

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Anderson, Lawrence O.                                                                                                                | <b>2. Court or Organization</b><br><br>District of Arizona                                                                                                                                                                                                                                          | <b>3. Date of Report</b><br><br>11/26/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Full-time Magistrate Judge                                                    | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>Sandra Day O'Connor U.S. Courthouse<br>401 W. Washington, SPC 11<br>Phoenix, AZ 85003-2120                                                                    |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. |                 |                                    |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

11/26/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                               | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------------------------------|----------------------------------------|
| 1. 2012     | Elected Officials Retirement Plan (State of Arizona) | \$21363.00                             |
| 2.          |                                                      |                                        |
| 3.          |                                                      |                                        |
| 4.          |                                                      |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>  |
|-------------|-------------------------|
| 1. 2012     | Maricopa County, Salary |
| 2.          |                         |
| 3.          |                         |
| 4.          |                         |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                     | <u>DATES</u>     | <u>LOCATION</u>                     | <u>PURPOSE</u>                                              | <u>ITEMS PAID OR PROVIDED</u>     |
|-----------------------------------|------------------|-------------------------------------|-------------------------------------------------------------|-----------------------------------|
| 1.                                |                  |                                     |                                                             |                                   |
| 2. Maricopa County Superior Court | May 1-4, 2012    | Tulane University, New Orleans, La. | site visit for juvenile judges assigned to Cradle 2 Crayons | Transportation, food, and lodging |
| 3. Mohave County Superior Court   | October 25, 2012 | Lake Havasu, AZ                     | Infant/toddler mental health team symposium                 | Transportation and food           |
| 4.                                |                  |                                     |                                                             |                                   |
| 5.                                |                  |                                     |                                                             |                                   |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

11/26/2013

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

11/26/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Stifel, Nicolaus & Co, see dashed items for assets included                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. -American Express Centurion Money Mkt                                                                                    | A                                       |                                               | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. -Capital World Growth & Income Fund                                                                                      | D                                       |                                               |                                                 |                                           | Sold<br>(part)                                  | 3/27/12                 | J                               |                                |                                                                   |
| 4. -Euro Pacific Growth Fund                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 3/27/12                 | J                               |                                |                                                                   |
| 5. -Fundamental Invs Inc.                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 3/27/12                 | J                               |                                |                                                                   |
| 6. -Growth Fund of America                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 3/27/12                 | J                               |                                |                                                                   |
| 7. -Investment Co America                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 3/27/12                 | J                               |                                |                                                                   |
| 8. -New Perspective CL A                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 3/27/12                 | J                               |                                |                                                                   |
| 9. American Mutual Funds: Investment Co. America                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. American Mutual Funds: New Perspective Fund                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. -American Mutual Funds: Small Cap World Fund                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. -American Mutual Funds: Washington Mutual Investors Fund                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. -American Mutual Funds: Capital World Growth & Income Fund                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. -American Mutual Funds: Euro Pacific Growth Fund                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. -American Mutual Funds: Fundamental Investors Fund                                                                      | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. -American Mutual Funds: Growth Fund Amer Inc.                                                                           | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Farmers Insurance Co., whole life cash surrender value                                                                  | A                                       | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

11/26/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|     |                                            |  |  |  |  |  |  |  |  |
|-----|--------------------------------------------|--|--|--|--|--|--|--|--|
| 18. | U.S. Treasury Strips: See VIII explanation |  |  |  |  |  |  |  |  |
| 19. |                                            |  |  |  |  |  |  |  |  |
| 20. |                                            |  |  |  |  |  |  |  |  |
| 21. |                                            |  |  |  |  |  |  |  |  |
| 22. |                                            |  |  |  |  |  |  |  |  |
| 23. |                                            |  |  |  |  |  |  |  |  |
| 24. |                                            |  |  |  |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

11/26/2013

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. In Part VII, I listed [REDACTED] whole life insurance policy on [REDACTED] life and its suuender value (K) at the end of 2012.
2. In Part VII, Column (B)(2), "sale," which is not an option to list under your computer program, is income or loss from the full disposition of the asset.
3. In Part VII, "Buy" lines were deleted because they were dividends reinvested, and are not required to be disclosed.
4. In Part VII, line 18, these complete sales were inadvertently omitted from my 2011 Disclosure Report. Total sales proceeds (Column D(3)): 1/3/11, Code K; 3/23/11 Code J; 3/23/11 Code K; and Code J.

Because of the complexity of correctly identifying all the assets and transactions in my IRA Rollover, which I owned before I became a federal judge in 1998 and is totally managed by Stifel, Nicolaus & Co., I met with my CPA accountant to assist [REDACTED] me in properly filling out my amended 2012 Disclosure Report. I hope it meets with your approval.

# FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Anderson, Lawrence O.

Date of Report

11/26/2013

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lawrence O. Anderson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544