Oh MBIA - 5.25% Due 12/01/17	C	Interest	K	T					
31. Ohio St Bldg Auth Adult Corr - 5.25% Due 10/01/18	B	Interest	K	T					
32. Cuyahoga Cty Oh Hosp Rev - 3.9% Due 01/01/04	A	Interest	K	T					
33. Miamisburg Mun Golf Course - 4.3% Due 12/01/04	B	Interest	K	T					
34. South Euclid Oh Impr - 4.25% Due 12/01/04	A	Interest	K	T					
35. Ohio ST PUB FCS CMM Higher ED - 5% Due 05/01/05	B	Interest	K	T					

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	Amount Code 1 (A -H)	Type (e.g. div. rent. or i	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
36. Dayton Oh Good Samaritan Hosp - 6% Due 12/01/05	A	Interest	J	T					
37. Cleveland Oh Cty SD Impr - 6% Due 12/01/06	B	Interest	K	T					
38. Amberley OH LT EBD - 4.5% Due 12/01/03	B	Interest			Matured	12/01	K		
39. Mentor OG LT - 4.8% Due 12/01/03	B	Interest			Matured	12/1	K		
40. Capital One Bank CD - 2.1% Due 06/22/05		Interest	L	T	Buy	12/08	L		
41. Toledo OH CAP IMPR BD - 4% Due 12/01/18	A	Interest	K	T	Buy	07/14	K		
42. Newark Oh WTR SYS IMPT - 6.25% Due 12/01/07	A	Interest	K	T	Buy	12/12	K		
43. The following assets are held by Merrill Lynch as IRA									
44. Custodian for James L. Graham:									
45. Merrill Lynch Bank USA Money Market Fund	A	Dividend	J	T					
46. Astropower, Inc.		None	J	T					
47. Ballard Power Systems, Inc.		None	J	T					
48. Capstone Turbine Corp.		None	J	T					
49. Electrosorce, Inc.		None	J	T					
50. Energy Conversion Devices, Inc.		None	J	T					
51. Plug Power, Inc.		None	J	T					
52. Red Hat, Inc.		None	J	T					
53. VA Software Corp (Formerly VA Linux Systems, Inc.)		None	J	T					

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|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
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54. NASDAQ 100 Mutual Fund	A	Dividend	J	T					
55. Occidental Petro Corp Note - Due 04/01/03	A	Interest			Matured	04/03	J		
56. Houston Ltg & Pwr Co Note - Due 04/21/03	A	Interest			Matured	04/21	K		
57. Saloman, Inc. Med Term Note - Due 05/15/03	A	Interest			Matured	05/15	J		
58. First Bank USA CD - Due 01/13/03	B	Interest			Matured	01/13	K		
59. MBNA American Bank CD - Due 01/14/03	B	Interest			Matured	01/14	L		
60. Providian National Bank CD - Due 01/12/04	C	Interest	L	T					
61. Capital One F.S.B. Va CD - Due 11/29/04	C	Interest	L	T					
62. First Bank USA CD - Due 03/01/05	B	Interest	K	T					
63. Daimler Chrysler NA Note - Due 05/15/05	C	Interest	L	T					
64. G.M. Accept Corp Note - Due 11/15/05	B	Interest	K	T					
65. Capital One F.S.B. CD - Due 05/30/06	A	Interest	J	T					
66. MBNA American Bank CD - Due 05/30/06	A	Interest	K	T					
67. Western Bank of P.R. CD - Due 03/12/04	B	Interest	L	T	Buy	03/05	L		
68. G.M. Accept Corp Note - Due 01/15/05	B	Interest	K	T	Buy	01/16	K		
69. Ford Motor Credit Note - Due 02/22/05	C	Interest	K	T	Buy	02/07	K		
70. Ford Motor Credit Note - Due 06/20/06	B	Interest	K	T	Buy	06/13	K		
71. G.M. Accept Corp Note - Due 06/15/06	A	Interest	K	T	Buy	06/19	K		

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	(1) - Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
72. The following assets are held by Merrill Lynch as IRA									
73. Custodian for [REDACTED]									
74. Merrill Lynch Bank USA Money Market Fund	A	Interest	K	T					
75. US Government Bonds - Zero Coupon - Due 11/15/03	A	Interest			Matured	11/15	K		
76. Lehman Brothers Bank FSB CD - Due 06/21/04	B	Interest	K	T					
77. The following assets are held by Merrill Lynch as a Retail									
78. account for [REDACTED]									
79. Merrill Lynch Bank USA Money Market Fund	A	Dividend	J	T					
80. Eaton Vance OH Tax Exempt Mutual Fund	B	Dividend	K	T					
81. The following assets are held by Fifth Third Securities, Inc									
82. as IRA Custodian For [REDACTED]									
83. AIM Constellation Mutual Fund	A	Dividend	J	T					
84. The following assets are held by Merrill Lynch in the names									
85. of James L. Graham & [REDACTED] as joint tenants WROS:									
86. Merrill Lynch Bank USA Money Market Fund	A	Dividend	J	T					
87. Notes Receivable-Benevolent Landlord	B	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part VII, page 1, Line 3: The date of the appraisal was June 30, 1992 with a valuation date of December 22, 1991.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 24, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544