

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Holloway, Jr., William J.	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report 07/08/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Courthouse 200 N.W. 4th Street Oklahoma City, Oklahoma 73102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors.	The Historical Society of the Tenth Judicial Circuit
2. Monthly phone conferences and infrequent face-to-face meetings.	(No Compensation)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Holloway Jr., William J.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. O.G.E. Energy Corp.(Common Stock)	B	Dividend	K	T	None				
2. AT&T, Inc.(was SBC)((Common Stock)acquired Bell South	D	Dividend	M	T					
3. Bell South (Acquired by AT&T Inc.)		None			None				Acquired by AT&T 2007
4. First State Bank, Pond Creek, OK (Common Stock)	B	Dividend	K	W					
5. [REDACTED] summer cabin, Chaffee Cnty,CO		None	K	W	None				
6. IRA Acct/w BankOne closed.(Y)									
7. Maple Leaf Gold Coins		None	J	W	None				
8. Checking & Saving Accts. BankOne (now Chase)	A	Interest	M	T	None				
9. Lucent Technologie , Inc. (now Alcatel Lucent)(Common Stock)		None	J	T					
10. NCR Corp.		None	J	T					
11. U.M.B. Money Market	B	Interest	L	T					
12. Bank of Oklahoma (Checking Account)	B	Interest	M	T					
13. Vodafone Group PLC	A	Dividend	J	T	None				
14. NBC Bank(Oklahoma City)	C	Interest	M	T					
15. Verizon Communications, Inc.(formerly Bell South)	C	Dividend	L	T					
16. LSI (Previously Agere)		None	J	T					
17. Mass Mutual Ins. Policy	A	Dividend	J	T					Cash Surrender Value

1. Income/Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. New York Life Ins.(2 policies)	A	Dividend	J	T					Cash surrender value
19. Avaya (Y)									
20. Comcast	A	Dividend	J	T					AT&T broadband merged
21. Idearc	A	Dividend	J	T					Spinoff from Verizon
22. Teradata Corporation (X)		None	J	T					Spinoff from NCR
23. MINERAL INTERESTS									
24. 1/2 Interest, Graham Co. KS, Sec 19	A	Royalty	J	W					
25. 1/2 Interest, Graham Co. KS, Sec 16	A	Royalty	J	W					
26. 1/2 Interest, Graham Co. KS, Sec 18	A	Royalty	J	W					
27. 1/2 Interest, Kearny Co. KS, Sec 36	A	Royalty	K	W					
28. 1/2 Interest, Kearny Co. KS, Sec 26		None	J	W					
29. 1/2 Interest, Kearny Co. KS, Sec. 24	A	Royalty	K	W					
30. 1/2 Interest, Kearny Co. KS, Sec 19	A	Royalty	K	W					
31. 1/2 Interest, Kearny Co. KS, Sec. 18	A	Royalty	K	W					
32. 1/2 Interest, Kearny Co. K, Sec. 25	A	Royalty	K	W					
33. 1/2 Interest, Kearny Co. KS, Sec 13	A	Royalty	K	W					
34. 1/2 Interest, Kearny Co. Ks, Sec 35	A	Royalty	K	W					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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P3 = \$25,000,001 - \$50,000,000

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U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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35. 1/2 Interest, Kingman Co. Ks Sec. 36	A	Royalty	K	W					
36. 1/2 Interest Kingman Co. KS, Sec 2	A	Royalty	K	W					
37. 1/2 Interest Kingman Co. KS, Sec 14	A	Royalty	K	W					
38. 1/2 Interest, Kingman Co. KS, Sec 14 & 23	A	Royalty	K	W					
39. 1/2 Interest, Kingman Co. KS, Sec 27	A	Royalty	K	W					
40. 1/2 Interest Morton Co. Ks, Sec 17	A	Royalty	K	W					
41. 1/2 Interest Norton Co. KS, Sec 24		None	J	W					
42. 1/2 Interest Rawlins Co. KS, Sec 9	A	Royalty	J	W					
43. 1/2 Interest Reno Co. KS, Sec 1	A	Royalty	J	W					
44. 1/2 Interest Riley Co. KS, Sec 25	A	Royalty	J	W					
45. 1/2 Interest Rooks Co. KS, Sec 7	A	Royalty	J	W					
46. 1/2 Interest Rooks Co. KS, Sec 27	A	Royalty	J	W					
47. 1/2 Interest Sedgwick Co., KS, Sec. 6	A	Royalty	J	W					
48. 1/2 Interest Sedgwick Co., KS, Sec 29, 30 & 31	A	Royalty	J	W					
49. 1/2 Interest Stafford Co. KS Sec 21 & 22	A	Royalty	J	W					
50. ROYALTY INTERESTS									
51. 1/2 Interest Barton Co. KS, Sec 20		None	J	W					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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52. 1/2 Interest Ellis Co., KS, Sec. 10 & 11		None	J	W					
53. 1/2 Interest Carson Co., TX, Sec. 4	A	Royalty	J	W					
54. 1/2 Interest Gray Co., TX, Sec 33	A	Royalty	J	W					
55. 1/2 Interest Garvin Co., OK Sec. 36		None	J	W					
56. 1/2 Interest Logan Co. OK, Sec 10	A	Royalty	K	W					See Part VIII, No. 2
57. 1/2 Interest Logan Co. OK, Sec 32	A	Royalty	K	W					See Part VIII, No. 2
58. 1/2 Interest Logan Co. OK Sec 34	A	Royalty	K	W					See Part VIII, No. 2
59. 1/2 Interest Logan Co. OK Sec. 33	A	Royalty	K	W					See Part VIII, No. 2
60. 1/2 Interest Logan Co. OK Sec. 35	A	Royalty	K	W					See Part VIII, No. 2
61. 1/2 Interest Pontotoc Co. OK, Sec 35	A	Royalty	J	W					
62. 1/2 Interest Pontotoc Co. OK, Sec 33	A	Royalty	J	W					
63. 1/2 Interest Pontotoc Co. OK, Sec 28	A	Royalty	J	W					
64. 1/2 Interest Pontotoc Co. OK, Sec 21	A	Royalty	J	W					
65. 1/2 Interest Pontotoc Co. OK, Sec 18	A	Royalty	J	W					
66. 1/2 Interest Pontotoc Co. OK, Sec 16	A	Royalty	J	W					
67. 1/2 Interest Woods Co. OK, Sec 6	A	Royalty	J	W					
68. 1/2 Interest Woodward Co., OK Sec 7	A	Royalty	J	W					

1. Income Gain Codes:

(See Columns B1 and D4)

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C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

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V = Other

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69. 1/2 Interest Logan Co. OK, Sec. 11	A	Royalty	K	W					
70. 1/3 Interest Grant Co., OK Sec. 3		None	J	W					
71. 1/2 Interest Woods Co., OK, Sec. 32	A	Royalty	K	W					
72. 1/2 Interest Woods Co., OK, Sec 19	D	Royalty	K	W					
73. 1/3 Interest Garfield Co, OK Sec 25 (X)		None	J	W					
74. REAL ESTATE									
75. 1/2 Interest, Enid, OK, Lot 5 (Y)		None	K	W					
76. 1/2 Interest, Enid, OK, Lot 6 (Y)		None	K	W					
77. 1/2 Interest, Enid, OK, Lot 7 (Y)		None	K	W					
78. 1/2 Interest, Enid, OK, Lot 8 (Y)		None	K	W					
79. LEASE BONUSES									
80. Logan County, Okla.		None	J	W					
81. Woods County, Okla.		None	J	W					
82. Blaine County, Okla.		None	M	W					
83. Woods County, Okla.		None	J	W					
84. Woods County, Okla.	C	Royalty	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Date of Report: Judge Holloway was granted an extension of time to file this report until July 15, 2010.

PART VII: INVESTMENTS AND TRUSTS

2. Payments from Chesapeake totaled [REDACTED] for Logan and Woods Counties, Oklahoma. This was divided among Sections 10, 32, 34, 33, and 35. In addition, there was a check for [REDACTED] from Sunoco covering royalties in Logan and Pontotoc Counties, Oklahoma.

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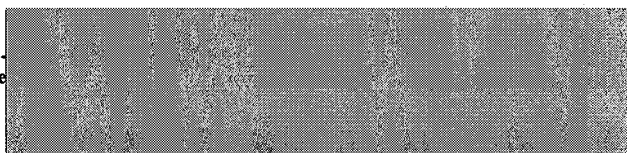
07/08/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544