

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wanslee, Madeleine C.	2. Court or Organization US Bankruptcy Court Arizona	3. Date of Report 12/16/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address US Bankruptcy Court Arizona 230 North First Ave. Suite 101 Phoenix AZ 85003-1727		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

self employed bankruptcy trustee

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market	A	Interest	K	T	Sold	06/09/15	K	A	
2. Vanguard Morgan Growth fund	E	Dividend	N	T	Sold	06/09/15	N	C	
3. Fidelity Dividend Growth	E	Dividend	M	T	Sold	06/09/15	M	C	
4. Fidelity Cap Appreciation	E	Dividend	N	T	Sold	06/09/15	N	B	
5. Templeton Foreign Fund	E	Dividend	M	T	Sold	06/09/15	M	C	
6. 3 D System	A	Dividend			Sold	02/20/14	J	B	
7. Achillion Pharma	A	Dividend			Sold	08/26/14	J	C	
8. Artesian Res Corp	A	Dividend			Sold	11/04/14	J	A	
9. Chelsea Therapeutics	A	Dividend			Sold	01/15/14	J	D	
10. Cisco Systems	A	Dividend			Buy	10/27/14	J		
11. Cisco Systems	A	Dividend			Sold	10/27/14	J	A	
12. Chochlear	A	Dividend			Sold	10/27/14	J	A	
13. Gamestop	A	Dividend			Sold	11/05/14	J	C	
14. Health Care REIT	A	Dividend			Sold	07/21/15	J		
15. Health Care REIT	A	Dividend			Sold	11/05/14	J	A	
16. Inuit	A	Dividend			Sold	11/05/14	J	D	
17. Joy Global	A	Dividend			Sold	04/24/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Newfield	A	Dividend			Sold	04/07/14	J	A	
19. Nokia	A	Dividend			Sold	09/19/14	J	C	
20. Owens & Minor	A	Dividend			Buy	03/20/14	J		
21. Owens & Minor	A	Dividend			Sold	11/05/14	J	A	
22. Peabody corp	A	Dividend			Sold	07/22/14	J	A	
23. Whole Foods	A	Dividend			Buy	03/25/14	J		
24. Whole Foods	A	Dividend			Sold	11/05/14	J	A	
25. Winnold	A	Dividend			Sold	11/05/14	J	A	
26. Franklin Mutual Global	A	Dividend			Sold	09/19/14	J	B	
27. Invesco Global Health care	A	Dividend			Sold	11/05/14	J	D	
28. Seadrill partners	A	Royalty			Sold	01/07/14	J	A	
29. Fidelity New Insights	D	Dividend	M	T	Buy (add'l)	10/17/14	K		
30. Invesco VK E&I	C	Dividend	M	T	Buy (add'l)	10/17/14	K		
31. Fidelity Lev Co	C	Dividend	M	T	Buy (add'l)	08/11/15	K		
32. Davis NY Venture	B	Dividend	L	T					
33. Eagle Mid Cap	A	Dividend	L	T					
34. AF EuroPac	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. AF Growth fund of AM	D	Dividend	L	T					
36. Fidelity Small Cap	B	Dividend	K	T	Buy (add'l)	08/11/15	J		
37. Eaton Vance Div Builder	B	Dividend	K	T					
38. Oppenheimer Dev Markets	B	Dividend	K	T					
39. Eaton Vance Atlantic Cap	B	Dividend	K	T					
40. Columbia Marisco	C	Dividend	K	T	Sold (part)	12/08/15	J	B	
41. AF Cap. World	A	Dividend	J	T	Buy (add'l)	08/11/15	K		
42. Eagle Small Cap	A	Dividend	K	T					
43. Fidelity Mid Capp	A	Dividend	J	T	Buy (add'l)	08/10/15	J		
44. Oppenheimer Int Bond	A	Dividend	J	T					
45. AF Balanced fund	C	Dividend	J	T					
46. AT&T	A	Dividend	J	T					
47. Oppenheimer Sm Mid	A	Dividend	J	T					
48. Abbott Labs	A	Dividend	J	T	Sold	07/21/15	J	B	
49. Cantel Medical	A	Dividend	J	T	Sold	07/21/15	J	A	
50. Glaxosmith	A	Dividend	J	T	Sold	07/21/15	J		
51. Leapfrog enterprise	A	Dividend	J	T	Sold	07/21/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Sina Corp	A	Dividend	J	T	Sold	07/09/15	J	A	
53. Invesco Balanced	A	Dividend	J	T	Sold	07/21/15	J		
54. Mult-strategy G&I	A	Dividend	J	T	Sold	07/16/15	J	A	
55. Southwest Gas	C	Dividend	M	T	Buy (add'l)	12/15/15	J		
56. Rental Property Phoenix (X)		None	O	W					
57. Fidelity value fund	D	Dividend	J	T	Buy	01/05/15	J		
58. Fidelity Value fund	D	Dividend	J	T	Sold	01/15/15	J	A	
59. cash Dreyfus x	A	Interest	J	T					
60. Eaton Vance	A	Dividend	J	T	Buy	01/05/15	J		
61. Eaton Vance Lrg Cap Value	A	Dividend	J	T	Sold	01/30/15	J	A	
62. Chele X	A	Dividend	J	T	Sold	07/25/14	J	A	
63. CHL X	A	Dividend	J	T	Sold	07/25/14	J		
64. CNX X	A	Dividend	J	T	Sold	04/14/14	J		
65. GRMN X	A	Dividend	J	T	Sold	09/12/14	J		
66. GRPN x	A	Dividend	J	T	Sold	03/07/14	J		
67. OUTF X	A	Dividend	J	T	Sold	06/27/14	J		
68. SSLT X	A	Dividend	J	T	Sold	09/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. ZNGA x	A	Dividend	J	T	Sold	04/23/14	J		
70. WCN x	A	Dividend	J	T	Sold	03/04/14			
71. Dielbold X	A	Dividend			Sold				
72. Fidelity Ad. Div. Int x	A	Dividend	K	T	Sold				
73. FTERQ x	A	Dividend	J	T	Sold	01/29/14	J	A	
74. Oppenheimer Global opps x	A	Dividend	K	T					
75. SoluQ x		None	J	T	Sold	04/23/14	J	A	
76. Harris Bank account	A	Interest	L	T					
77. First Credit union	A	Interest	K	T					
78. Promissory Note PC & MA Wanslee		None	L	T					
79. i shares russel mid cap value x	A	Dividend	K	T	Buy	06/10/15	L		
80. i shares russel mid growth x	A	Dividend	K	T	Buy	06/10/15	K		
81. i shares russell value 1000 x	D	Dividend	M	T	Buy	06/10/15	M		
82. i shares russell growth 1000 x	C	Dividend	M	T	Buy	06/10/15	M		
83. i shares russel 2000 growth x	A	Dividend	K	T	Buy	06/10/15	K		
84. pimco high yeild corp bond x	B	Int./Div.	K	T	Buy	06/10/15	K		
85. Vanguard total bond index x	A	Int./Div.	K	T	Buy	06/10/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Vanguard short term bond index x	B	Int./Div.	L	T	Buy	06/10/15	L		
87. Vanguard dev. markets x	C	Dividend	M	T	Buy	06/10/15	M		
88. vanguard total int. bond index x	A	Int./Div.	K	T	Buy	06/10/15	K		
89. vanguard all world us small cap x	A	Dividend	K	T	Buy	06/10/15	K		
90. Vanguard REIT index x	B	Dividend	K	T	Buy	06/10/15	K		
91. ishares russell 2000 value x	A	Dividend	K	T	Buy	06/10/15	K		
92. Sun America Annuity x	B	Dividend	M	T	Buy	07/07/15	M		
93. Radnet	A	Dividend	J	T	Buy	06/29/15	J		
94. Radnet	A		J	T	Sold	07/21/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Wanslee, Madeleine C.

Date of Report

12/16/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Madeleine C. Wanslee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544