

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J.	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow		New Hampshire Bar Foundation
2. Director		Challenger Center for Space Science Education
3. Trustee		Trust #1
4. Shareholder (50 percent)		Pleasant Lake Air, Inc., LLC
5. Partner		Pinchers' Investment Group (Neighborhood Investment Club)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
2009 MAY 13 PM 3:33
CLERK OF DISTRICT COURT

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/12/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. NOW Acct Merrimack Cty. Savings Bank	A	Interest	J	T					
2. IRA American Funds: Inv Co of America	B	Dividend	L	T					
3. IRA American Funds: Wash Mut. Inv Fund	A	Dividend	J	T					
4. Exxon Mobil Corp.	A	Dividend	J	T					
5. UBS Retirement Money Fund	A	Interest	J	T					
6. IRA Franklin Templeton For. Fund	B	Dividend	L	T					
7. Pinchers' Investment Group Ptnshp Share (See Part VIII)	A	Dividend	J	T					
8. -MSFT					Sold	04/20/09	J	A	Mkt
9. -Dentsply Int. Inc.									
10. -Air Products & Chem.									
11. -Oceaneering Intl Inc.					Sold	09/15/09	J	B	Mkt
12. -Whiting Petroleum Corp.									
13. -Diageo (DEO)									
14. -Tata Mtrs. (TTM)					Sold	01/19/09	J	A	Mkt
15. -BHP Billiton Ltd ADR									
16. -Gilad Sciences Inc.									
17. -Quest Diagnostics									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Suntech Power Hldgs.									
19. -Unilever NV					Sold	03/28/09	J	A	Mkt
20. -Zebra Technologies					Sold	04/21/09	J	A	Mkt
21. -CDN Natl Rwy Co.									
22. -CIT Group New					Sold	04/21/09	J	A	Mkt
23. -Corp. De Sanco Mento Basico Estado DeSao Pauloads					Sold	04/21/09	J	A	Mkt
24. -El Paso Corp.									
25. -Harleysville Natl Co. PA					Sold	04/21/09	J		Mkt
26. -Vestas Wind Syst.					Sold	04/21/09	J		Mkt
27. -3M Co.									
28. -Apple (AAPL)					Buy	11/13/09	J		Mkt
29. -Ford Motor Co.					Buy	05/15/09	J		Mkt
30. -FLIR Systems					Buy	11/13/09	J		Mkt
31. -FSUMF					Buy	05/15/09	J		Mkt
32. -Terrex Corp.					Buy	01/09/09	J		Mkt
33. CVS Caremark Corp.	A	Dividend	J	T					
34. Praxair, Inc.	A	Dividend	K	T	Buy	07/31/09	J		Mkt

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 6 of 15

Name of Person Reporting MCAULIFFE, STEVEN J.	Date of Report 05/12/2010
--	------------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. IRA I Shares Russell Fund	A	Dividend	J	T					
36. IRA Midcap SPDR TR	A	Dividend	K	T					
37. Fed. Prime MM Fund	A	Dividend	J	T					
38. Merrimack Cty. Sav. Bk. NOW Acct (2)	B	Interest	M	T					
39. Cemex S A	A	Dividend			Sold	05/11/09	J	A	Mkt
40. Diageo (DEO)		None			Sold	04/20/09	J	B	Mkt
41. Thermo Fisher Sci. (TMO)		None	J	T					
42. Enterprise Prods. Ptnrs.	A	Dividend	K	T	Buy	07/31/09	K		Mkt
43. MFS Intl Divers. FD	A	Dividend			Sold	07/23/09	J	A	Mkt
44. Chesapeake Energy Corp.	A	Dividend	J	T					
45. Kinder Morgan Energy LP	A	Dividend	J	T					
46. Penn VA Resource Pt. LP	A	Dividend			Sold	12/15/09	J	A	Mkt
47. Cisco Systems, Inc.	A	Dividend	J	T					
48. Myriad Pharmaceuticals, Inc. (Spin Off of Myriad Genetics)	A	Dividend	J	T	Sold (part)	06/29/09	J	A	Mkt
49. Williams Cos., Inc.	A	Dividend			Sold	11/30/09	J	A	Mkt
50. Ishares TR Russell 2000 Index Fund	A	Dividend	J	T					
51. Ishares TR Russell Midcap Val. Index Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Norfolk South. Corp.	A	Dividend	J	T					
53. Nicholas-Applegate Intl & Prem.	A	Dividend	J	T					
54. Blackrock Real Asset Tr	A	Dividend			Sold	07/23/09	J	A	Mkt
55. IRA Ishares Russell Mid-cap	A	Dividend	K	T					
56. IRA Saytem Comp. Svcs.	A	Dividend	J	T					
57. Mindray Medical Intl Ltd.	A	Dividend			Buy	07/31/09	J		Mkt
58. Mindray Medical Intl Ltd	A	Dividend			Sold	11/30/09	J	A	Mkt
59. Royal Bank of Canada	A	Dividend			Sold	11/30/09	J	A	Mkt
60. Ishares Trust Russell Midcap Growth Index Fund	A	Dividend	J	T					
61. McDonald's Corp.	A	Dividend	K	T	Buy	01/14/09	K		Mkt
62. McDonald's Corp.	A	Dividend	K	T	Sold (part)	04/20/09	J	A	Mkt
63. Apple, Inc.	A	Dividend	J	T					
64. Johnson & Johnson, Inc.	A	Dividend	K	T	Buy	01/14/09	K		Mkt
65. Deere & Co.	A	Dividend	J	T					
66. Praxair (M)	A	Dividend	J	T					
67. Express Scripts, Inc.	A	Dividend	J	T					
68. CR Bard, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and L4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Colgate-Palmolive Co.	A	Dividend	J	T					
70. General Electric	A	Dividend			Sold	12/15/09	J	A	Mkt
71. IBM Corp.	A	Dividend	K	T	Buy	04/20/09	K		Mkt
72. Powershares QQQ Trust Unit	A	Dividend			Sold	12/15/09	J	A	Mkt
73. Northern Trust Prime Obligation Fund 9/1 (Sec Part VIII)	A	Dividend	J	T					
74. Simon PPTY Group, Inc.	A	Dividend	J	T	Buy	06/29/09	K		Mkt
75. Bank of NY Mellon Corp.	A	Dividend			Buy	04/20/09	K		Mkt
76. Bank of NY Mellon Corp.	A	Dividend			Sold	11/30/09	K	A	Mkt
77. Intel Corp.	A	Dividend	K	T	Buy	04/20/09	K		Mkt
78. AT&T, Inc.	A	Dividend			Buy	01/14/09	L		Mkt
79. AT&T, Inc.	A	Dividend			Sold	07/31/09	L	B	Mkt
80. Becton Dickinson	B	Dividend	L	T	Buy	01/14/09	L		Mkt
81. Dominion Resources, Inc.	A	Dividend			Buy	01/14/09	K		Mkt
82. Dominion Resources, Inc.	A	Dividend			Sold	04/20/09	K	A	Mkt
83. 3M Co.	B	Dividend	L	T	Buy	01/14/09	K		Mkt
84. STHN Cmnty B&T NC US	A	Interest	L	T	Buy	01/14/09	L		Mkt
85. Fla. St. Brd Ed. Pub. Ed. Cap.	A	Interest	K	T	Buy	01/14/09	K		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Toyota Fin. Svc. Bk NV US	A	Interest	L	T	Buy	01/14/09	L		Mkt
87. Huntington NAR Bk. OH US	B	Dividend	L	T	Buy	01/14/09	L		Mkt
88. iShares iBoxx Invst Gra. DE Corp. Bond Fund	A	Dividend	K	T	Buy	01/14/09	K		Mkt
89. Tenn. St. Scr. A	A	Interest	K	T	Buy	01/16/09	K		Mkt
90. Fairfax Cty. VA Pub. Scr. A	A	Interest	K	T	Buy	01/14/09	K		Mkt
91. Autoliv, Inc.		None	K	T	Buy	11/30/09	K		Mkt
92. Smucker JM Co. New		None	K	T	Buy	11/30/09	K		Mkt
93. First Colebrook Bank	A	Dividend	J	T	Buy	02/10/09	J		Mkt
94. AFLAC, Inc.		None	J	T	Buy	12/15/09	J		Mkt
95. Danaher Corp.		None	J	T	Buy	12/15/09	J		Mkt
96. Goldman Sachs Group, Inc.		None	J	T	Buy	12/15/09	J		Mkt
97. Google, Inc.		None	J	T	Buy	12/15/09	J		Mkt
98. J.P. Morgan Chase Co.		None	J	T	Buy	12/15/09	J		Mkt
99. Oracle Corp.		None	J	T	Buy	12/15/09	J		Mkt
100. Starbucks Corp.		None	J	T	Buy	12/15/09	J		Mkt
101. Sysco Corp.		None	J	T	Buy	12/15/09	J		Mkt
102. BHP Billiton Ltd.		None	J	T	Buy	12/15/09	J		Mkt

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 10 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. IShare MSCI EAFE Index Fund	A	Interest	J	T	Buy	07/28/09	J		Mkt
104. IShare Trust MSCI Emerging Mkts	A	Interest	J	T	Buy	07/28/09	J		Mkt
105. Bank of Am. Corp. [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
106. Lowes Cos., Inc. [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
107. BP PLC ADR [C]	A	Dividend	J	T					
108. Northern Trust Prime Obligation Fund 9/1 [C] (See Part VIII)	A	Dividend	J	T					
109. Kinder Morgan Energy Ptnrs. LP [C]	A	Dividend			Sold	11/24/09	K	B	Mkt
110. CVS Caremark Corp. [C]	A	Dividend	J	T					
111. Cemex S.A. [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
112. NFJ Dvd Int & PR FD [C]	A	Dividend			Sold	12/12/09	K	B	Mkt
113. Enterprise Prod Pt [C]	A	Dividend			Sold	11/24/09	K	B	Mkt
114. MFS Intl Div FD [C]	A	Dividend			Sold	07/24/09	J	A	Mkt
115. Chesapeake Energy [C]	A	Dividend	J	T					
116. Citizens Commo [C] Name Change: Frontier Comm. Corp.	A	Dividend			Sold	11/24/09	K	B	Mkt
117. Penn VA Res. Ptnrs. [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
118. Alliance Resource Ptnrs [C]	A	Dividend			Sold	11/24/09	K	B	Mkt
119. Blackrock Real Asset [C]	A	Dividend			Sold	07/23/09	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$10,000 I = \$10,001 - \$50,000	D = \$5,001 - \$15,000 J = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	X = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Blackrock Cap & Inc Str [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
121. Eaton Vance Tax Mng Buywrite Inc. [C]	A	Dividend			Sold	07/27/09	K	B	Mkt
122. Eaton Vance Tax Mng [C]	A	Dividend			Sold	07/27/09	K	B	Mkt
123. Nicholas-Applegate Intl. & Prem. Str. [C]	A	Dividend	J	T	Sold (part)	11/24/09	K	B	Mkt
124. Johnson & Johnson [C]	A	Dividend	J	T					
125. Deere & Co. [C]	A	Dividend	K	T					
126. Aflac, Inc. [C]	A	Dividend	K	T					
127. General Electric [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
128. Apple, Inc. [C]	A	Dividend	J	T					
129. IBM Corp. [C]	A	Dividend	J	T					
130. Merrill Lynch Depository Sh. Rep. [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
131. Standard & Poor's 500 Depository Receipt [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
132. Powershares QQQ Trust Unit [C]	A	Dividend			Sold	11/24/09	J	A	Mkt
133. McDonald's Corp. [C]	A	Dividend	J	T					
134. Abbott Labs [C]		None	J	T	Buy	11/24/09	J		Mkt
135. Chevron Corp. [C]		None	J	T	Buy	11/24/09	J		Mkt
136. Colgate-Palmolive Co. [C]		None	J	T	Buy	11/24/09	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$250,000.001 - \$500,000.000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting
MCAULIFFE, STEVEN J.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or inc.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Danaher Corp. [C]		None	J	T	Buy	11/24/09	J		Mkt
138. Dupont E.I. Dem. Co. [C]	A	Dividend	J	T	Buy	05/12/09	J		Mkt
139. Express Scripts Inc. [C]		None	J	T	Buy	11/24/09	J		Mkt
140. Goldman Sachs GP Inc. [C]		None	J	T	Buy	11/24/09	J		Mkt
141. J.P. Morgan Chase Co. [C]		None	J	T	Buy	11/24/09	J		Mkt
142. Nike, Inc. [C]		None	J	T	Buy	11/24/09	J		Mkt
143. Oracle Corp. [C]		None	J	T	Buy	11/24/09	J		Mkt
144. Procter & Gamble Co. [C]		None	J	T	Buy	11/24/09	J		Mkt
145. Spectra Energy Corp. [C]		None	J	T	Buy	11/24/09	J		Mkt
146. Starbucks Corp. [C]		None	J	T	Buy	11/24/09	J		Mkt
147. Sysco Corp. [C]		None	J	T	Buy	11/24/09	J		Mkt
148. United Health Group Inc. [C]		None	J	T	Buy	11/24/09	J		Mkt
149. United Technologies Corp. [C]		None	J	T	Buy	11/24/09	J		Mkt
150. Wells Fargo & Co. [C]		None	J	T	Buy	11/24/09	J		Mkt
151. Buffalo Small Cap Fd [C]	A	Interest	J	T	Buy	05/06/09	J		Mkt
152. BHP Billiton Ltd. [C]		None	J	T	Buy	11/24/09	J		Mkt
153. Transocean Ltd. [C]		None	J	T	Buy	11/24/09	J		Mkt

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. IShares Trust MSCI EAFE Index Fund [C]	A	Interest	J	T	Buy	07/28/09	J		Mkt
155. IShares Trust MSCI Emerging Mkts [C]	A	Interest	J	T	Buy	07/28/09	J		Mkt
156. RMA Tax-Free Fund [K]	A	Interest	J	T					
157. UBS Pace MM Inv Fund Class P [K]	A	Interest	J	T					
158. Am Funds Growth Fund of Am Class F [K]	A	Dividend	J	T					
159. John Hancock Classic Val Fund Class A [K]	A	Dividend	J	T					
160. Oppenheimer Main St. Small Cap Fd A [K]	A	Dividend	J	T					
161. RS Value Fund Class A [K]	A	Dividend	J	T					
162. Thornburg Intl Value Fund Class A [K]	A	Dividend	J	T					
163. DWS Core Fixed Income Fund Class A [K]	A	Dividend	J	T					
164. FT Templeton Global Bond A [K]	A	Dividend	J	T					
165. Manager's Bond Fund [K]	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

05/12/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART I. POSITIONS.

Pleasant Lake Air, Inc., LLC, is a corporation formed to own a Cessna 182 Skylane single engine private airplane. I own a one-half interest in the corporation (and thus the airplane).

PART VI. LIABILITIES.

I own a summer cottage with a former law partner, Edward E. Shumaker, III, as tenants in common, which property is mortgaged in part. That liability is not disclosed since it is secured by real property which is a [REDACTED]

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[c]."

PART VII. INVESTMENTS AND TRUSTS.

Line 7. Pinchers' Investment Group Ptnshp Share (specific holdings noted by " - " below) (investment club - aggregated per suggestion of Committee on Financial Disclosure 6/11/08).

PART VII. INVESTMENTS AND TRUSTS.

Line 73. Column A. Additional description of this asset is: [Northern Trust Prime Obligation Fund, 5/1 Exchanged for Federated Prime Obligation Fd (Money Market Fund)]

PART VII. INVESTMENTS AND TRUSTS

Line 108. Column A. Additional description of this asset is: [Northern Trust Prime Obligation Fund 9/1 Exchanged for Fed. Prime Obligation MM Fund [C]]

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

MCAULIFFE, STEVEN J.

Date of Report

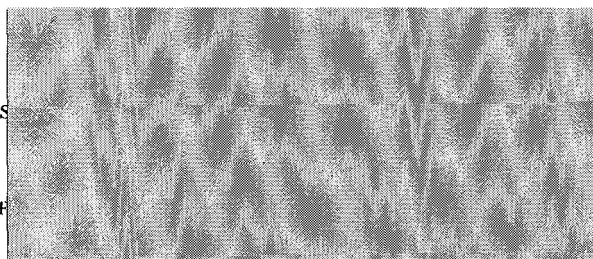
05/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY F
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)



TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544