

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Gorton, Nathaniel M	2. Court or Organization District Court - Massachusetts	3. Date of Report 5/11/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (Check appropriate type) ☐ Noninstitutional Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address United States District Court 1 Courthouse Way, Suite 3110 Boston, MA 02210	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. See Attachment #1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Gordon, Nathaniel M

Date of Report

5/11/2005

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. New York Intellectual Property Lawyers Association

reimbursement for lodging and travel from Boston to NYC to attend annual N.Y.I.P.L.A. dinner 3/26/04 at Waldorf Astoria

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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Name of Person Reporting
Gorton, Nathaniel M

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-F)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, net gift, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Rocky Bay Trust (a MA business trust) (common stock)	G	S corp	P1	Q					See Section VIII
2. Fidelity Cash Reserves (individual)	A	Dividend	K	T					
3. Fidelity Money Market Accounts (POA, trustee) (Att#1, ¶4)	B	Dividend	M	T					
4. Charitable Remainder Trust	E	div., int.							See Section VIII
5. American Intl. Group Inc.					sell	2/25	J	C	
6. Pfizer, Inc.					sell	2/25	J	B	
7. Sysco Corp.					sell	2/25	J	D	
8. Gillette Co.					sell	7/1	J		
9. Microsoft Corp.					sell	7/1	J	B	
10. Nokia Corp.					sell	7/1	J		
11. Costco Whsl Corp.					sell	10/13	J	B	
12. Chubb Corp.					buy	11/2	J		
13. Boston Financial Management, Inc. IRA		None	P1	T					
14. Federal Home Ln Bk					sell	1/26	K		
15. Federal Farm Cr Bk					buy	2/6/	K		
16. Federal Farm Cr Bk					sell	3/1	J		
17. Federal Home Ln Bk					sell	3/10	K		
18. Federal Home Ln Bk					buy	3/23	K		

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,999 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

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Name of Person Reporting
Gorton, Nathaniel M

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VI. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-J)	(2) Type (e.g. div. rent. or lul)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Federal Home Ln Bk					buy	3/30	K		
20. Federal Home Ln Bk					buy	4/16	K		
21. Bellsouth Telecm					sell	6/15	K		
22. Federal Farm Cr Bk					buy	6/24	K		
23. Emerson Elec Co.					buy	6/28	J		
24. Raytheon Co.					buy	7/1	J		
25. Omnicom Group					buy	7/9	J		
26. Chubb Corp.					buy	11/2	J		
27. Federal Home Ln Bk					sell	11/13	K		
28. Federal Home Ln Bk					buy	11/24	K		
29. Equity Residential					sell	5/12	J	A	
30. Anadarko Pete Corp.					sell	10/25	J	D	
31. Oracle Corp.					sell	10/29	J		
32. Federal Home Ln Bk					buy	12/3	K		
33. Neenah Paper, Inc.					sell	12/16	J	A	
34. Neenan Paper, Inc.					sell	12/21	J	A	
35. Boston Financial Management, Inc. revocable trust	C	div.&int.	M	T					See Section VIII
36. Bell Atlantic - new					sell	2/1	J		

1. Income/Value Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Valuation Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS — Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. incl. or int.)	(1) Value Code 2 (I-P)	(2) Value / Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Torchmark Corp.					buy	2/2	J		
38. Sigma Aldrich Corp.					buy	4/7	J		
39. Bank of America Corp.					buy	4/7	J		
40. Dell, Inc.					buy	7/9	J		
41. Omnicom Group					buy	7/9	J		
42. Intel Corp.					sell	10/7	J		
43. Medtronic Inc.					sell	10/7	J	B	
44. Anadarko Pete Corp.					sell	10/25	J	D	
45. Chubb Corp.					buy	11/2	J		
46. Diagnostic Prods Corp					buy	11/3	J		
47. Intel Corp.					sell	11/12	J		
48. Neenah Paper, Inc.					sell	12/16	J	A	
49. Neenah Paper, Inc.					sell	12/21	J	A	
50. Boston Financial Management, Inc. revocable trust	C	div.&int.	M	T					See Section VIII
51. Torchmark Corp.					buy	2/2	J		
52. U S T Note					sell	2/15	J	A	
53. Oracle Corp.					sell	10/29	J	C	
54. Chubb Corp.					buy	11/2	J		

1. Losses/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Accrual/Amort W = Estimated	T = Cash/Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. Diagnostic Prods Corp.					buy	11/3	J		
56. Intel Corp.					sell	11/12	J		
57. Neenah Paper, Inc.					sell	12/16	J	A	
58. Neenah Paper, Inc.					sell	12/21	J	A	
59. Fleet	A	Interest	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Line 1 - Rocky Bay Trust, a Massachusetts Business Trust, of which the reporting party is a shareholder, owns all of the stock of ██████████ & Co., Inc., a Massachusetts corp. ("the Company"). Income reported in Section VII by virtue of common stock holdings of the reporting party in Rocky Bay Trust is attributable to his pro rata share of the income of the Company which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders of the Trust whether or not such income is, in fact, distributed. The value of the stock holdings is appraised biennially by a certified financial analyst.

Line 4 - In 1997 the reporting party ██████████ funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Lines 4, 13, 35 and 50 - The assets listed on these lines (and the transactions noted in the lines that follow) are managed by Boston Financial Management, Inc., Boston, MA and consist of stocks and bonds in publicly held companies listed in Attachment #2.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 13, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Financial Disclosure Report
Nathaniel M. Gorton
May 11, 2005
Attachment #1

I. Positions

<u>Position</u>	<u>Name of Organization/Entity</u>
1. Shareholder, Clerk/Secretary and Director	Rocky Bay Trust, a MA Business Trust, which owns all of the Stock of [REDACTED] & Co., Inc.. Boston, MA (closely held [REDACTED] seafood business)
2. Clerk and Director	Cambridge Animal Aid, Inc. Floral City, FL (non-profit animal aid organization [REDACTED])
3. Member of the Corporation	The New England Home for Little Wanderers, Boston, MA (non-profit, private child welfare agency)

NOTE: None of the beneficiaries of the following trusts and accounts of which the reporting party is a trustee (or holds a power of attorney) [REDACTED] and neither the reporting party [REDACTED] has any beneficial interest in or control over the disposition of assets of such trusts and accounts.

4. Power of Attorney/Trustee	Fidelity money market accounts (for all shareholders of Rocky Bay Trust, [REDACTED])
5. Trustee	Irrevocable Trust (created in connection with the estate plan of the reporting party's sister)
6. Trustee	1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection [REDACTED])

Financial Disclosure Report
Nathaniel M. Gorton
May 11, 2005
Attachment #2

All of the listed holdings are of common stock unless otherwise noted.

American International Group	Honeywell Inc. (bond)
Anadarko Pete Co.	Intel Corporation
Automatic Data Processing, Inc.	Johnson & Johnson
Avery Dennison, Inc.	Kimberley Clark Corp.
Bank of America Corp.	MBIA, Inc.
Bank One Arizona NA (bond)	MBNA Corp.
Bear Stearns Co. (bond)	Medtronic, Inc.
Boston Scientific Corp.	Microsoft Corp.
BP Amoco PLC	NationsBank Corp. (bond)
Brinker International, Inc.	New York Telephone Co. (bond)
Chubb Corp	Nokia Corp.
Cisco Corporation (formerly Cisco Systems, Inc.)	Omnicom Group
Colgate Palmolive Co.	Pepsico Inc.
Costco Wholesale Corp.	Pfizer, Inc.
CVS Corp.	Procter & Gamble Co.
Dell, Inc.	Raytheon, Inc.
Diagnostic Products Corp.	RPM (Ohio), Inc.
Eli Lilly & Co.	Sigma Aldrich Corp.
Emerson Electric Co. (stocks & bonds)	Staples, Inc.
Exxon-Mobil Corp.	State Street Corp.
Federal Farm Credit Bank (bond)	Sysco Corp.
Federal Home Loan Bank (bond)	Torchmark Corp.
Federal National Mortgage Association	United States Treasury Notes (bonds)
First Data Corporation	Vodafone Group PLC ADR
Gillette Co.	