

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McCurn, Neal P	2. Court or Organization U.S. District Court N.D.N.Y.	3. Date of Report 5/5/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address P.O. Box 7365 100 S. Clinton St. Syracuse, NY 13261	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

McCurn, Neal P

Date of Report

5/5/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	N.Y. State Employees Retirement System	\$ 2,704
2. 11/06/07	Individual Retirement Rollover Account	\$ 17,000
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Catholic Relief Services Annuity \$847
2. 2007	Catholic Church Ext. Society \$722
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Accts. (Common Stocks & Bonds) UBS listed below:									
2. AT&T	B	Dividend	K	T					
3. EOG Res. Inc.	A	Dividend	K	T					
4. Hewlett Packard	A	Dividend	K	T	buy	11/9	J		market
5. Bio Tech HLDRS Dep. Repts		None	K	T					
6. Amer. Elec. Power Co.	B	Dividend	K	T					
7. IBM	A	Dividend	K	T					
8. Intuitive Surgical - Stock		None	K	T					
9. Xerox	A	Dividend	K	T					
10. YUM! Brands	A	Dividend	J	T					
11. Sun Microsystems, Inc.		None	J	T	sell	6/26	J	A	market
12. Pfizer, Inc.	A	Dividend	J	T					
13. First Busey Corp.	A	Dividend	J	T					
14. Campbell Strategic Allocation Fund	D	Dividend	M	T					
15. Kansas City Mun. Bonds		None	K	T					
16. Long Island NY Power Auth.		None	L	T					
17. So. Carolina St. Pub. Bonds		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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18. UBS M2 Fund	A	Dividend	J	T					
19. Bristol Myers Squibb	A	Dividend	J	T	sell	6/26	J	C	market
20. Franklin Temp. Mutual Discovery	B	Dividend	L	T	buy	3/12	J		market
21. Franklin Temp. Mutual Discovery		None			buy	12/11	K		market
22. Franklin N.Y. Tax Free Inc.	D	Dividend	M	T	buy	8/13	K		market
23. Franklin N.Y. Tax Free Inc		None			buy	12/11	L		market
24. Franklin Temp. Rising	B	Dividend	L	T	redemption	12/11	L	C	
25. Verizon Comm.	A	Dividend	K	T	buy	5/14	J		market
26. Heinz H.J. Co.	A	Dividend	J	T					
27. Agilent Tech.	A	Dividend	J	T					
28. Stryker Corp.	A	Dividend	K	T	buy	5/14	J		market
29. Microsoft Corp.	A	Dividend	J	T	sell	5/9	J	A	market
30. NYS Dorm. Auth.	A	Int./Div.	K	T	buy	7/6	K		market
31. Long Island NY Power Auth.	A	Int./Div.	K	T					
32. Long Island NY Elec. Auth.	A	Int./Div.	K	T					
33. Valero Energy	A	Dividend	K	T					
34. MTA NY Trans. Fac.	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. Corning	A	Dividend	J	T	buy	11/9	J		market
36. Corning	A	Dividend	J	T	buy	3/12	J		market
37. Johnson & Johnson	A	Dividend	K	T	buy	8/08	J		market
38. NYS Loc. Gov. Serv. B	B	Int./Div.	L	T					
39. NYC NY Ser. H	A	Int./Div.	J	T	sell	8/1	J	A	market
40. NYS Loc. Gov. Serv. C	B	Int./Div.	K	T					
41. NY Loc. Gov. Assist Corp.	A	Int./Div.	J	T					
42. NYS Thruway Auth.	A	Int./Div.	K	T					
43. Frontier St. Bk.	A	Int./Div.	J	T	sell	1/02	J	A	market
44. UBS IRA Acct. (Common Stocks & Bonds) listed below:	D	Int./Div.	N	T					
45. WYETH									
46. New Econ Fund									
47. Boston Sci.									
48. Halliburton Com									
49. Eli Lilly & Co.									
50. Simon Prop. Group-REIT									
51. UTD DOM Realty REIT									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. TINT-TASY 8/15/21					buy	12/11	K		market
53. Target									
54. Amer. Fds New Econ.					buy	4/26	J		market
55. GMAC Bond					redemption	8/28	J		
56. Franklin Temp. Rising Div.					sell	12/11	K	C	market
57. Franklin T. Mutual Disc.					buy	12/11	K		market
58. FHLMC Stepup Bond					sell	12/11	K	A	market
59. Individual Account held in NPMcCurn name listed as follows:									
60. U.S. Savings Bonds		None	K	T					
61. Dreyfus Emer. LDR Fund	D	Dividend	K	T					
62. Dreyfus Midcap Value Fund	D	Dividend	L	T	partial sale	10/03	K	A	market exchange
63. Dreyfus Appreciation Fund	B	Dividend	K	T					
64. Dreyfus Municipal Bond Fund	A	Dividend	J	T	exchange	12/11	K	A	market exchange
65. Dreyfus Int. Stock Fund	A	Dividend	K	T	buy	10/3	K		market
66. Syracuse Police Fed. Credit Union CDs & Base Acct.	B	Dividend	K	T					
67. M&T Bank Syracuse Checking Acct. MetLife Bank & MM	A	Interest	J	T					
68. MetLife Bank & Money Market Acct.	C	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. Dryfus NY Tax Ex. Bond Fund	A	Interest	K	T	buy	12/11	K		market exchange
70. Wash. Mut. CD 1/24/08	A	Interest	J	T	buy	10/24	J		market
71. Indymac Bk. 5.1% 2/7/08	A	Interest	J	T	buy	11/9	J		market

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

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5/5/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Part VII p.8 # 65 Dreyfus International Stock Fund purchase with proceeds from exchange by partial sale of Dreyfus Mid Cap Value Fund - Part VII, p.8 # 62 and by sale of Dreyfus Municipal Bond Fund Part VII, p.8 # 64.
2. Part VII p.8 # 69 Dreyfus NY Tax Exempt Bond Fund purchased with proceeds from exchange by partial sale and exchange from Dreyfus Fund as reported in (1) above.
3. Part VII p.5 # 22 Franklin NY Tax Free Inc. now includes items 39 & 40 from Part VII p.6 of 2007 report plus additional purchase of that fund as set forth in p.5 # 22 and # 23 of this report.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

McCurn, Neal P

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5/5/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544