

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J	2. Court or Organization Eastern District of California	3. Date of Report 01/11/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2. Board of Director	Federal Bar Association
3.	
4.	
5.	

RECEIVED
2011 JAN 18 A 10:22
FEDERAL
CLERK OF COURT OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1999	State of California Judges Retirement Plan (pension commences at age 62)
2.	
3.	

FINANCIAL DISCLOSURE REPORT
Page 2 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DESCRIPTION
1. American Business Trial Lawyers Association	Teaching at the annual conference. Air Fare (\$337.60), Hotel (\$1332.65), and Waiver of Registration Fee (\$75): TOTAL: (\$2245.25)
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T					
2. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
3. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T					
4. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T					
5. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T					
6. Artisan Intl Small Cap Fund (Schwab)	B	Interest	K	T					
7. American Fund New World (Schwab) IRA	A	Interest	J	T					
8. Schwab US Treasury Money fund (IRA)	A	Interest	K	T					
9. Wells Fargo Bank Trust #1	A	Interest	J	T					
10. RS Global Natural (Schwab) IRA	A	Interest	J	T					
11. First Eagle Overseas (Schwab)	A	Interest	J	T					
12. American Funds New World (Schwab) IRA	A	Dividend	J	T					
13. Kensington Select Income (Schwab) IRA	A	Dividend	K	T					
14. Longleaf Partners Fund IRA (Schwab)	A	Interest	K	T					
15. Longleaf Partners Fund IRA (Schwab) #2 X	A	Interest	K	T	buy	01-26	K		
16. Clovis Community Bank	A	Interest	J	T					
17. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	R					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
---	--	--	--	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Columbia Housing IX Ltd prmer 1988 \$25,767 (trust #1)	B	Distribution	K	R					
19. Wells Fargo Bank, acct #2	A	Interest	J	T					
20. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
21. Macwest Riverside Housing Inv, LLC		None	M	U					
22. California State University Revenue Muni Bond	A	Interest	K	T					
23. Dallas/Ft. Worth, Texas Int'l Muni Bond	B	Interest	K	T	sell	07/16	K		
24. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
25. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
26. San Francisco Intl Airport muni Bond	A	Interest	J	T					
27. St. Louis MO Airport muni bond	A	Interest	J	T					
28. Bank of America Sub Not corporate bonds	B	Interest	K	T					
29. General Electric Cap Corporate bond	A	Interest	J	T					
30. GTE North Inc bond	A	Interest	J	T					
31. Key Bank corporate bond	B	Interest	K	T					
32. Laclede Gas Co 1st mortgage	B	Interest	J	T					
33. Pacific Bell corp bond	B	Interest	K	T					
34. Providence Gas Co bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$60,001 - \$100,000	B = \$1,001 - \$2,500 O = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessed W = Encumbered	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Prudential Finl Inc corporate bond	B	Interest	K	T					
36. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
37. American Funds, Fund Inv F-I	A	Dividend	K	T					
38. Capital World Growth and Income mutual fund	A	Interest	J	T					
39. Puerto Rico Convention Center bonds	B	Interest	K	T					
40. Contra Costa Community College bonds	A	Interest	J	T					
41. Encinitas Ca Public Library Construction Bonds	A	Interest	J	T					
42. Schwab Govt Money Fund: IRA-Schwabb	A	Interest	K	T					
43. Morgan Stanley CD (Schwab)	B	Interest	K	T					
44. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					
45. Vanguard Ca Intern Term Tax Exempt FD (Schwab)	C	Interest	L	T	sell	04-27	J		
46. Vanguard Primecap Core Fund	B	Interest	K	T					
47. TCW Total Return Bond (schwab) IRA	C	Interest	K	T					
48. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T					
49. Loomis Sayles Bond CI (Schwab) (IRA)	B	Dividend	K	T					
50. Tweedy Brown Global Fund (schwab-IRA)	B	Dividend	K	T					
51. Vanguard GNMA Fund Investor Share (IRA)	B	Dividend	K	T					

1. Income Code	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Code	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
3. Value Method Code	P3 - \$25,000,001 - \$50,000,000	R - Cost (Real Estate Only)	P4 - More than \$50,000,000	S - Assessment	T - Cash Market
(See Column C2)	Q - Appraisal	V - Other	W - Estimated		
	U - Book Value				

FINANCIAL DISCLOSURE REPORT
Page 7 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "OO" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
53. Pimco All Asset Fund, Instlt class Schwab	B	Interest	K	T					
54. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
55. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					
56. Dublin, Calif School Securities X	A	Dividend	K	T	buy	02-10	K		
57. First Eagle Overseas IRA (Schwab) X	A	Dividend	K	T	buy	01-26	K		
58. T Rowe Price new ERA FD X	A	Dividend	J	T	buy	01-26	J		
59. California Bond X	B	Interest	K	T	buy	01-11	K		
60. JP Morgan Highbridge Stat Mkt X	A	Dividend	K	T	buy	08-19	K		
61. Pimco Emrg Local Bd X	A	Interest	K	T	buy	08-20			

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000 R - Cost (Real Estate Only) V - Other	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000 L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000 S - Assumed W - Estimated	D - \$5,001 - \$15,000 H2 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000 T - Cash Market	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value				

FINANCIAL DISCLOSURE REPORT
Page 8 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT
Page 9 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
01/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

January 11, 2011

NOTE: ANY INDIVIDUAL WHOSE NAME APPEARS ON THIS REPORT WHOSE NAME APPEARS ON THIS REPORT OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

United States District Court

EASTERN DISTRICT OF CALIFORNIA



7701 UNITED STATES COURTHOUSE
2500 TULARE STREET
FRESNO, CALIFORNIA 93721
(559) 499-5680

CHAMBERS OF
LAWRENCE J. O'NEILL
UNITED STATES DISTRICT JUDGE


CALENDARING (559) 499-5682

February 7, 2011

Judicial Conference of the U.S.
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2011 FEB 14 A 10:36
FINANCIAL
DISCLOSURE OFFICE

RE: Calendar Year 2010 Filing: AMENDED

Dear Judge Baldock:

Pursuant to the request in your letter dated February 3, 2011, a copy of which I am enclosing, please find three (3) copies of my AMENDED Financial Disclosure Report.

Thank you for your assistance.

Yours Truly,

A large rectangular black box redacting the signature of the U.S. District Judge.

U.S. District Judge

O'Neill, Lawrence J A

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle Initial) O'Neill, Lawrence J	2. Court or Organization Eastern District of California	3. Date of Report 02/07/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Board of Director	Federal Bar Association
3.	
4.	
5.	

RECEIVED
 2011 FEB 14 A 10:37
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	State of California Judges Retirement Plan (pension commences at age 62)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

O'Neill, Lawrence J

Date of Report

02/07/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Business Trial Lawyers Association	Teaching at the annual conference. Air Fare (337.60), Hotel (1332.65), and Waiver of Registration Fee (575): TOTAL: (\$2245.25)
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
02/07/2011

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
02/07/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

		(1)	(2)							Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T						
2. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T						
3. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T						
4. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T						
5. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T						
6. Artisan Intl Small Cap Fund (Schwab)	B	Interest	K	T						
7. American Fund New World (Schwab) IRA	A	Interest	J	T						
8. Schwab US Treasury Money fund (IRA)	A	Interest	K	T						
9. Wells Fargo Bank Trust #1	A	Interest	J	T						
10. RS Global Natural (Schwab) IRA	A	Interest	J	T						
11. First Eagle Overseas (Schwab)	A	Interest	J	T						
12. American Funds New World (Schwab) IRA	A	Dividend	J	T						
13. Kensington Select Income (Schwab) IRA	A	Dividend	K	T						
14. Longleaf Partners Fund IRA (Schwab)	A	Interest	K	T						
15. Longlead Partners Fund IRA (Schwab) #2 X	A	Interest	K	T	buy	01-26	K			
16. Clovis Community Bank	A	Interest	J	T						
17. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	R						

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 5 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
02/07/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Columbia Housing IX Ltd prtner 1988 \$25,767 (trust #1)	B	Distribution	K	R					
19. Wells Fargo Bank, acct #2	A	Interest	J	T					
20. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
21. Macwest Riverside Housing Inv, LLC		None	M	U					
22. California State University Revenue Muni Bond	A	Interest	K	T					
23. Dallas/Ft. Worth, Texas Intl Muni Bond	B	Interest			sell	07/16	K		
24. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
25. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
26. San Francisco Intl Airport muni Bond	A	Interest	J	T					
27. St. Louis MO Airport muni bond	A	Interest	J	T					
28. Bank of America Sub Not corporate bonds	B	Interest	K	T					
29. General Electric Cap Corporate bond	A	Interest	J	T					
30. GTE North Inc bond	A	Interest	J	T					
31. Key Bank corporate bond	B	Interest	K	T					
32. Laclede Gas Co 1st mortgage	B	Interest	J	T					
33. Pacific Bell corp bond	B	Interest	K	T					
34. Providence Gas Co bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 6 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
02/07/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Prudential Finl Inc corporate bond	B	Interest	K	T					
36. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
37. American Funds, Fund Inv F-1	A	Dividend	K	T					
38. Capital World Growth and Income mutual fund	A	Interest	J	T					
39. Puroto Rico Convention Center bonds	B	Interest	K	T					
40. Contra Costa Community College bonds	A	Interest	J	T					
41. Encinitas Ca Public Library Construction Bonds	A	Interest	J	T					
42. Schwab Govt Money Fund: IRA--Schwabb	A	Interest	K	T					
43. Morgan Stanley CD (Schwab)	B	Interest	K	T					
44. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					
45. Vanguard Ca Interm Term Tax Exempt FD (Schwab)	C	Interest			sell	04-27	J		
46. Vanguard Primecap Core Fund	B	Interest	K	T					
47. TCW Total Return Bond (schwab) IRA	C	Interest	K	T					
48. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T					
49. Loomis Sayles Bond CI (Schwab) (IRA)	B	Dividend	K	T					
50. Tweedy Brown Global Fund (schwab--IRA)	B	Dividend	K	T					
51. Vanguard GNMA Fund Investor Share (IRA)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

O'Neill, Lawrence J

Date of Report

02/07/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
53. Pimco All Asset Fund, Instit class Schwab	B	Interest	K	T					
54. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
55. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					
56. Dublin, Calif School Securities X	A	Dividend	K	T	buy	02-10	K		
57. First Eagle Overseas IRA (Schwab) X	A	Dividend	K	T	buy	01-26	K		
58. T Rowe Price new ERA FD X	A	Dividend	J	T	buy	01-26	J		
59. California Bond X	B	Interest	K	T	buy	01-11	K		
60. JP Morgan Highbridge Stat Mkt X	A	Dividend	K	T	buy	08-19	K		
61. Pimco Emrg Local Bd X	A	Interest	K	T	buy	08-20	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C:2)	● = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT
Page 8 of 9

Name of Person Reporting
O'Neill, Lawrence J

Date of Report
02/07/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

O'Neill, Lawrence J

Date of Report

02/07/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

2-7-11

NOTE: ANY INDIVIDUAL

AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544