

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) MCAULIFFE, STEVEN J	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow	New Hampshire Bar Foundation
2. Director	Challenger Center for Space Science Education
3. Custodian	Agency Account Bow Mills Bank (for [REDACTED])
4. Shareholder (50 percent)	Pleasant Lake Air, Inc., LLC
5. Chair	New Hampshire State Committee of Selection for the 2003 Competition of the Rhodes Scholarships
6. Partner	Pinchers' Investment Group (Neighborhood Investment Club)
7. Treasurer	Local EAA Chapter - Capitol Flyers

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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FINANCIAL DISCLOSURE REPORT

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse: see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2003

Concord, N.H. School District (Teaching)

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. NOW Acct Fleet Bank	A	Interest	J	T					
2. Note Rec. R. Jobin		None	K	T					
3. NOW Acct Bow Mills Bank	A	Interest	J	T					
4. IRA Inv Co Amer Mut Fund	A	Dividend	K	T					
5. IRA Wash Mut Inv Fund	A	Dividend	J	T					
6. IBM Cap Corp	A	Dividend	J	T	Partial Sale	9/29	J	C	Mkt
7. Johnson & Johnson Com	A	Dividend			Sold	7/21	J	D	Mkt
8. Exxon Mobil Corp.	A	Dividend	J	T	Partial Sale	3/20	J	B	Mkt
9. American Intl Group Inc Cap	A	Dividend	J	T					
10. State Street Bank IMMA (cash mgmt acct)	A	Interest	J	T					
11. State St. Bank IMMA [C]	A	Interest	J	T					
12. Bow Mills Bank Stock (D)		None	M	T					
13. UBS Retirement Money Fund	A	Interest	J	T					
14. Fidelity Cash Reserves	A	Interest			Sold	10/2	J	A	Mkt
15. Fidelity Cash Reserves [C]	B	Interest	M	T	Buy	12/19	M		Mkt
16. Fidelity Disc. Equity Fund [C]	A	Dividend	K	T	Partial Sale	12/19	K	A	Mkt
17. Citigroup, Inc.	A	Dividend	K	T	Partial Sale	3/20	J	C	Mkt
18. Fidelity Stock Selector Mutual Fund [C]	A	Dividend	K	T	Partial Sale	12/19	K	A	Mkt

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Fidelity Contrafund [C]	A	Dividend	K	T	Partial Sale	12/19	K	A	Mkt
20. Tyco Intl Ltd.	A	Dividend			Sold	9/29	J	A	Mkt
21. Fidelity Diversified Intl Fund [C]	A	Dividend	K	T	Partial Sale	12/19	K	A	Mkt
22. Baxter Intl Inc. Com	A	Dividend			Sold	7/8	J	A	Mkt
23. IRA Franklin Templeton For. Fund	A	Dividend	K	T					
24. IRA Scudder Small Cap Value Fund Cl. C		None	J	T					
25. IRA PA PACE Large Comp. Value Fund		None	K	T					
26. PA Pace Small/Med Co. FD.Small Cap FD C		None	K	T					
27. Wells Fargo & Co.	A	Dividend	J	T					
28. Target Corp.	A	Dividend			Sold	12/12	J	B	Mkt
29. Medtronic Inc.	A	Dividend	J	T					
30. Fidelity Select Software Computer Fund (D)		None			Sold	10/2	J	A	Mkt
31. Fidelity Select Technology Portfolio (D)		None			Sold	10/2	J	A	Mkt
32. Pfizer Inc. Com	A	Dividend	J	T					
33. Bow Mills Bank Stock [C]		None	K	T					
34. Pinchers' Investment Group Ptnshp Share	A	Dividend	K	T					
35. -EMC Corp.		None			Sold	2/21	J	A	Mkt
36. -Nokia Corp.	A	Dividend	J	T					

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37. -Palm Inc.		None			Sold	2/21	J	A	Mkt
38. -3 Com Corp.		None	J	T					
39. -Harley Davidson Inc.	A	Dividend	J	T					
40. -Home Depot Inc.	A	Dividend	J	T					
41. -ABFS		None			Sold	2/21	J	A	Mkt
42. -AOL		None			Sold	2/21	J	A	Mkt
43. -GE	A	Dividend	J	T					
44. -IDNX		None			Sold	2/21	J	A	Mkt
45. -INTC	A	Dividend	J	T					
46. -Liberty Media		None			Sold	2/21	J	A	Mkt
47. -MDLI		None			Sold	2/21	J	A	Mkt
48. -MRK	A	Dividend	J	T					
49. -WAT		None	J	T					
50. -AIG		None	J	T					
51. -BCR	A	Dividend	J	T					
52. -CMX		None	J	T					
53. -MDT		None	J	T					
54. -MSFT	A	Dividend	J	T	Buy Addnl.	4/20	J		Mkt

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
55. -PFE	A	Dividend	J	T	Buy Addtl.	4/20	J		Mkt
56. -ABN-AMRO North-America, Inc.		None			Sold	2/21	J	A	Mkt
57. -TZA		None	J	T	Buy	10/1	J		Mkt
58. -JBLU		None	J	T	Buy	2/21	J		Mkt
59. -JBLU		None			Sold	12/8	J	A	Mkt
60. Anadarko Pete Corp.	A	Dividend			Sold	7/21	J	A	Mkt
61. Caterpillar Inc.	A	Dividend	J	T	Partial Sale	9/29	J	C	Mkt
62. Bed Bath & Beyond Inc.		None			Sold	12/12	J	B	Mkt
63. Fidelity Dividend Growth Fund [C]	A	Dividend	J	T	Partial Sale	12/19	J	A	Mkt
64. Block, H&R, Inc.		None			Sold	10/2	J	A	Mkt
65. Quest Diagnostics, Inc.	A	Dividend	J	T					
66. Donaldson, Inc.	A	Dividend	J	T					
67. Patterson Dental Co.		None	J	T	Buy	3/20	J		Mkt
68. Fidelity Small Cap Stock Fund [C]	A	Dividend	K	T	Buy	10/10	K		Mkt
69. Fidelity Small Cap Stock Fund [C]	A	Dividend	K	T	Partial Sale	12/19	K	A	Mkt

- | | | | | | |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

PART I. POSITIONS.

Pleasant Lake Air, Inc., LLC, is a corporation formed to own a Cessna 182 Skylane single engine private airplane. I own a one-half interest in the corporation (and thus the airplane).

PART VI. LIABILITIES.

I own a summer cottage with a former law partner, Edward E. Shumaker, III, as tenants in common, which property is mortgaged in part. That liability is not disclosed since it is secured by real property which is a personal residence.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 13, 2004

NO PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544