

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Jack, Janis G.	2. Court or Organization U. S. District Court	3. Date of Report 4/20/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 1133 N. Shoreline Blvd. Room 321 Corpus Christi, TX 78401	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

RECEIVED
200 APR 23 AM 10:10
FEDERAL
JUDICIAL CENTER

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Jack, Janis G.

Date of Report

4/20/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Medical Practice
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 23-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Aspen Institute, Inc.	Jan. 30-Feb. 1, 2009	Manhasset, NY	Attend Intl Human Rights & Humanitarian Laws: Application in Natl Jurisprudence	Transportation and Lodging Reimbursement
2. American Bar Association	Sept. 11, 2009	Houston, TX	Speak at conference	Lodging Reimbursement
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Jack, Janis G.

Date of Report

4/20/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Jack, Janis G.

Date of Report

4/20/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FROST NATL. BANK	A	Interest	J	T					
2. NAVY FEDERAL CREDIT UNION	A	Interest	J	T					
3. 2.587497% PARTNER, MEDICAL PLAZA ASSOC. P/S	B	Distribution			Closed	12/31/09	J		
4. [REDACTED] PROFIT SHARING PLAN									
5. AIM STIC PRIME INSTITUTIONAL* E/k/a SHORT TERM PRIME-I	D	Dividend	M	T					
6. PIMCO TOTAL RETURN FUND - A	A	Dividend	P1	T					
7. US SVGS BDS SER I	B	Interest	K	T					
8. US SVGS BDS SER I	B	Interest	K	T					
9. WINDSTREAM CORP. COMMON STOCK		None	K	T					
10. AMERICAN BEACON LG CAP INST		None	L	T					
11. MAINSTAY LARGE CAP GROWTH FD I		None	K	T					
12. THORNBURG INTL VALUE FUND INSTL CL *		None	K	T					
13. THORNBURG VALUE FUND CLASS I*		None	L	T					
14. PIMCO FOREIGN BD (USD HEDGED) - INSTL *		None	M	T					
15. VANGUARD SHORTTERM INV GRADE INSTL		None	O	T					
16. PUTNAM US GOVT INC TR IRA FROST BROKERAGE SVCS									
17. PUTNAM INTL GROWTH FD CL A		None	L	T					

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,001 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 5 of 8

Name of Person Reporting
Jack, Janis G.

Date of Report
4/20/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., dividend, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PUTNAM US GOVT INC TR IRA - FROST BROKERAGE SVCS:									
19. PUTNAM INTL GROWTH FD CL A		None	K	T					
20. CASH VALUE OF LIFE INSURANCE:									
21. MUTUAL OF NEW YORK	A	Interest	K	T					
22. MASSACHUSETTS MUTUAL	A	Dividend	J	T					
23. LINCOLN NATL LIFE INS CO	A	Dividend	J	W					
24. LINCOLN NATL LIFE INS CO	A	Dividend	J	W					
25. AMERICAN BANK	A	Interest			Matured	06/15/09	J		
26. AMERICAN BANK	A	Interest	L	T					
27. AMERICAN BANK	A	Interest	L	T					
28. PRIME CARE IPA		None	J	T					
29. 9.428562% Intl. CARDIOVASCULAR IMAGING, L.P.	F	Distribution	K	T					
30. 9.524%INTL CARDIOVASCULAR IMAGING MGMT. L.C.	A	Interest	J	T					
31. VCSP/ COLLEGE AMERICA - GROWTH FUND OF AMERICA	A	Dividend	K	T					
32. VCSP/ COLLEGE AMERICA INVESTMENT COMPANY OF AMERICA	A	Dividend	K	T					
33. AMERICAN BANK		None			Closed	02/02/09	J		
34. FIRST NATL BANK	B	Interest			Matured	12/14/09	L		

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 I = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$250,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 6 of 8

Name of Person Reporting
Jack, Janis G.

Date of Report
4/20/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. FIRST NATL BANK	A	Interest			Matured	09/02/09	J		
36. FROST NATL BANK	A	Interest			Redeemed	08/27/09	J		
37. AMERICAN PHYSICIANS SRVCE GROUP INC. COM STOCK	A	Dividend	J	T					
38. FROST NATL BANK	A	Dividend			Closed	03/10/09	J		
39. VCSP/COLLEGE AMERICA GROWTH FUND OF AMERICA	A	Dividend	J	T					
40. VCSP/COLLEGE AMERICA INVESTMENT COMPANY OF AMERICA	A	Dividend	J	T					
41. EDUCATION TRUST #1 - REAL ESTATE [REDACTED]	D	Distribution	K	T					
42. EDUCATION TRUST #2 - REAL ESTATE [REDACTED]	D	Distribution	K	T					
43. [REDACTED] REALTY LLC - REAL ESTATE	D	Rent	P1	U	Distributed (part)	12/31/09	K		
44. BANK OF AMERICA		None	J	T					
45. 2008 LIFETIME TRUST	D	Distribution	K	T					
46. FROST BANK	A	Interest	J	T					
47. FROST BANK	A	Interest	J	T					
48. FROST BANK	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 7 of 8

Name of Person Reporting
Jack, Janis G.

Date of Report
4/20/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Jack, Janis G.

Date of Report

4/20/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544