AO-10 (w)
Rev. 1/2000

FINANCIAL DISCLOSURE REPORT
Nomination Report

Report required by the Ethics in Government Act of 1978, as amended (5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bea, Carlos T.	Court of Appeals, Ninth Circuit	04/11/2003

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5. Report Type (check type)	6. Reporting Period
Circuit Judge (nominee)	X Nomination, Date 04/11/2003 Initial Annual Final	01/01/2002 to 03/31/2003

7. Chambers or Office Address	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.
400 McAllister Street San Francisco, California 94102	Reviewing Officer _____ Date _____

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

I. POSITIONS *(Reporting individual only; see pp. 9-13 of Instructions.)*

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION / ENTITY
1	[redacted]	[redacted]
2		
3		

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II. AGREEMENTS *(Reporting individual only; see pp.14-16 of Instructions.)*

☐ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1	1/23/90	Judges Retirement System (State of California)PERS
2	1/23/90	City and County of San Francisco Deferred Compensation Plan (Aetna)
3	1/23/90	401(k) Employer Contribution Program, Dept Personnel Administration Savings Plus Program (Nationwide Retirement Solutions)

III. NON-INVESTMENT INCOME *(Reporting individual and spouse; see pp. 17-24 of Instructions.)*

☐ NONE (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1	02-3/03	State of California/City and County of San Francisco; Judge of the Superior Court	$168,309.03
2	02-3/03	[redacted]anaging Partner	$ 68,935.00
3	2002	State of California/ City and County of San Francisco; Judge of the Superior Court.	$133,514.08
4	2001	State of California/City and County of San Francisco; Judge of the Superior Court	$128,513.08

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting Bea, Carlos T.	Date of Report 04/11/2003

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

☐ NONE (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1	EXEMPT	
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

☐ NONE (No such reportable gifts.)

	SOU CE	DESCRIPTION	VALUE
1	EXEMPT		
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE*
1	Visa Credit Cards; First USA Bank. N.A. per license from Visa U.S.A.	Charges for living expenses, including travel and tuition charges	K
2			
3			
4			
5			
6			

* VALUE CODES: J=$15,000 or less K=$15,001-$50,000 L=$50,001 to $100,000 M=$100,001-$250,000 N=$250,001-$500,000
O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

	B.		C.		D.				
	Amount	(2) Type		Value Method Code			(3) (J-P)	(4)	
☐ NONE (No reportable income, assets, or transactions.)									
1 [redacted] California. $79,741	A	Rent	L	S	EXEMPT				
2									
3 Ishares Trust Russell 1000 Value Index Fund	B	Dividend	N	T	EXEMPT				
4 Adobe Systems Inc Common stock	A	Dividend	L	T	EXEMPT				
5 Alltel Corp Common stock	A	Dividend	L	T	EXEMPT				
6 Avon Common Stock	A	Dividend	L	T	EXEMPT				
7 Carnival Common Stock	A	Dividend	L	T	EXEMPT				
8 Coca Cola Common stock	A	Dividend	L	T	EXEMPT				
9 Computer Associates	A	Dividend	L	T	EXEMPT				
10 Fannie Mae Common stock	A	Dividend	L	T	EXEMPT				
11 Franklin Resources Common Stock	A	Dividend	L	T	EXEMPT				
12 Home Depot Common Stock	A	Dividend	L	T	EXEMPT				
13 Intel Corp Common Stock	A	Dividend	L	T	EXEMPT				
14 MBNA Corporation Common Stock	A	Dividend	L	T	EXEMPT				
15 McKesson HBOC Common Stock	A	Dividend	L	T	EXEMPT				
16 Merck & Co Common Stock	B	Dividend	L	T	EXEMPT				
17 Pharmacia Common Stock	A	Dividend	L	T	EXEMPT				

1 Inc/Gain Codes: A=$1,000 or less B=$1,001-$2,500 C=$2,501-$5,000 D=$5,001-$15,000 E=$15,001-$50,000
(Col. B1, D4) F=$50,001-$100,000 G=$100,001-$1,000,000 H1=$1,000,001-$5,000,000 H2=$5,000,001 or more

2 Val Codes: J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000
(Col. C1, D3) O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

3 Val Meth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting: Bea, Carlos T.

Date of Report: 04/11/2003

VII. Page 2 INVESTMENTS and TRUSTS— income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure.	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	(2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or									
18 Target Common Stock	A	Dividend	L	T	EXEMPT				
19 Dodge & Cox Stock Fund	A	Dividend	N	T	EXEMPT				
20 Vanguard Capital California Intermediate tax free fund	A	Dividend	N	T	EXEMPT				
21 UBS PaineWebber Money Fund	A	Interest	J	T	EXEMPT				
22 Franklin Templeton Cal Tax Exempt Fund	B	Dividend	M	T	EXEMPT				
23 Franklin Templeton Cal Tax Free Fund	B	Dividend	M	T	EXEMPT.				
24 UBS PaineWebber Money Market Fund	A	Dividend	P1	T	EXEMPT				
25 Bedford Ppty Inv ("bed"NYSE)	E	Dividend	N	T	EXEMPT				
26 Cal St Dept Wtr Res 5.9% '05	C	Interest	L	T	EXEMPT				
27 Cal St G.O. Ambac 5.5% '09	C	Interest	L	T	EXEMPT				
28 Cal St Veteran GO 5.75% '10	C	Interest	L	T	EXEMPT				
29 Fresno Ca Jt Pwrs FNG 4% '08	C	Interest	L	T	EXEMPT				
30 Lassen Ca Mun Util Rev FSA 4.5% '11	C	Interest	L	T	EXEMPT				
31 Los Angeles Co Met Serv 6% '11	C	Interest	L	T	EXEMPT				
32 San Diego Cnty partn rf ambac 5.25% '05	C	Interest	L	T	EXEMPT				
33 San Fran Ca Int'l Airport 4% '10	C	Interest	L	T	EXEMPT				
34 San Mateo Cnty Jt Pwr 3.6% '09	C	Interest	L	T	EXEMPT				

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(Col. B1, D4) F=$50,001-$100,000 G=$100,001-$1,000,000 H1=$1,000,001-$5,000,000 H2=$5,000,001 or more

2 Val Codes: J=$15,000 or less K=$15,001-$50,000 L=$50,001-$100,000 M=$100,001-$250,000 N=$250,001-$500,000
(Col. C1, D3) O=$500,001-$1,000,000 P1=$1,000,001-$5,000,000 P2=$5,000,001-$25,000,000 P3=$25,000,001-$50,000,000 P4=$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting: Bea, Carlos T.

Date of Report: 04/11/2003

VII. Page 3 INVESTMENTS and TRUSTS-- income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) *Place "(X)" after each asset exempt from prior disclosure.*	B. Income during reporting period (1) Amount Code (A-H)	B. (2) Type (e.g., dividend, rent or interest)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
35 Ford Motor Credit 6.875%'06	C	Interest	L	T	EXEMPT				
36 Genl Motors Corp 7.1%'06	C	Interest	L	T	EXEMPT				
37 Target Corp Notes 6.35% '11	C	Interest	L	T	EXEMPT				
38 US Tsy Note 3.25%'07	B	Interest	L	T	EXEMPT				
39 US Tsy Note 5.0% '11	D	Interest	M	T	EXEMPT				
40 US Tsy Note 5.5% '09	D	Interest	M	T	EXEMPT				
41 US Tsy Note 6.125% '07	D	Interest	M	T	EXEMPT				
42 Ford Motor Credit 6.875% '06	C	Interest	K	T	EXEMPT				
43 Genl Motors Corp 7.1%	C	Interest	L	T	EXEMPT				
44 Target Corp NTS 6.35% '11	C	Interest	L	T	EXEMPT				
45 US Tsy Note 5.0% '11	C	Interest	M	T	EXEMPT				
46 US TSY Note 5.5% '09	D	Interest	M	T	EXEMMPT				
47 [redacted]	G	Distribution	O	S	EXEMPT				
48 Hummer/Winblad III Ltd Part	A	Distribution	K	W	EXEMPT				
49 P&F Plair Center El Monte, Ltd Part	C	Distribution	K	W	EXEMPT				
50									
51									

1 Inc/Gain Codes: (Col. B1, D4) A=$1,000 or less; B=$1,001-$2,500; C=$2,501-$5,000; D=$5,001-$15,000; E=$15,001-$50,000; F=$50,001-$100,000; G=$100,001-$1,000,000; H1=$1,000,001-$5,000,000; H2=$5,000,001 or more

2 Val. Codes: (Col. C1, D3) J=$15,000 or less; K=$15,001-$50,000; L=$50,001-$100,000; M=$100,001-$250,000; N=$250,001-$500,000; O=$500,001-$1,000,000; P1=$1,000,001-$5,000,000; P2=$5,000,001-$25,000,000; P3=$25,000,001-$50,000,000; P4=$50,000,001 or more

3 Val. Mth. Codes: Q=Appraisal; R=Cost (real estate only); S=Assessment; T=Cash/Market; U=Book Value; V=Other; W=Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

PART 1: Position re: [redacted], cntd ...

PART 2: Date re: 1/23, cntd .../1990

Part 7: Assessment of [redacted] by Los Angeles County Assessor for tax year 2002-2003 was $1,783,424.44 for entire property. Filer owns 45% of partnership, which owns the land and buildings.

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	Bea, Carlos T.	04/11/2003

SECTION READING. (Indicate part of report.)

Information continued from Parts I through VI, inclusive.

PART 3. NON-INVESTMENT INCOME (cont'd.)

Line	Date	Source and Type	Gross Income
5	2002	[redacted] Managing Partner	$ 55,943.47
6	2001	[redacted] Managing Partner	$ 48,031.52

IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature [redacted] Date MAY - 7 2003

Note: Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544