

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CARTER, GENE	2. Court or Organization U.S. DISTRICT COURT, DISTRICT OF MAINE	3. Date of Report 05/14/2008
4. Are you a judge, magistrate judge, or magistrate judge pro tempore? magistrate judges indicate full- or part-time) SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 156 FEDERAL STREET PORTLAND, MAINE 04101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

CARTER, GENE

Date of Report

05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

CARTER, GENE

Date of Report

05/14/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

CARTER, GENE

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Maine Health & Higher Education	A	Interest	K	T	redemption	7/01	J	C	
2. U.S. Govt Treas. Notes maturing 2/15/07-11/15/07	B	Interest	M	T	redemption	11/15	M	E	
3. U.S. Govt Treas. Notes maturing 11/15/07-11/15/11	A	Interest	K	T	buy	7/15	K		
4. Royce Microcap Realty Trust	A	Interest	J	T	partial sale	8/22	J	C	
5. Berkshire Hathaway CLB	A	Dividend	J	T					
6. Berkshire Hathaway CLB	A	Dividend	K	T	partial sale	7/11	J	C	
7. U.S. Govt Treas. Note maturing 11/15/22	A	Interest	K	T					
8. Davis NY Venture Fund Class A	A	Dividend	J	T	partial sale	7/11	J	C	
9. Davis NY Venture Fund Class A	A	Dividend	J	T	sale	8/22	J	C	
10. Strategic Global Income Fund	A	Dividend	J	T					
11. Royce Value Trust	B	Dividend	K	T					
12. Newmont Mining Co.	A	Dividend	K	T					
13. Newmont Mining Co.	A	Dividend	K	T	partial sale	10/30	K	C	
14. Duke Realty Inc.	C	Dividend	J	T					
15. Fording CDM Coal Trust	D	Dividend	J	T					
16. Fording CDM Coal Trust	D	Dividend	J	T	partial sale	7/11	J	C	
17. Fording CDM Coal Trust	C	Dividend	J	T	partial sale	3/30	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. Kinder Morgan Energy	C	Dividend	J	T					
19. First Eagle Gold Fund	E	Dividend	K	T					
20. FDA Capital Fund	E	Dividend	K	T					
21. Hartford Capital Appreciation Fund	E	Dividend	K	T					
22. Oak Mark Fund Class A	E	Dividend	K	T					
23. Ace Limited Bermuda	B	Dividend	J	T					
24. Becton Dickson	B	Dividend	J	T					
25. Dineen Energy Corp.	B	Dividend	J	T					
26. HCA Inc.	B	Dividend	J	T					
27. Timber West Forest CPR Stapled	B	Dividend	J	T					
28. Aberdeen Asia Pac, Income Fund	E	Dividend	K	T					
29. Aberdeen Asia Pac, Income Fund	E	Dividend	K	T	partial sale	7/25	K	C	
30. Aberdeen Asia Pac, Income Fund	E	Dividend	K	T	partial sale	7/11	K	C	
31. Petroleum Brasileiro	D	Dividend	J	T					
32. Petroleum Brasileiro	D	Dividend	J	T	partial sale	3/30	J	C	
33. Petroleum Brasileiro	D	Dividend	J	T	partial sale	5/07	J	C	
34. Petroleum Brasileiro	D	Dividend	J	T	partial sale	7/11	J	C	

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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35. Petroleum Brasileiro	D	Dividend	J	T	partial sale	10/30	J	C	
36. Newmont Mining Corp.	D	Dividend	J	T					
37. Gold Corp. Inc. New	D	Dividend	J	T					
38. U.S. Treas Note matures 7/15/13	E	Interest	K	T					
39. U.S. Treas Note matures 7/15/12	E	Interest	K	T					
40. First Eagle Gold Fund	D	Dividend	J	T					
41. Plum Creek Timber Co.	D	Dividend	J	T					
42. Plum Creek Timber Co.	D	Dividend	J	T	partial sale	10/30	J	D	
43. BHP Billiton Ltd.	D	Dividend	J	T	partial sale	5/07	J	C	
44. BHP Billiton Ltd.	E	Dividend	K	T	sale	7/11	K	D	
45. TINT Bonds Due 11/15/08-11/15/10	F	Interest	L	T					
46. New Zealand Govt. Ex Div	A	Dividend	J	T					
47. First Trust Value Line	A	Dividend	J	T					
48. 1 Shares Silver Trust	A	Dividend	J	T					
49. Ivy Global N.R. Fund CLA	A	Dividend	J	T					
50. Suncare Inc.	A	Dividend	J	T					
51. Southern Copper Corp.	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	● = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

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52. Southern Copper Corp.	B	Dividend	J	T	partial sale	7/11	J	A	
53. Frontline Limited	B	Dividend	J	T					
54. Frontline Limited	C	Dividend	J	T	partial sale	3/30	J	A	
55. Barrick Gold Corp.	A	Dividend	J	T					
56. Barrick Gold Corp.	E	Dividend	J	T	partial sale	10/30	K	C	
57. Ivy Global Nat. Resources Fund	A	Dividend	J	T					
58. Street Tracks Gold Trust	A	Dividend	K	T					
59. Knights BridgeTankers	A	Dividend	J	T					
60. Telecom Corp. New Zealand	A	Dividend	J	T					
61. Enerplus Resources Fund	A	Dividend	J	T	buy	3/30	J		
62. Enerplus Resources Fund	A	Dividend	J	T	buy	9/26	J		
63. EPA Capital Fund Inc.	A	Dividend	J	T	buy	5/07	K		
64. EPA Capital Fund Inc.	A	Dividend	J	T	buy	8/22	J		
65. Loomis Sayles Strat. Income Fund	A	Dividend	K	T	buy	3/30	K		
66. Loomis Sayles Strat. Income Fund	A	Dividend	J	T	buy	7/11	J		
67. Berkshire Hathaway CLB	A	Dividend	L	T					
68. BHP Billiton Ltd.	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

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69. Exxon Mobil Corp.	A	Dividend	L	T					
70. Gabelli Equity Trust	A	Dividend	J	T	partial sale	8/22	J	A	
71. Maine Municipal Assoc.	B	Interest	M	T					
72. Loomis Sayles Strategic Income FD	A	Dividend	L	T					
73. Acom Co. Ltd.	A	Dividend	J	T					
74. Aegon Nv NY	A	Dividend	J	T					
75. Akzo Nobel NV	A	Dividend	J	T	partial sale	7/12	J	C	
76. Alcatel-Lucent	A	Dividend	J	T	partial sale	7/12	J	B	
77. Astrazenica PLC	A	Dividend	J	T	partial sale	7/12	J	A	
78. Barclays PLC	A	Dividend	J	T					
79. British Sky Broadcasting Group	A	Dividend	J	T	partial sale	7/12	J	B	
80. Contax Participacoes ADR	A	Dividend	J	T					
81. Covidien Ltd.	A	Dividend	J	T					
82. Dai Nippon Printing Co. ADR	A	Dividend	J	T					
83. Deutsche Telecom AG ADR	A	Dividend	K	T	partial sale	7/12	J	A	
84. France Telecom SA ADR	A	Dividend	J	T	partial sale	5/07	J	A	
85. Fuju Film Holding Corp.	A	Dividend	J	T	partial sale	7/12	J	B	

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

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R = Cost (Real Estate Only)

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

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86. Fuji Heavy Industries Ltd.	A	Dividend	J	T					
87. Glaxo Smithkline PLC	A	Dividend	J	T					
88. H. Lumbdbeck A/S	A	Dividend	J	T					
89. Hitachi Ltd.	A	Dividend	J	T					
90. HSBC Holdings PLC	A	Dividend	J	T					
91. Infineon Technologies ADR	A	Dividend	J	T	partial sale	1/12	J	C	
92. Intesa Saopaulo ADR	A	Dividend	J	T					
93. Kingfisher PLC	A	Dividend	J	T					
94. Koninklijke Ahold ADA	A	Dividend	J	T	partial sale	7/12	J	C	
95. Korea Electric Power Corp.	A	Dividend	J	T	partial sale	7/12	J	A	
96. KT Corp ADR	A	Dividend	J	T					
97. L.M. Ericsson Telefon Co. Spk	A	Dividend	J	T					
98. Marks & Spencer Group Inc.	A	Dividend	J	T	partial sale	5/07	J	B	
99. Millea Holdings Inc.	A	Dividend	J	T	partial sale	7/12	J	A	
100. Mitsubishi VFJ Financial Group IXIC	A	Dividend	J	T	partial sale	5/07	J	A	
101. Mitsui Sumitomo INSI Ltd.	A	Dividend	J	T	partial sale	7/12	J	A	
102. Mizutto Financial Group Inc.	A	Dividend	J	T	partial sale	7/12	J	A	

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(See Columns B1 and D4)

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D = \$5,001 - \$15,000
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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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103. NEC Corp. ADR Japan ADR	A	Dividend	J	T	partial sale	7/12	J	A	
104. Nestles Corp.	A	Dividend	J	T	partial sale	7/12	J	B	
105. Nippon Tel & Tel Corp.	A	Dividend	J	T					
106. Nortel Networks Corp.	A	Dividend	J	T					
107. Portugal Telecom SCPS ADR	A	Dividend	J	T					
108. Promise Co. Ltd.	A	Dividend	J	T					
109. Royal Bank Scotland Group PLC	A	Dividend	J	T	partial sale	10/18	J	A	
110. Sanofi-Aventis SA ADR	A	Dividend	J	T	partial sale	5/07	J	A	
111. Seiko Epson Corp.	A	Dividend	J	T					
112. SK Telecom Co. Ltd	A	Dividend	J	T	partial sale	7/12	J	A	
113. Sony Corp. ADR Japan	A	Dividend	J	T					
114. STMICRO Electronics NV	A	Dividend	J	T	partial sale	7/12	J	A	
115. Sumitro Mitsui Financial Group Ltd	A	Dividend	J	T					
116. Swisscom AG	A	Dividend	J	T	partial sale	7/12	J	A	
117. Tele Norte Leste Participacoes SA	A	Dividend	J	T					
118. Teleboas Telecomunica Brasilei RAS SA	A	Dividend	J	T					
119. Telecom Italia SA	A	Dividend	J	T	partial sale	7/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

CARTER, GENE

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Telecom Corp. New Zealand	A	Dividend	J	T	partial sale	9/25	J	A	
121. Telecom Argentina SA	A	Dividend	J	T					
122. Telefonica SA	A	Dividend	J	T					
123. Telefonos de Mexico SA	A	Dividend	J	T	partial sale	5/07	J	C	
124. Thompson SPON ADR	A	Dividend	J	T	partial sale	7/12	J	A	
125. Tim Participacoes	A	Dividend	J	T					
126. Tomprins PLC	A	Dividend	J	T					
127. Toppan Printing Ltd	A	Dividend	J	T	partial sale	7/12	J	A	
128. Tyco Electronics Ltd	A	Dividend	J	T	partial sale	7/16	J	A	
129. Unilever NV NY	A	Dividend	J	T	partial sale	7/12	J	C	
130. ViVo Participacoes	A	Dividend	J	T					
131. Wolters Kluwer NV ADR	A	Dividend	J	T	partial sale	7/12	J	A	
132. Royce Microcap	A	Dividend	J	T	partial sale	7/11	J	A	
133. Davis New York Vexiture Fund	A	Dividend	J	T					
134. Ivy Cundill Global Value Fund	A	Dividend	J	T					
135. Loomis Sayles Strat, Income Fund	A	Dividend	J	T					
136. First Eagle	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

CARTER, GENE

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Ivy Global Nat. Resources Fund	A	Dividend	J	T					
138. Gabelli Healthcare Inc.	A	Dividend	J	T	sale	7/11	J	A	
139. AMRO Holding, Inc.	A	Dividend	J	T	sale	7/12	J	C	
140. PT Multi Media	A	Dividend	J	T	sale	7/12	J	A	
141. BT Group PLC	A	Dividend	J	T	sale	7/12	J	C	
142. Compania Anorima	A	Dividend	J	T	sale	5/17	J	A	
143. Compass Group PLC	A	Dividend	J	T	sale	2/16	J	A	
144. Daimler AG	A	Dividend	J	T	sale	7/12	J	C	
145. DBS Group	A	Dividend	J	T	sale	7/12	J	C	
146. Hitachi Ltd	A	Dividend	J	T	sale	5/07	J	B	
147. J. Sainsbury PLC	A	Dividend	J	T	sale	7/12	J	C	
148. Jardine Matheson	A	Dividend	J	T	sale	10/31	J	B	
149. Zurich Financial, Inc.	A	Dividend	J	T	sale	2/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

CARTER, GENE

Date of Report

05/14/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The entries on lines 67 through 149 of Part VII relate to holdings and transactions in [REDACTED] inherited investments.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

CARTER, GENE

Date of Report

05/14/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544