

MAR - 1, 2004

AO-10 (WP)
Rev. 1/2004FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2003Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

COPY

1. Person Reporting (Last name, first, middle initial) CONNER, WILLIAM C.	2. Court or Organization United States District Court Southern District of New York	3. Date of Report 03/05/04
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final	6. Reporting Period 01/01/03 to 12/31/03
7. Chambers or Office Address United States Courthouse 300 Quarropas Street, Room 630 White Plains, New York 10601-4150	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☒ NONE (No reportable positions.)

1

2

3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements.)

1

2

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

1

2

3

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ NONE (No reportable non-investment income.)

1

2

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IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)SOURCEDESCRIPTION☒ X

NONE (No such reportable reimbursements.)

1

2

3

4

5

6

7

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE☒ X

NONE (No such reportable gifts.)

1

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☒ X

NONE (No reportable liabilities.)

1

2

3

4

5

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000 P3=25,000,001-50,000,000 P4=50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 TYCO Int'l Common Stock	A	Div.	M	T					
2 SPS Technologies " "					Transfer (Merger)	7/1	L		
3 Precision Casting" "	A	"	L	T	Acquire (Merger)	7/1	L		
4 Merichem Corp. " "	A	"	L	U					
5 N.Y. Dorm. Auth. Bonds	B	"	K	T					
6 N.Y. Med. Care Facil. "	D	"	M	T					
7 N.Y. Dorm. Auth. "	B	"	K	T					
8 N.Y. Trans. Fin. Auth. "	C	"	L	T					
9 N.Y. Dorm. Auth. "	B	"	K	T					
10 N.Y. Loc. Gov. Asst. "	B	"	K	T	Partial Redemp.	4/1	J		
11 N.Y. Med. Care Facil. "	D	"	M	T					
12 N.Y. Loc. Gov. Asst. "	D	"	M	T					
13 N.Y.C. Ser. G "	B	"	K	T					
14 N.Y.C. Ser. J "	B	"	K	T					
15 N.Y. UDC Correc. Fac. "	B	"	K	T					
16 N.Y. Energy R&D "	B	"	K	T					
17 N.Y. Dorm. Auth. "	B	Int.			Sell	10/24	K		

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
¹ N.Y. Trans. Fin. Auth. Bonds	B	Int.	L	T					
² N.Y. Dorm. Auth. "	B	"			Sell	10/24	K		
³ N.Y.C. Water Auth. "	D	"	N	T					
⁴ L.I. Power Auth. "	B	"			Redemp.	6/1	K		
⁵ N.Y. Dorm. Auth. "	B	"	K	T					
⁶ L.I. Power Auth. "	B	"			"	6/1	K		
⁷ N.Y.C. Trans. Fin. Auth. "	B	"	K	T					
⁸ N.Y. Dorm. Auth. "	C	"	L	T					
⁹ N.Y.C. Ind. Devel. Agy. "	C	"			Sell	11/7	L		
¹⁰ N.Y. Dorm. Auth. "	C	"	L	T					
¹¹ N.Y. Dorm. Auth. "	C	"	L	T					
¹² N.Y. Dorm. Auth. "	C	"	L	T					
¹³ L.I. Power Auth. "	C	"	L	T					
¹⁴ Port Authority	C	"	L	T					
¹⁵ N.Y. Mtg. Agy. "	C	"			"	11/7	L		
¹⁶ N.Y.C. Water Auth. "	D	"	M	T					
¹⁷ N.Y.C. Water Auth. "	D	"	M	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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☐ NONE (No reportable income,									
¹ N.Y.C. Water Auth. Bonds	C	Int.	L	T					
² N.Y. Dorm. Auth. "	C	"	L	T					
³ N.Y. Dorm. Auth. "	C	"	L	T					
⁴ N.Y. Dorm. Auth. "	D	"	M	T					
⁵ N.Y. Med. Care Facil. "	C	"	L	T					
⁶ N.Y. Urban Dev. Corp. "	B	"			Sell	10/24	L		
⁷ N.Y. Dorm. Auth. "	D	"	M	T					
⁸ N.Y. Dorm. Auth. "	D	"	N	T					
⁹ N.Y. Dorm. Auth. "	D	"	M	T					
¹⁰ Triboro B & T Auth. "	C	"	L	T					
¹¹ Met. Trans. Auth. "	D	"	M	T					
¹² Met. Trans. Auth. "	D	"	M	T					
¹³ Met. Trans. Auth. "	C	"	L	T					
¹⁴ N.Y. Dorm. Auth. "	D	"	M	T					
¹⁵ N.Y. Urban Dev. Corp. "	C	"	L	T					
¹⁶ Met. Trans. Auth. "	D	"	M	T					
¹⁷ Triboro B & T Auth. "	C	"	L	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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☐ NONE (No reportable income,									
¹ Port Authority Bonds	C	Int.	L	T					
² N.Y. Dorm. Auth. "	B	"	L	T	Buy	1/30	L		
³ Triboro B & T Auth. "	B	"	L	T	"	2/3	L		
⁴ N.Y. Dorm. Auth. "	B	"	L	T	"	2/5	L		
⁵ N.Y. Dorm. Auth. "	B	"	L	T	"	4/1	L		
⁶ N.Y. Environ. Fac. "	B	"	L	T	"	4/2	L		
⁷ Port Authority "	B	"	M	T	"	5/1	M		
⁸ N.Y. Gen. Oblig. "	B	"	L	T	"	6/4	L		
⁹ N.Y. Dorm. Auth. "	B	"	L	T	"	8/5	L		
¹⁰ Triboro B & T Auth. "	B	"	M	T	"	9/3	M		
¹¹ Triboro B & T Auth. "	B	"	M	T	"	10/24	M		
¹² N.Y.C. Trans.Fin.Auth. "	B	"	M	T	"	10/27	M		
¹³ L.I. Power Auth. "	A	"	L	T	"	11/5	L		
¹⁴ Triboro B & T Auth. "	A	"	M	T	"	11/7	M		
¹⁵ N.Y.C. Mun. Wtr. Auth. "	A	"	M	T	"	12/2	M		
¹⁶ Triboro B & T Auth. "	A	"	M	T	"	12/16	M		
¹⁷ N.Y.C. Mun. Wtr. Auth. "	A	"	K	T	"	12/31	K		

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☐ NONE (No reportable income,									
1 First Trust Ins.Munic.:Bonds									
2 Series 19	A	Int.	J	T					
3 Series 22	A	"	J	T					
4 Insured Munic.Inc.Trust:Bonds									
5 Series 21	A	"	J	T					
6 Series 64	A	"	J	T					
7 Series 73	A	"	J	T	Partial Redemp.	9/25	J		
Empire Gtd.Mun.ExTrust:Bonds									
9 Series 36	A	"	J	T					
10 Series 41	A	"	J	T	"	6/1	J		
11 Series 47	A	"			Redemp.	9/15	J		
12 Series 58	B	"	J	T					
13 Series 59	B	"			"	10/1	J		
14 Series 63	A	"	J	T					
15 Series 64	A	"	J	T	Partial Redemp.	8/1	J		
16 Series 74	A	"	K	T	"	8/1	J		
17 Series 80	A	"	J	T					

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2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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☐ NONE (No reportable income,									
1 Series 82	A	Int.			Redemp.	4/1	J		
2 Series 84	A	"	J	T					
3 Series 87	B	"	K	T	"	12/1	J		
4 Series 91	B	"	J	T	Partial Redemp.	6/1 12/1	J		
5 Series 95	A	"			Redemp.	12/1	K		
6 Series 96	A	"			"	5/30	K		
7 Series 98	C	"	K	T	Partial Redemp.	2/1 5/1	K		
8 Series 99	C	"			Redemp.	7/1	K		
9 Series 100	C	"	J	T	Partial Redemp.	8/1 10/5	K		
10 Series 101	B	"			Redemp.	4/1 5/1	J		
11 Series 102	B	"	J	T	Partial Redemp.	4/1	J		
12 Series 104	C	"	L	T					
13 Series 105	B	"	K	T					
14 Series 106	B	"	K	T					
15 Series 107	D	"	M	T	"	6/1	J		
16 Series 108	C	"	L	T					
17 Series 109	C	"	K	T	"	10/15	J		

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☐ NONE (No reportable income,									
1 Series 110	C	Int.	K	T					
2 Series 111	B	"	J	T	Partial Redemp.	4/1	J		
3 Series 112	C	"	K	T	"	6/1	J		
4 Series 113	D	"	L	T	"	2/1 4/1	K		
5 Series 114	C	"	K	T	"	6/1	J		
6 Series 115	B	"	J	T	"	4/1	J		
7 Series 116	D	"	L	T	"	2/1 4/1	K		
8 Series 118	C	"	K	T	"	10/15	J		
9 Series 119	C	"	K	T	"	12/1	K		
10 Series 120	B	"	K	T	"	10/1	J		
11 Series 122	D	"	L	T					
12 Series 124	D	"	M	T	"	6/1	J		
13 Series 125	C	"	L	T					
14 Series 126	D	"	L	T	"	8/15	K		
15 Series 127	D	"	L	T	"	2/1	K		
16 Series 128	B	"	K	T	"	7/15	K		
17 Series 129	D	"	L	T	"	2/7	K		

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A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 Series 130	B	Int.	K	T					
2 Series 131	C	"	L	T					
3 Series 132	D	"	L	T	Partial Redemp.	5/1 10/15	K		
4 Series 133	D	"	M	T	"	10/15 12/1	J		
5 Series 134	B	"	K	T					
6 Series 135	D	"	L	T	"	8/15	K		
7 Series 136	C	"	L	T	"	5/1	J		
8 Series 137	C	"	K	T					
9									
10									
11 The Bank of New York ^{1/}	A	Int.	L	T					
12 Astoria Federal Savings ^{2/}	A	"	J	T					
13 Farm, Itasca, Texas	A	Rent	K	W					
14									
15 ^{1/} Accounts in names of [REDACTED] self at Dobbs Ferry, N.Y. Branch.									
16 ^{2/} Savings account in [REDACTED] at Hastings N.Y. Branch.									
17									

1 Income/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(See Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=More than \$5,000,000

2 Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
(See Col. C1, D3) N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000
P3=\$25,000,001-\$50,000,000 P4=More than \$50,000,000

3 Value Method Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(See Col. C2) U=Book value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

WILLIAM C. CONNER

Date of Report

03/05/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date March 5, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544