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Rev. 1/2010

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pregerson, Dean D.	Central District of California	05/25/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2009 to 12/31/2009
7. Chambers or Office Address 312 N. Spring Street Los Angeles, CA 90012	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer _____ Date_____	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board Member	Salvation Army Los Angeles Metropolitan
2.	Custodian	Smith Barney U/CA/UTMA
3.	Custodian	Smith Barney U/CA/UTMA
4.		
5.		

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Pregerson, Dean D.	05/25/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	12/31/09	Self-Employed Consultant/Counselor
2.		
3.		
4.		

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pregerson, Dean D.	05/25/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Smith Barney									
2. - Citibank NA South Dakota Bank Deposit Program	A	Interest	J	T	Open	06/30/09	J		
3. - Microsoft	D	Dividend	N	T	Sold (part)	09/03/09	M	F	
4. - Metlife Ins Co of Connecticuit Annuity		None	M	T					
5. Smith Barney U/CA/UTMA B									
6. - Money Market	A	Dividend	K	T					
7. - Intel	A	Dividend	J	T					
8. - Microsoft	A	Dividend	J	T					
9. - Pfizer Inc	A	Dividend	J	T					
10. - Tim Hortons Inc	A	Dividend	J	T					
11. - Wal-Mart Stores INc	A	Dividend	J	T					
12. - Wendys International Inc	A	Dividend	J	T					
13. - Fidelity Spartan 500	A	Dividend	J	T					
14. - Janus Fund	A	Dividend	J	T					
15. - Janus Orion	A	Dividend	K	T					
16. - Israel Due 2014 7th Dev B	A	Dividend	J	T					
17. Smith Barney U/CA/UTMA D									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pregerson, Dean D.	05/25/2010

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. - Cash & Mocny Market	A	Dividend	K	T					
19. - Intel Corp	A	Dividend	J	T					
20 - Microsoft Corp	A	Dividend	J	T					
21. - Pfizer Inc	A	Dividend	J	T					
22. - Wal-Mart Stores Inc	A	Dividend	J	T					
23. - Fidelity Spartan 500 Index	A	Dividend	J	T					
24. - Janus Fund Inc	A	Dividend	J	T					
25. - Janus Invt Fd Twenty Fd	A	Dividend	J	T					
26. - Janus Orion Fund	A	Dividend	K	T					
27. Smith Barney IRA #2									
28. - Alliancebernstein International Growth F	A	Dividend	J	T					
29. - Legg Mason Partners Aggressive Growth Fd	A	Dividend	J	T					
30. - 2 Legg Mason Partners Appreciation Fund A	A	Dividend	J	T					
31. Fiduciary Cap Pension Prts DDP		None	J	U					
32. Smith Barney IRA #1									
33. - SB Money Market	A	Interest			Closed	04/01/09	K		
34. - Citibank Bank Deposit Program	A	Interest			Closed	04/01/09	L		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. - Citicorp Trust Bank, FSB	A	Interest			Closed	04/01/09	L		
36. - Citibank NA South Dakota Bank Deposit Pr	B	Dividend	M	T					
37. - GNMA MATY: 2015	A	Interest	J	T					
38. - Fiduciary Cap Pension Prts (Y)		None	J	U					
39. - CG Capital Markets - Large Cap Value Inv	C	Dividend	M	T	Buy (add'l)	07/10/09	J		
40.					Sold (part)	04/17/09	J	A	
41.					Sold (part)	08/21/09	J	A	
42. - CG Capital Markets - Small Cap Value Inv	A	Dividend	K	T	Buy (add'l)	08/21/09	J		
43. - CG Capital Markets - Emerging Markets In	A	Dividend	L	T	Buy (add'l)	08/21/09	J		
44.					Sold (part)	07/10/09	J	A	
45. - CG Capital Markets - Internat'l Equity	B	Dividend	L	T	Buy (add'l)	08/21/09	J		
46.					Sold (part)	04/17/09	K	A	
47.					Sold (part)	07/10/09	J	A	
48. - CG Capital Markets - Large Cap Growth	A	Dividend	M	T	Buy (add'l)	04/17/09	J		
49.					Buy (add'l)	07/10/09	J		
50.					Sold (part)	08/21/09	K	A	
51. - CG Capital Markets - Small Cap Growth	A	Dividend	K	T	Buy (add'l)	04/17/09	J		

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52.					Buy (add'l)	08/21/09	J		
53. - Strips-Tint-US Treasury Maty: 2010	A	Interest	K	T					
54. - Money Market	A	Interest	J	T					
55. - American Express Centurion Bk CD	B	Interest	L	T	Open	04/01/09	L		
56. - Capmart Bank CD	B	Interest	L	T	Open	04/01/09	L		
57. - GMAC Bank CD	B	Interest	L	T	Open	04/01/09	L		
58. - Keybank Nat'L Association CD	A	Interest	K	T	Open	04/01/09	K		

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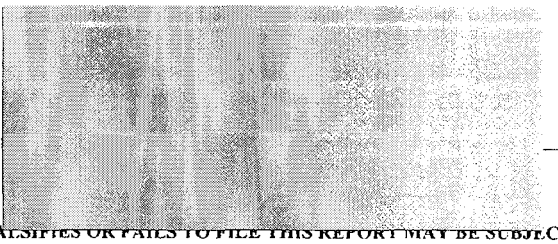
VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Name of Person Reporting	Date of Report
Pregerson, Dean D.	05/25/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Si 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544