

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF IOWA
www.iand.uscourts.gov

CHAMBERS OF
MARK W. BENNETT
U.S. District Court Judge
P. O. Box 838
Sioux City, Iowa 51101

712/233-3909

FAX: [REDACTED]

E-mail Address: [REDACTED]

October 1, 2007

**AMENDMENT TO FINANCIAL DISCLOSURE
REPORT DATED 07/23/07**

Judge Ortrie D. Smith
Chairman, Committee on Financial Disclosure
One Columbus Circle NE
Washington, D.C. 20544

RE: ***Calendar Year 2006 Filing***

Dear Judge Smith:

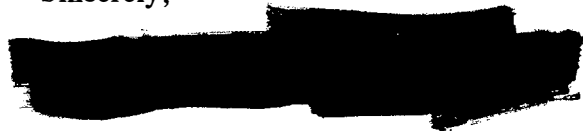
Thank you for your letter of September 4, 2007, a copy of which is attached to this response for your convenience.

- 1) In Part VII, page 5, line 13, I have amended the report by deleting line 13 – it is an extraneous line from the 2005 report. In Part VII, page 8, line 53 (now line 52), and page 10, lines 87-89 (now lines 86-88), I have amended the report by filling in the consideration paid and received for the asset in Column D(3).
- 2) In Part VII, page 8, line 66 (now line 65), and page 10, line 88 (now line 87), for “Franklin Income Class C” and “Hartford Capital Appreciation Fund”, I have amended the report by including the appropriate income types in Column B.
- 3) In Part VII, page 10, line 93 (now line 92), I have amended the report by including the appropriate information in Column D, as this asset was purchased during the reporting period.

Finally, with the deletion of line 13 from page 5, I have readjusted the line numbers in the “Additional Information” section. Please let me know if you should have any questions.

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DISCLOSURE OFFICE

Sincerely,

A large, solid black rectangular redaction box covering the signature of the sender.

Mark W. Bennett
U.S. District Court Judge

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BENNETT, MARK W	2. Court or Organization USDC/Northern District of Iowa	3. Date of Report 10/1/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 313 United States Courthouse 320 Sixth Street Sioux City, IA 51101		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

10/1/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Aspen Publishing	\$ 3300
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Judicature Society /Drake Law School Series, Des Moines, Iowa	2.26-3.1 mileage, lodging, meals
2. Iowa Academy of Trial Lawyers, Des Moines, Iowa	3.1- 3.3 lodging, meals
3. Visiting Jurist in Residence at the Iowa University School of Law, Iowa City, Iowa	3.27-3.30 mileage, lodging, meals
4. Drake University Law School , Des Moines, Iowa	4.2-4.3 mileage, lodging, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting**BENNETT, MARK W****Date of Report**

10/1/2007

5.	Eighth Circuit Chief Judges Conference, Minneapolis, Minnesota	4.5-4.6 airfare, lodging, meals
6.	Georgetown Employment Law Institute, Washington, DC	4.6-4.7 airfare, lodging, meals
7.	ITLA Employment Law Seminar, Des Moines, Iowa	4.20-4.21 mileage, lodging, meals
8.	Defender Services Committee Meeting, Washington, DC	4.23-4.28 airfare, lodging, meals
9.	Northern District of Iowa Courthouse Project Meeting, Cedar Rapids, Iowa	5.11-5.12 airfare, lodging, meals
10.	Advanced Fed Def Training Program/Def Services Comm Meeting San Fran/Sonoma, CA	5.30-6.3 airfare, lodging, meals
11.	West KM meeting, Cedar Rapids, IA	6.7-6.8 airfare, lodging, meals
12.	National Workshop for District Court Judges, San Diego, CA	6.20-6/23 airfare, lodging, meals
13.	Coe College Death Penalty Seminar/New Courthouse Construction Mtg, Cedar Rapids, IA	7.12-7.14 airfare, lodging, meals
14.	Judicial Conference, Gull Lake, MN	7.16-7.20 airfare, lodging, meals
15.	Iowa Bar Association Summer Seminar, Okoboji, IA	8.19 mileage, meals
16.	Magistarte Judge Interviews, Cedar Rapids, IA	9.5-9.6 airfare, lodging, meals
17.	Courthouse Construction Meeting, Cedar Rapids, IA	10.26-10.28 airfare, lodging, meals
18.	Seminar on Law and Science, Brooklyn, NY	11.2-11.4 airfare, lodging, meals
19.	Eleventh Circuit Judges' Meeting, Key West, FL	12.3-12.4 airfare, lodging, meals
20.	Defender Services Committee Meeting, New Orleans, LA	12.4-12.8 airfare, lodging, meals
21.	Federal Practice Seminar, Des Moines, IA	12.15 mileage, meals
22.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

10/1/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

10/1/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Stocks (IRA)									
19. JDS Uniphase Corporation		None	J	T					
20. Michael's Stores (see notes)		None			Partial Sale	5/25	J	B	
21.					Partial Sale	6/23	J	B	
22. Surebeam Corp. (see notes)		None							
23. Schwab Money Market Fund (IRA)		None	J	T					
24. Non IRA Assets and Investment Activity									
25. Allianz RCM Biotech Fund Class		None			Sale	1/10	J		
26. ANTX		None	J	T					
27. Cisco		None	J	T					
28. Fidelity Emerging Markets		None	J	T	Buy	3/28	J		
29. Franklin Income Class C		None	J	T	Buy	11/9	J		
30. Hartford Capital Appreciation CL C		None	J	T	Buy	11/9	J		
31. JDS Uniphase		None	J	T					
32. Michael's Stores (see notes)		None							
33. Surebeam Corp.	A	Dividend	J	T					
34. Wasatch Core Growth		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

10/1/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
notes)									
52.					Partial Sale	6/22	J	A	
53.					Partial Sale	11/3	J	A	
54. Allianceber International		None	J	T					
55. Berkshire Hathaway Inc DEL CL B		None	K	T					
56. Cambiar Opportunity Inv.		None	J	T					
57. FBR Small Cap Class		None	J	T					
58. Fidelity Cash Reserves		None	J	T					
59. Fidelity Emerging Markets		None	J	T	Partial Sale	5/2	J	A	
60.					Partial Sale	6/20	J	A	
61.					Partial Sale	11/1	J	A	
62. Fidelity New Millenium		None	J	T					
63. Fidelity Select NWK & Infrastructure		None			Sale	12/21	J		
64. Fidelity Large Cap Value Fund		None	K	T	Buy	12/21	K		
65. Franklin Income Class C		None	K	T	Buy	10/16	J		
66.					Buy	11/6	K		
67. Harding Loevner Emerging Market		None	J	T	Partial Sale	5/2	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

10/1/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
85. Bank Deposit Program (see notes)		None	J	T					
86. Franklin Income Fund Class C		None	K	T	Buy	10/6	K		
87. Hartford Capital Appreciation Fund		None	J	T	Buy	10/9	J		
88.					Buy	10/16	J		
89. Olstein Financial Alert Fund (see notes)		None	J	T	Partial Sale	10/16	K	E	
90. RBC Dain Rauscher, Inc. (see notes)		None	L	T					
91. UTS First (see notes)		None	J	T					
92. Washington Mutual Bank COD		None	K	T	Buy	10/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

10/1/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Michael's Stores (#20) is no longer owned due to a cash merger on 10.31.06 with a total value of \$5,362.39

Surebeam Corp. (#22) per records this stock had its registration revoked by the SEC effective 10.20.06

Michael's Stores (#32) is no longer owned due to a cash merger on 10.31.06 with a total value of \$5,233.95

Spouse's IRA in line 50 is a Fidelity account

Aim International Small Co. (#51) was referred to as Aim International Emerging Growth in the 2005 report

 IRA in line 84 is a Smith Barney account just opened in 2006

Cash was deposited into the Bank Depoist Program (#85) in this IRA on 10/6/06

Olstein Financial Alert Fund (#89) was transferred into this IRA on 10/5/06

RBC Dain Rauscher Inc (#90) was deposited into this IRA on 10/5/06

UTS First (#91) was transferred into this IRA on 10/5/06

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

10/1/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

10/1/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BENNETT, MARK W	2. Court or Organization USDC/Northern District of Iowa	3. Date of Report 7/23/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 313 United States Courthouse 320 Sixth Street Sioux City, IA 51101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Page 2 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Aspen Publishing	\$ 3300
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Judicature Society /Drake Law School Series, Des Moines, Iowa	2.26-3.1 mileage, lodging, meals
2. Iowa Academy of Trial Lawyers, Des Moines, Iowa	3.1- 3.3 lodging, meals
3. Visiting Jurist in Residence at the Iowa University School of Law, Iowa City, Iowa	3.27-3.30 mileage, lodging, meals
4. Drake University Law School , Des Moines, Iowa	4.2-4.3 mileage, lodging, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

BENNETT, MARK W

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5.	Eighth Circuit Chief Judges Conference, Minneapolis, Minnesota	4.5-4.6 airfare, lodging, meals
6.	Georgetown Employment Law Institute, Washington, DC	4.6-4.7 airfare, lodging, meals
7.	ITLA Employment Law Seminar, Des Moines, Iowa	4.20-4.21 mileage, lodging, meals
8.	Defender Services Committee Meeting, Washington, DC	4.23-4.28 airfare, lodging, meals
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11.	West KM meeting, Cedar Rapids, IA	6.7-6.8 airfare, lodging, meals
12.	National Workshop for District Court Judges, San Diego, CA	6.20-6/23 airfare, lodging, meals
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18.	Seminar on Law and Science, Brooklyn, NY	11.2-11.4 airfare, lodging, meals
19.	Eleventh Circuit Judges' Meeting, Key West, FL	12.3-12.4 airfare, lodging, meals
20.	Defender Services Committee Meeting, New Orleans, LA	12.4-12.8 airfare, lodging, meals
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22.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mutual Funds (IRA)									
2. Aim Global Health Care		None	J	T					
3. Buffalo Small Cap Fund		None	J	T	Partial Sale	12/28	J	D	
4. Cambiar Opportunity Fund		None	J	T	Partial Sale	1/12	J	B	
5.					Partial Sale	4/25	J	B	
6. Excelsior Equity Opportunities Fund		None	J	T	Buy	11/13	J		
7. Excelsior Value and Restructuring Fund		None	J	T					
8. Harding Loevner Emerging		None	J	T					
9. Hennesy Cornerstone		None			Sale	12/28	J	D	
10. Rainier Small/Mid Cap Equity Portfolio		None	J	T	Partial Sale	12/28	J	C	
11. Schwab Hedged Equity		None	J	T	Partial Sale	2/24	J	B	
12.					Partial Sale	12/22	J	B	
13.					Buy	11/10			
14. TCW Galileo Value Oppty		None			Sale	10/23	J	D	
15. Wasatch Core Growth Fund		None	J	T	Partial Sale	1/23	J	C	
16.					Partial Sale	7/25	J	B	
17.					Partial Sale	12/28	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Wasatch International Growth Fund		None	J	T		9/7			
19. Stocks (IRA)									
20. JDS Uniphase Corporation		None	J	T					
21. Michael's Stores (see notes)		None			Partial Sale	5/25	J	B	
22.					Partial Sale	6/23	J	B	
23. Surebeam Corp. (see notes)		None							
24. Schwab Money Market Fund (IRA)		None	J	T					
25. Non IRA Assets and Investment Activity									
26. Allianz RCM Biotech Fund Class		None			Sale	1/10	J		
27. ANTX		None	J	T					
28. Cisco		None	J	T					
29. Fidelity Emerging Markets		None	J	T	Buy	3/28	J		
30. Franklin Income Class C		None	J	T	Buy	11/9	J		
31. Hartford Capital Appreciation CL C		None	J	T	Buy	11/9	J		
32. JDS Uniphase		None	J	T					
33. Michael's Stores (see notes)		None							
34. Surebeam Corp.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Wasatch Core Growth		None	J	T					
36. WasatchUltra Growth		None	J	T					
37. Williams Co's Inc.		None	J	T					
38. Miscellaneous Holdings									
39. Iowa College Savings Account-- [REDACTED] [REDACTED] custodian		None	K	T	Contribute		J		
40. Iowa College Savings Account . [REDACTED] [REDACTED] custodian		None	K	T	Contribute		J		
41. CKG ACCT; Fidelity, Boston MA	B	Interest	J	T					
42. Wells Fargo Checking Account	A	Interest	J	T					
43. Iowa/Nebraska State Bank Checking Account	A	Interest	J	T			J		
44. REINSURANCE AGREEMT; 1st Colony Life Ins. Co.		None	M	W					
45. Belcour Pension Invest VI		None	J	T					
46. LMTD PTRSHIP; Riverfront Ass'n; Des Moines,		None	J	W					
47. LMTD PTRSHIP-Shearson Senior Income Fund		None	J	W					
48. LMTD PTRSHIP-Shearson Coast Savings		None	J	W					
49. LMTD PTRSHIP-Caryle Real Estate		None	J	W					
50. RESIDENTIAL LOT; Sioux City, IA		None	K	W					
51. IRA [REDACTED] (see notes)									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Aim International Small Company (see notes)		None	J	T	Partial Sale	5/4	K	B	
53.					Partial Sale	6/22		A	
54.					Partial Sale	11/3	J	A	
55. Allianceber International		None	J	T					
56. Berkshire Hathaway Inc DEL CL B		None	K	T					
57. Cambiar Opportunity Inv.		None	J	T					
58. FBR Small Cap Class		None	J	T					
59. Fidelity Cash Reserves		None	J	T					
60. Fidelity Emerging Markets		None	J	T	Partial Sale	5/2	J	A	
61.					Partial Sale	6/20	J	A	
62.					Partial Sale	11/1	J	A	
63. Fidelity New Millenium		None	J	T					
64. Fidelity Select NWK & Infrastructure		None			Sale	12/21	J		
65. Fidelity Large Cap Value Fund		None	K	T	Buy	12/21	K		
66. Franklin Income Class C			K	T	Buy	10/16	J		
67.					Buy	11/6	K		
68. Harding Loevner Emerging Market		None	J	T	Partial Sale	5/2	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Partial Sale	6/20	J	A	
70. International Business Machine		None			Sale	5/4	J		
71. JP Morgan Emerging Market Debt Select		None	J	T					
72. Matthews Pacific Tiger Fund		None	J	T	Partial Sale	6/20	J	A	
73. Neurberger Berman Partners Trust		None	J	T					
74. Oakmark Equity & Income FD		None	K	T	Buy	5/3	J		
75.					Buy	6/21	J		
76.					Buy	6/26	J		
77.					Buy	7/5	K		
78.					Partial Sale	10/10	K	C	
79. Wasatch Core Growth		None	J	T	Partial Sale	12/29	J	B	
80. Wasatch Hoisington US		None	J	T					
81. Wasatch Small Cap Value		None	J	T	Partial Sale	6/30	K	B	
82.					Partial Sale	7/3	K	B	
83.					Partial Sale	11/1	J	A	
84.					Partial Sale	12/29	J	B	
85. (RA) (see notes)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Bank Deposit Program (see notes)		None	J	T					
87. Franklin Income Fund Class C		None	K	T	Buy	10/6			
88. Hartford Capital Appreciation Fund			J	T	Buy	10/9			
89.					Buy	10/16			
90. Olstein Financial Alert Fund (see notes)		None	J	T	Partial Sale	10/16	K	E	
91. RBC Dain Rauscher, Inc. (see notes)		None	L	T					
92. UTS First (see notes)		None	J	T					
93. Washington Mutual Bank COD		None	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Michael's Stores (#21) is no longer owned due to a cash merger on 10.31.06 with a total value of \$5,362.39

Surebeam Corp. (#23) per records this stock had its registration revoked by the SEC effective 10.20.06

Michael's Stores (#31) is no longer owned due to a cash merger on 10.31.06 with a total value of \$5,233.95

██████ IRA in line 51 is a Fidelity account

Aim International Small Co. (#52) was referred to as Aim International Emerging Growth in the 2005 report

██████ IRA in line 85 is a Smith Barney account just opened in 2006

Cash was deposited into the Bank Depoist Program (#86)in this IRA on 10/6/06

Olstein Financial Alert Fund (#90) was transferred into this IRA on 10/5/06

RBC Dain Rauscher Inc (#91) was deposited into this IRA on 10/5/06

UTS First (#92) was transferred into this IRA on 10/5/06

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

BENNETT, MARK W

Date of Report

7/23/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

7/23/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544