

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

COPY
Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Paez, Richard A.	2. Court or Organization U.S. Court of Appeals for the Ninth Circuit	3. Date of Report 06/14/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Court of Appeals 125 S. Grand Avenue, Ste. 300 Pasadena, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Western Justice Center
2. Board of Directors	Association of Business Trial Lawyers
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 9/98	California Judges Retirement System-Deferred Retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Cedars Sinai Medical Center
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York University	10/27/2009	NY, NY	Root-Tilden-Root Scholarship Program	Travel, Housing, Meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Sallie Mae	Student Loans	K
2. Chase Nat'l Bank	Credit card	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Magellan	A	Dividend	J	T					
2. Fidelity Cash Reserves (IRA)	A	Dividend	J	T	Sold (part)	01/05/09	J	A	
3. Fidelity Cash Reserves (IRA)	A	Dividend	J	T	Buy (add'l)	01/26/09	L		
4. Fidelity Cash Reserves (IRA)	A	Dividend	J	T	Sold (part)	03/02/09	J	A	
5. Fidelity Short Term Bond (IRA)	B	Dividend	K	T	Sold (part)	01/26/09	J	A	
6. Fidelity Inter Bond (IRA)	A	Dividend			Sold (part)	01/15/09	K	A	
7. Fidelity Inter Bond (IRA)	A	Dividend			Sold	01/26/09	K	A	
8. Fidelity Govt Income (IRA)	D	Dividend	K	T	Sold (part)	08/05/09	K	A	
9. Fidelity CA Muni MM	A	Dividend	J	T	Sold (part)	04/06/09	J	A	
10. Cedars Sinai Med Ctr 403b - ING *See Note in Part VII	A	Dividend	L	T					
11. - Vanguard Target Retirement-2025					Buy/Month	01/01/09	J		
12. - SSGA S&P Index Fund					Buy/Month	01/01/09	J		
13. - Vanguard Midcap Index Fund					Buy/Month	01/01/09	J		
14. Fidelity Cash Reserves IRA	A	Dividend	J	T					
15. Fidelity PAS (IRA) ** See Note	D	Dividend	N	T					
16. - Fidelity Diversified Int'l			J	T	Sold (part)	02/13/09	J		
17. - Fidelity Diversified Int'l					Buy (add'l)	11/17/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Fidelity Diversified Int'l					Sold (part)	11/04/09	J		
19. - Fidelity Diversified Int'l					Sold (part)	12/16/09	J		
20. - Fidelity Advisor Diversified					Sold	09/08/09	J	A	
21. - Fidelity Real Estate Inv.					Sold	02/25/09	J	A	
22. - Fidelity Low Priced Stock			J	T	Sold (part)	04/08/09	J	A	
23. - Fidelity Low Priced Stock					Sold (part)	07/10/09	J	A	
24. - Fidelity Low Priced Stock					Sold (part)	08/07/09	J	A	
25. - Fidelity Low Priced Stock					Buy	11/04/09	J		
26. - Fidelity Advisor New Insights CL					Sold	07/10/09	J	A	
27. - Fidelity Advisor New Insights CL					Sold	08/07/09	J	A	
28. - Fidelity Growth Comp.			J	T	Buy	04/08/09	J		
29. - Fidelity Growth Comp.					Sold (part)	07/10/09	J	A	
30. - Fidelity Growth Comp.					Sold (part)	08/0/09	J	A	
31. - Am Beacon Lge Cap Val Plan					Buy (add'l)	07/10/09	J		
32. - A, Beacon Lge Cap Val Plan					Sold (part)	11/04/09	J	A	
33. - William Blair Int'l Growth CL N			J	T	Sold (part)	05/22/09	J	A	
34. - William Blair Int'l Growth CL N					Buy	07/10/09	J		

1. Income Gain Codes.
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - William Blair Int'l Growth CL N					Sold (part)	11/09/09	J	A	
36. - Causeway Int'l Val. Investor					Sold (part)	11/04/09	J	A	
37. - EATON VANCE Large Cap Val					Sold	08/07/09	J	A	
38. - John Hancock US Global Leaders Growth CL A					Sold (part)	01/09/09	J	A	
39. - John Hancock US - Global Leaders Growth CL A					Sold (part)	08/07/09	J	A	
40. - Oakmark Fund I			J	T	Sold (part)	01/14/09	J	A	
41. - Oakmark Fund I					Sold (part)	01/16/09	J	A	
42. - Oakmark Fund I					Sold (part)	07/10/09	J	A	
43. - Oakmark Fund I					Sold (part)	11/04/09	J	A	
44. - Hartford Cap Appreciation CL A			J	T	Sold (part)	02/13/09	J	A	
45. - Hartford Cap Appreciation CL A					Sold (part)	03/27/09	J	A	
46. - Hartford Cap Appreciation CL A			J	T	Sold (part)	05/22/09	J	A	
47. - Hartford Cap Appreciation CL A					Sold (part)	08/07/09	J	A	
48. - Janus Fund			J	T	Sold (part)	02/13/09	J	A	
49. - Janus Fund					Sold (part)	03/13/09	J	A	
50. - Janus Fund					Sold (part)	07/10/09	J	A	
51. - Janus Fund					Sold (part)	08/07/09	J	A	

1. Income Gain Codes
(See Columns B1 and D1)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
U = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	- Perkins Mid Cap Value Inv. (name change was Janus Perkins)			J	T	Sold (part)	05/22/09	J	A	
53.	- Perkins Mid Cap Value Inv.			J	T	Sold (part)	07/10/09	J	A	
54.	- Perkins Mid Cap Value Inv.					Sold (part)	08/07/09	J	A	
55.	- Perkins Mid Cap Value Inv.			J	T	Sold (part)	12/16/09	J	A	
56.	- Legg Mason Partners Lg Cap Growth A			J	T	Sold (part)	01/09/09	J	A	
57.	- Legg Mason Partners Lg Cap Growth A					Sold (part)	03/13/09	J	A	
58.	- Legg Mason Partners Lg Cap Growth A					Sold (part)	07/10/09	J	A	
59.	- Legg Mason Partners Lg Cap Growth A					Sold (part)	08/07/09	J	A	
60.	- Masico Focus			J	T	Sold (part)	03/13/09	J	A	
61.	- Masico Focus					Sold (part)	07/10/09	J	A	
62.	- Masico Focus					Sold (part)	08/07/09	J	A	
63.	- Morgan Stanley Inst Inc. Int'l Equity B			J	T	Buy	08/07/09	J		
64.	- Morgan Stanley Inst Inc. Int'l Equity B					Sold (part)	11/04/09	J	A	
65.	- Morgan Stanley Inst Inc. Int'l Equity B					Sold (part)	12/16/09	J	A	
66.	- Pimco Commod Real Ret Strat Admin CL					Buy	05/22/09	J		
67.	- T.Rowe Price Value Fund Advisor					Sold	12/08/09	J	A	
68.	- Selected Am Shares			J	T	Buy	03/13/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Selected Am Shares					Sold (part)	03/27/09	J	A	
70. - Selected Am Sheres					Sold (part)	05/22/09	J	A	
71. - Selected Am Shares			J	T	Sold (part)	07/10/09	J	A	
72. - Selected Am Shares					Sold (part)	08/07/09	J	A	
73. - Selected Am Shares					Sold (part)	12/16/09	J	A	
74. - Fidelity Cap & Income			J	T	Sold (part)	05/19/09	J	A	
75. - Fidelity Cap & Income					Sold (part)	07/10/09	J	A	
76. - Fidelity Cap & Income					Sold (part)	11/04/09	J	A	
77. - Fidelity Short Term Bond			J	T	Buy (add'l)	03/13/09	J		
78. - Fidelity Short Term Bond					Buy (add'l)	05/22/09	J		
79. - Fidelity Short Term Bond					Sold (part)	07/10/09	J	A	
80. - Fidelity Short Term Bond					Buy (add'l)	08/07/09	J		
81. - Fidelity Short Term Bond					Sold (part)	11/04/09	J	A	
82. - Fidelity Total Bond			J	T	Buy (add'l)	01/09/09	J		
83. - Fidelity Total Bond					Sold (part)	02/18/09	J	A	
84. - Fidelity Total Bond					Sold (part)	03/13/09	J	A	
85. - Fidelity Total Bond					Buy (add'l)	03/27/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. - Fidelity Total Bond					Buy (add'l)	05/22/09	J		
87. - Fidelity Total Bond					Buy (add'l)	07/10/09	J		
88. - Fidelity Total Bond					Buy (add'l)	08/07/09	J		
89. - Fidelity Total Bond					Sold (part)	11/04/09	J	A	
90. - Fidelity Total Bond					Sold (part)	11/16/09	J	A	
91. - Pimco High Yield Admin Shs			J	T	Sold (part)	05/22/09	J	A	
92. - Pimco High Yield Admin Shs					Sold (part)	07/10/09	J	A	
93. - Pimco Total Return Admin Shs					Sold (part)	01/09/09	J	A	
94. - Pimco Total Return Admin Shs					Sold (part)	02/13/09	J	A	
95. - Pimco Total Return Admin Shs			J	T	Buy (add'l)	03/27/09	J		
96. - Pimco Total Return Admin Shs					Buy (add'l)	04/08/09	J		
97. - Pimco Total Return Admin Shs					Buy (add'l)	05/22/09	J		
98. - Pimco Total Return Admin Shs					Buy (add'l)	08/07/09	J		
99. - Pimco Total Return Admin Shs					Sold (part)	11/04/09	J	A	
100. - Pimco Total Return Admin Shs					Sold (part)	12/16/09	J	A	
101. - Pimco Short Term Admin Shs			J	T	Sold (part)	03/13/09	J	A	
102. - Pimco Short Term Admin Shs					Sold (part)	03/27/09	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)

A = \$1,000 or less
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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

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Date of Report

06/14/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - Pimco Short Term Admin Shs					Buy (add'l)	05/22/09	J		
104. - Pimco Foreign Bond Fund					Sold	05/04/09	J	A	
105. - T.Rowe Price High Yield Advisor CL					Buy (add'l)	01/09/09	J		
106. - T Rowe Price High Yield Advisor CL					Sold (part)	03/13/09	J	A	
107. - Harbor Int'l Admin			J	T	Buy (add'l)	07/10/09	J		
108. - Harbor Int'l Admin					Buy (add'l)	08/07/09	J		
109. - Harbor Int'l Admin					Sold (part)	11/04/09	J	A	
110. - Harbor Int'l Admin					Sold (part)	12/16/09	J	A	
111. - JP Morgan US Lg Cap Core			J	T	Buy (add'l)	03/13/09	J		
112. - JP Morgan US Lg Cap Core					Buy (add'l)	07/10/09	J		
113. - JP Morgan US Lg Cap Core					Buy (add'l)	08/07/09	J		
114. - Manning & Napier World Oppt Ser.					Buy (add'l)	07/10/09	J		
115. - Manning & Napier World Oppt Serv					Buy (add'l)	08/07/09	J		
116. - Victory Diversified Stk Class A					Buy (add'l)	05/22/09	J		
117. Spartan 500 Index Fund Adv Class	A	Dividend			Sold	09/09/09	J	A	
118. Fidelity Pas Small Cap Fund of Funds	A	Dividend	J	T	Buy (add'l)	01/09/09	J		
119. Fidelity Pas Small Cap Fund of Funds	A	Dividend			Buy (add'l)	02/13/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

V = Other

W = Estimated

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Name of Person Reporting

Paez, Richard A.

Date of Report

06/14/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity Pas Small Cap Fund of Funds	A	Dividend			Buy (add'l)	12/28/09	J		
121. Fidelity Int'l Discov	A	Dividend	J	T	Buy	07/10/09	J		
122. Fidelity Int'l Discov	A	Dividend			Buy (add'l)	08/07/09	J		
123. Fidelity Int'l Discov	A	Dividend			Sold (part)	12/06/09	J	A	
124. Fidelity Emerging Markets	A	Dividend	J		Buy	07/10/09	J		
125. Fidelity Emerging Markets	A	Dividend			Buy (add'l)	08/07/09	J		
126. Fidelity Emerging Markets	A	Dividend			Buy (add'l)	11/04/09	J		
127. Fidelity Strategic Admisors Val Fd	A	Dividend	J	T	Buy	01/09/09	J		
128. Fidelity Strategic Admisors Val Fd	A	Dividend			Buy (add'l)	01/14/09	J		
129. Fidelity Strategic Admisors Val Fd	A	Dividend			Buy (add'l)	01/16/09	J		
130. Fidelity Strategic Admisors Val Fd	A	Dividend			Buy (add'l)	02/13/09	J		
131. Fidelity Strategic Admisors Val Fd	A	Dividend			Buy (add'l)	12/16/09	J		
132. Fidelity Strategic Admisors Val Fd	A	Dividend			Buy (add'l)	12/18/09	J		
133. Fidelity Strategic Admisors Val Fd	A	Dividend			Buy (add'l)	12/21/09	J		
134. John Hancock Classic Value CL	A	Dividend	J	T	Buy	05/22/09	J		
135. John Hancock Classic Value CL	A	Dividend			Buy (add'l)	07/10/09	J		
136. John Hancock Classic Value CL	A	Dividend			Sold (part)	11/04/09	J	A	

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
B = \$50,001 - \$100,000

B = \$1,001 - \$2,500
C = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
D = \$5,001 - \$15,000

D = \$5,001 - \$15,000
E = \$15,001 - \$50,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
K = \$250,001 - \$500,000

K = \$15,001 - \$50,000
L = \$50,001 - \$100,000

L = \$50,001 - \$100,000
M = \$100,001 - \$250,000

M = \$100,001 - \$250,000
N = \$250,001 - \$500,000

N = \$250,001 - \$500,000
O = \$500,001 - \$1,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
R = Book Value

P = Cost (Real Estate Only)
Q = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Paez, Richard A.

Date of Report

06/14/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Royce Penn Mut Fd	A	Dividend	J	T	Buy	07/10/09	J		
138. Royce Penn Mut Fd	A	Dividend			Buy (add'l)	08/07/09	J		
139. Fidelity PAS Core Income Fund of Funds	A	Dividend	J	T	Buy	12/16/09	J		
140. Franklin Gold and Precious Metals	A	Dividend	J	T	Buy	03/27/09	J		
141. Franklin Gold and Precious Metals	A	Dividend			Buy (add'l)	04/08/09	J		
142. Franklin Gold and Precious Metals	A	Dividend			Sold (part)	11/04/09	J		
143. Templeton Global Bond Class A	A	Dividend	J	T	Buy	05/22/09	J		
144. Templeton Global Bond Class A	A	Dividend			Buy (add'l)	05/26/09	J		
145. Templeton Global Bond Class A	A	Dividend			Buy (add'l)	05/27/09	J		
146. Fidelity Money Market	A	Dividend	J	T	Buy	07/10/09	J		
147. Fidelity Money Market	A	Dividend			Sold (part)	08/18/09	J	A	
148. Fidelity Money Market	A	Dividend			Buy (add'l)	11/04/09	J		
149. Fidelity Money Market	A	Dividend			Sold (part)	11/24/09	J	A	
150. Fidelity Money Market	A	Dividend			Buy (add'l)	12/16/09	J		
151.									
152.									
153.									

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pacz, Richard A.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII Investments and Trusts (cont'd)

* [REDACTED] makes a monthly contribution to her Cedars Sinai Medical Center 403(b) Plan.

* Fidelity Portfolio Advisory Services manages [REDACTED] IRA.

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Name of Person Reporting

Paez, Richard A.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544