

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Opperman, Daniel S.	2. Court or Organization Bankruptcy Eastern District MI	3. Date of Report 08/31/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 111 First Street Bay City, Michigan 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors Member and Treasurer	Midland Center for the Arts
2. Board Member	College of Arts and Sciences, Eastern Michigan University
3. Member	Bankruptcy Appellate Panel
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	The Dow Chemical Company - Salary and Bonus; Severance Benefits
2. 2016	The Dow Chemical Company - Pension
3. 2016	Opperman Consulting LLC - Earnings
4. 2016	Orrick, Herrington & Satchliffe, L.L.P. - Consultant Fees
5. 2016	Isabella Bank Corporation - Director Fees

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Bar Association	07/29/16 - 07/31/16	Mackinac Island, MI	Seminar	Transportation and Lodging
2. American Bankruptcy Institute Veteran's Day Consumer Conference	11/11/16	Troy, MI	Seminar	Transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank Accounts "(H)"									
2. First Merit Bank f/k/a Citizens Bank	A	Interest	K	T					
3. Comerica Bank	A	Interest	L	T					
4. Dow Chemical Credit Union	B	Interest	M	T					
5. Members First Credit Union	B	Interest	K	T					
6. Members First Credit Union	B	Interest			Redeemed	01/05/16	J	A	
7. E-Trade	A	Interest	L	T					
8. Merrill Lynch	A	Interest			Redeemed	02/18/16	J	A	
9. Raymond James	A	Interest	K	T					
10. Isabella Bank	A	Interest	M	T					
11. Certificates of Deposit "(H)"									
12. Members First Credit Union	B	Interest	L	T					
13. Dow Chemical Credit Union	B	Interest	L	T					
14. Mayville State Bank	A	Interest	K	T					
15. Municipal Bonds "(H)"									
16. FLORIDA ST GO ULT	A	Interest	K	T					
17. MARYLAND ST GO ULT	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Muniyield Michigan Insured	A	Interest	J	T					
19. Muniyield Michigan Insured	A	Interest	J	T					
20. MICHIGAN ST Trunk Line	B	Interest	K	T					
21. MINNESOTA ST GO ULT	B	Interest	K	T					
22. NEW JERSEY ST GO ULT	B	Interest			Sold	03/01/16	J	A	
23. NEW MEXICO ST GO ULT	B	Interest	K	T					
24. OAKLAND CNTY MI	A	Interest	K	T					
25. OHIO ST GO ULT	B	Interest			Buy	02/29/16	K		
26.					Sold	12/28/16	K	A	
27. OREGON ST GO ULT	B	Interest	K	T					
28. PENNSYLVANIA ST GO ULT	B	Interest	K	T	Buy	12/28/16	K		
29. SAGINAW MI TWP	B	Interest	K	T					
30. TEXAS ST GO ULT	B	Interest	K	T	Sold (part)	04/01/16	K	A	
31. Treasury Bills	A	Interest	N	T					
32. WASHINGTON ST GO ULT	C	Interest	L	T					
33. Real Estate "(H)"									
34. Farmland, Millington, Michigan Acquired 04/13/89 for \$70,000		None	L	R					

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35. Life Insurance "(H)"									
36. Farm Bureau Life Insurance	A	Interest	K	T					
37. Stock and Certificates Held as Tenants By Entirety "(H)"									
38. ATMOS Energy	A	Dividend	J	T					
39. Citizens Communications	A	Dividend	J	T					
40. Chevron Corporation	B	Dividend	J	T					
41. CMS Energy	A	Dividend	J	T					
42. The Dow Chemical Company	D	Dividend	L	T					
43. Great Plains Energy	A	Interest	J	T					
44. Highwoods Property	A	Dividend	J	T					
45. News Corp	A	Dividend	J	T					
46. 20th Century Fox	A	Dividend	J	T					
47. Northwestern Corp	A	Dividend	J	T					
48. XCEL Energy	A	Dividend	J	T					
49. Stocks and Bonds - Broker Account DSO and BLO "(H)"									
50. Adams Natural Resources Fund formerly Petroleum & Resources Corp.	A	Dividend	J	T					
51. Alliance Bernstein Int'l Growth	A	Dividend	K	T					

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52. Ameriprise Insured Money Market	A	Interest	K	T					
53. Camden Property	A	Dividend	J	T					
54. Centrus Energy Corp.		None	J	T					
55. Equity Commonwealth	A	Dividend	J	T					
56. Essex Property	A	Dividend	J	T					
57. First Industrial Realty	A	Dividend	J	T					
58. Five Star Quality Care Inc.		None	J	T					
59. Golden Ocean Group, Ltd. formerly Knightsbridge Shipping	A	Dividend	J	T					
60. Great Plains Energy	A	Dividend	J	T					
61. John Hancock Financial		None	J	T					
62. Nordic American Tanker	A	Dividend	J	T					
63. Royal Dutch Shell PLC	A	Dividend	J	T					
64. Southern Company	A	Dividend	J	T					
65. TECO Energy Inc.	A	Dividend	J	T					
66. Vornado Realty	A	Dividend	J	T					
67. Urban Edge Properties	A	Dividend	J	T					
68. Stocks and Certificates "(H)"									

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69. The Dow Chemical Company	D	Dividend	M	T					
70. Van Kampen Municipal Trust	A	Interest	J	T					
71. Restricted Stock - The Dow Chemical Company		None	M	T					
72. Restricted Stock - Isabella Bank Corporation	B	Dividend	K	T					
73. Stock Options "(H)"									
74. The Dow Chemical Company		None			Sold	12/19/16	K	B	
75. Limited Liability Company Units - SRO "(H)"									
76. Opperman Consulting LLC	E	Dividend	L	T					
77. Rowley Family Properties, LLC		None	L	W					
78. Stocks and Bonds - Broker Accounts IRA SRO "(H)"									
79. Acuity Brands, Inc.	A	Dividend	L	T	Buy (add'l)	01/29/16	K		
80.					Sold (part)	04/27/16	J	B	
81.					Sold (part)	12/21/16	K	B	
82. Akamai Tech. Inc.		None	K	T	Sold (part)	08/03/16	K	A	
83.					Buy (add'l)	12/16/16	K		
84. Allergan Inc	A	Dividend	K	T	Buy (add'l)	08/02/16	K		
85. Amazon.com Inc.		None	L	T	Sold (part)	11/10/16	J	A	

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86. American Tower Corp.	B	Dividend	K	T					
87. A.O. Smith Corp.	A	Dividend	K	T					
88. Apple Computer Inc.	A	Dividend	J	T					
89. Bristol-Myers Squibb	A	Dividend	J	T	Buy	02/17/16	K		
90.					Buy (add'l)	05/13/16	K		
91.					Sold (part)	11/18/16	J	A	
92. Carter's Inc.	A	Dividend	K	T					
93. Celgene Corp.		None	L	T	Buy (add'l)	11/10/16	K		
94. Cerner Corp.		None			Sold	02/23/16	K	D	
95. Dollar Tree Inc		None	K	T	Buy (add'l)	06/27/16	J		
96. Dow Chemical Co	B	Dividend	L	T					
97. Edwards Lifesciences		None	L	T	Buy (add'l)	02/09/16	J		
98.					Sold (part)	04/05/16	J	C	
99.					Sold (part)	12/21/16	J	C	
100. Facebook Inc.		None	L	T	Sold (part)	01/08/16	J	A	
101.					Sold (part)	11/10/16	J	C	
102. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	L	T	Sold (part)	01/08/16	J	B	

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103. Henry Schein		None	L	T	Sold (part)	05/13/16	K	D	
104. Illumina Inc.		None			Sold	05/25/16	K	D	
105. Intuitive Surgical Inc.		None	K	T	Buy	04/21/16	K		
106.					Buy (add'l)	10/19/16	K		
107. KAR Auction Services Ind.	B	Dividend	L	T	Buy	02/23/16	K		
108.					Buy (add'l)	05/25/16	K		
109. LKQ Corp.		None	J	T	Buy	08/03/16	K		
110. Omnicell Inc.		None	K	T	Sold (part)	03/28/16	J	A	
111. O'Reilly Automotive Inc		None	L	T					
112. RBC Money Market	A	Dividend	K	T					
113. Signature Bank NY		None	L	T	Sold (part)	06/27/16	J	A	
114. SPS Commerce		None	K	T					
115. Starbucks	A	Dividend	L	T					
116. Stericycle Inc		None			Sold	02/09/16	K	D	
117. Tractor Supply Co.	A	Dividend			Sold (part)	07/26/16	K	A	
118.					Sold	10/25/16	K	D	
119. The Ultimate Software Group		None	L	T					

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120. Ulta Salon		None	L	T	Buy (add'l)	04/06/16	K		
121.					Sold (part)	06/29/16	J	C	
122. Verisk Analytics		None	K	T					
123. Stocks and Bonds - Broker Accounts IRA DSO "(H)"									
124. Acuity Brands Inc.	A	Dividend	J	T	Buy (add'l)	01/29/16	J		
125.					Sold (part)	12/21/16	J	A	
126. Akamai Tech Inc.		None			Sold	08/03/16	J	A	
127. Allergan formerly Actavis		None			Sold	10/20/16	J	A	
128. Allergan Inc. - Stock		None			Sold	10/20/16	J	A	
129. Amazon.com Inc.		None	J	T	Buy (add'l)	01/15/16	J		
130.					Sold (part)	11/10/16	J	A	
131. American Tower Corp.	A	Dividend	J	T					
132. A.O. Smith Corp.	A	Dividend	J	T					
133. Bristol-Myers Squibb	A	Dividend			Buy	02/17/16	J		
134.					Buy (add'l)	05/13/16	J		
135.					Sold	10/20/16	J	A	
136. Carter's Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Celgene Corp.		None	J	T	Buy (add'l)	11/14/16	J		
138. Cerner Corp.		None			Sold	02/23/16	J	A	
139. CHEVRON CORP.	A	Dividend	J	T					
140. Dollar Tree Inc.		None	J	T	Buy (add'l)	06/27/16	J		
141. Dreyfus Money Market	A	Dividend	K	T					
142. Edwards Lifesciences		None	J	T	Buy (add'l)	02/09/16	J		
143.					Sold (part)	04/05/16	J	A	
144.					Sold (part)	12/21/16	J	A	
145. Facebook Inc.		None	J	T	Sold (part)	01/08/16	J	A	
146.					Sold (part)	11/10/16	J	A	
147. FEDEX CORP.		None	J	T	Buy	06/06/16	J		
148. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	J	T	Sold (part)	01/08/16	J	A	
149. Henry Schein		None	J	T					
150. Illumina Inc.		None			Sold	05/25/16	J	A	
151. INTEL CORP.	A	Interest	J	T					
152. Intuitive Surgical Inc.		None	J	T	Buy	10/20/16	J		
153. JOHNSON & JOHNSON	A	Dividend	J	T	Buy	02/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. KAR Auction Services Ind.	A	Dividend	J	T	Buy	02/23/16	J		
155.					Buy (add'l)	05/25/16	J		
156. LKQ Corp.		None	J	T	Buy	08/05/16	J		
157. MCDONALD'S CORP. BONDS	A	Interest	J	T					
158. MCKESSON CORP.	A	Dividend	J	T					
159. OCCIDENTAL PETROLEUM	A	Interest			Sold	05/05/16	J	A	
160. Omnicell Inc.		None	J	T	Sold (part)	03/28/16	J	A	
161. O'REILLY AUTOMOTIVE COPR.	A	Dividend	J	T					
162. O'Reilly Automotive Inc.		None	J	T					
163. SPS Commerce		None			Sold	08/05/16	J	A	
164. Starbucks Corp.	A	Dividend	J	T					
165. Stericycle		None			Sold	02/09/16	J	A	
166. STRYKER COPR.	A	Dividend	J	T					
167. Tractor Supply Co.	A	Dividend			Sold (part)	07/26/16	J	A	
168.					Sold	10/20/16	J	A	
169. Ulta Salon		None	J	T	Buy (add'l)	04/6/16	J		
170. The Ultimate Software Group		None	J	T	Buy (add'l)	01/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Verisk Analytics		None	J	T					
172. WEYERHAEUSER CO.	A	Dividend	J	T					
173. Broker Account - DSO and SRO "(H)"									
174. Abbott Lab	A	Dividend	J	T	Sold (part)	02/09/16	J	A	
175. Accenture LTD C1 A	A	Dividend	K	T					
176. Allergan formerly Actavis		None	K	T					
177. Amphenol Corp. C1 A	A	Dividend	J	T	Buy	05/02/16	J		
178. Apple Computer Inc.	A	Dividend	K	T	Sold (part)	05/02/16	J	A	
179.					Buy (add'l)	11/08/16	J		
180.					Buy (add'l)	11/18/16	J		
181. ARM Hldgs PLC	A	Dividend			Sold	07/19/16	K	D	
182. Avago Technologies Ltd.	A	Dividend	K	T					
183. Ball Corp.	A	Dividend			Buy	09/30/16	K		
184.					Sold	12/01/16	K	A	
185. Baxter Int'l Inc.	A	Dividend	K	T	Buy	05/26/16	J		
186.					Buy (add'l)	06/29/16	J		
187.					Buy (add'l)	07/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	12/06/16	J		
189. Becton Dickinson	A	Dividend	K	T	Sold (part)	10/04/16	K	D	
190. Black Rock Inc.	A	Dividend	K	T	Buy	12/08/16	K		
191. Bristol-Myers Squibb	A	Dividend			Buy	02/17/16	K		
192.					Sold	12/06/16	K	A	
193. Broadcom Ltd.	A	Dividend	K	T	Buy	03/22/16	K		
194.					Buy (add'l)	03/31/16	J		
195.					Sold (part)	11/08/16	K	B	
196. Canadian Nat'l Railway	A	Dividend	K	T					
197. Cerner Corp.		None			Sold	05/06/16	K	D	
198. Check Point Software		None	K	T	Buy (add'l)	01/15/16	J		
199. Chevron Corp.	B	Dividend	K	T	Buy	12/06/16	K		
200. China Biologic Products		None	K	T	Buy	07/26/16	J		
201.					Buy (add'l)	08/05/16	J		
202. Colgate-Palmolive Co.	A	Dividend	J	T	Buy	05/09/16	K		
203.					Sold (part)	12/16/16	J	A	
204. Comcast Corp	A	Dividend	K	T	Buy (add'l)	12/06/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Costco Wholesale	A	Dividend	K	T					
206. CVS Corp.	A	Dividend	K	T	Sold (part)	08/10/16	J	A	
207. Dassault Systems S.A.	A	Dividend	K	T					
208. Discover Financial	A	Dividend	J	T	Buy	12/19/16	J		
209. Enterprise Prod	A	Dividend	J	T					
210. Exxon Mobil Corp.	A	Dividend	K	T	Buy	06/29/16	K		
211. General Dynamics	A	Dividend	K	T	Sold (part)	05/09/16	K	D	
212.					Buy (add'l)	12/12/16	J		
213. Google Inc. C1 a/k/a A/Alphabet Inc. C1 A		None	K	T					
214. Henkel AG & Co. KGaA	A	Dividend	J	T	Buy	09/13/16	J		
215.					Buy (add'l)	10/04/16	J		
216. Home Depot Inc.	A	Dividend	K	T	Buy (add'l)	12/06/16	J		
217. Hormel Foods Corp.	A	Dividend	J	T	Sold (part)	02/16/16	J	D	
218.					Sold (part)	02/18/16	K	D	
219.					Buy (add'l)	12/06/16	J		
220. Isabella Bank Corporation	D	Dividend	M	T	Buy (add'l)	06/08/16	J		
221.					Buy (add'l)	10/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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222.					Buy (add'l)	12/21/16	J		
223. iShares FTSE/China 25	A	Dividend	J	T					
224. iShares MSCI EAFE	A	Dividend	K	T	Buy (add'l)	12/07/16	J		
225. iShares MSCI Emerging	A	Dividend	J	T	Buy	08/11/16	J		
226. iShares MSCI EU Financial	A	Dividend	K	T	Buy	11/14/16	K		
227. Japan Index	A	Dividend	K	T	Sold (part)	05/02/16	J	A	
228. JOHNSON & JOHNSON	B	Dividend	K	T	Buy (add'l)	02/09/16	J		
229.					Buy (add'l)	04/19/16	J		
230. Kinder Morgan Inc.	A	Dividend	J	T					
231. Luxottica Group A	A	Dividend			Buy (add'l)	01/15/16	J		
232.					Sold (part)	06/20/16	J	A	
233.					Sold	10/03/16	J	A	
234. Marsh & McLennan	A	Dividend	K	T					
235. McCormick & Co.	A	Dividend	K	T					
236. McKesson Corp.	A	Dividend			Sold (part)	01/19/16	J	A	
237.					Sold	11/01/16	J	A	
238. Medtronic PLC	A	Dividend	K	T	Buy	02/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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239.					Buy (add'l)	09/07/16	J		
240.					Buy (add'l)	10/04/16	J		
241.					Sold (part)	12/16/16	J	A	
242. Microsoft Corp.	B	Dividend	K	T	Sold (part)	08/10/16	K	A	
243. Mondelez International	A	Dividend	J	T					
244. New Oriental Education SP ADR		None	K	T	Buy	04/21/16	J		
245.					Buy (add'l)	06/13/16	J		
246.					Sold (part)	11/28/16	J	B	
247.					Buy (add'l)	12/06/16	J		
248. Nielsen Holdings PLC	A	Dividend			Buy	04/26/16	J		
249.					Buy (add'l)	05/09/16	J		
250.					Sold (part)	10/25/16	J	A	
251.					Sold	12/01/16	J	A	
252. Nike Inc.	A	Dividend	J	T	Sold (part)	11/15/16	J	B	
253. Nippon Tel & Tel ADR	A	Dividend	K	T	Sold (part)	02/08/16	J	B	
254.					Sold (part)	11/11/16	J	A	
255. Novo-Nordisk ADR	A	Dividend			Sold (part)	08/05/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold (part)	09/12/16	J	A	
257.					Sold	10/03/16	J	C	
258. Novozymes A/S ADR	A	Dividend			Buy (add'l)	01/15/16	J		
259.					Sold	12/01/16	K	A	
260. Omnicom Group Inc.	A	Dividend	K	T	Buy (add'l)	12/06/16	J		
261. Oneok Partners LP	B	Dividend	K	T					
262. Pepsico Inc.	A	Dividend	K	T	Sold (part)	11/15/16	J	A	
263. Reins Grp of America	A	Dividend	K	T					
264. Republic Services	A	Dividend	K	T					
265. Roche Holdings LTD	A	Dividend			Sold	11/04/16	K	A	
266. Ross Stores	A	Dividend	K	T	Sold (part)	11/28/16	K	D	
267. S&P 500 Trust	A	Dividend	K	T					
268. Schlumberger Ltd.	A	Dividend	K	T	Buy	12/06/16	K		
269. Shire Ltd. ADR	A	Dividend	J	T	Buy	08/12/16	J		
270.					Buy (add'l)	12/06/16	K		
271. Signet Jewelers LTD	A	Dividend			Sold	06/27/16	K	A	
272. Stryker Corp.	A	Dividend	K	T	Sold (part)	11/18/16	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Svenska Cellulosa	A	Dividend	K	T	Buy (add'l)	01/15/16	J		
274. Taiwan Semiconductor	A	Dividend	K	T	Buy (add'l)	01/19/16	J		
275.					Sold (part)	09/26/16	J	B	
276. Tata Motors Ltd.	A	Dividend	J	T	Buy	06/20/16	J		
277. Teekay Offshore	A	Dividend	J	T					
278. TELUS Corp.	A	Dividend	J	T	Buy	12/06/16	J		
279. Toronto-Dominion Bank	A	Dividend	J	T	Buy (add'l)	12/06/16	J		
280. Total System Services, Inc.	A	Dividend	K	T	Sold (part)	11/15/16	K	C	
281. Ulta Salon		None	J	T					
282. Unilever NV	A	Dividend	K	T					
283. UnitedHealth Group	A	Dividend	L	T	Sold (part)	11/18/16	K	D	
284. Union Pacific Corp.	A	Dividend	J	T					
285. Verizon	A	Dividend	J	T					
286. Visa Inc.	A	Dividend	K	T					
287. West Pharmaceutical Services	A	Dividend	J	T	Buy (add'l)	01/05/16	J		
288.					Sold (part)	09/07/16	J	C	
289.					Sold (part)	09/30/16	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Wisdom Tree Europe	A	Dividend	K	T	Buy	01/08/16	J		
291.					Buy (add'l)	11/11/16	J		
292. Wisdom Tree Japan	A	Dividend	K	T	Sold (part)	03/08/16	J	A	
293.					Buy (add'l)	11/11/16	J		
294. WPP PLC	A	Dividend	K	T	Buy (add'l)	03/08/16	J		
295. Zoetis Inc.	A	Dividend	K	T	Buy	07/07/16	J		
296.					Buy (add'l)	08/10/16	J		
297. Retirement Funds "(H)"									
298. ABBEY Capital Futures		None	J	T	Buy	02/22/16	J		
299. American Mutual Fund Class F2	A	Dividend	K	T					
300. AMG Southernsun U.S. Equity	A	Dividend	K	T					
301. AQR Diversified Arbitrage Fund	A	Dividend	J	T					
302. AQR Managed Futures Strategy Fund	A	Dividend	J	T					
303. AQR Style Premium Alternative	A	Dividend	J	T	Buy	03/08/16	J		
304. Artisan International Fund	A	Dividend	J	T					
305. ASG Global Alternatives Fund	A	Dividend	J	T					
306. Boston Partners Long/Short Research Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. Bristol Myers	A	Dividend	K	T					
308. Brown Advisory Growth Equity Fund	A	Dividend	J	T	Buy (add'l)	02/22/16	J		
309. Care Cap Properties	A	Dividend	J	T	Buy	09/26/16	J		
310. Causeway International	A	Dividend	J	T	Buy	08/15/16	K		
311. Citadel Broadcasting Corporation		None			Sold	01/05/16	J	A	
312. Clearbridge Aggressive Growth Fund	A	Dividend	K	T					
313. CM Finance	A	Dividend	J	T					
314. Columbia Acorn Emerging Markets	A	Dividend			Sold	02/22/16	J	A	
315. Credit Suisse Commodity Return Strategy Fund	A	Dividend	J	T					
316. Deutsche Global Real Estate	A	Dividend	J	T					
317. Disney Walt Company Com Disney	A	Dividend	J	T					
318. Dodge & Cox Income Fund	A	Dividend	K	T					
319. Dreyfus Global Real Return	A	Dividend	J	T	Buy	05/02/16	J		
320. Dreyfus Treas Prime Cash	A	Dividend	L	T					
321. Europacific Growth Fund Class F1	A	Dividend	L	T					
322. Exxon Mobil - IRA	A	Dividend	K	T					
323. Gateway Fund Class	A	Dividend	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Global Sachs Strategic Income Fund	A	Dividend	J	T					
325. Harding Loevner Emerging Markets		None	J	T	Buy	05/02/16	J		
326. Heritage Cash Trust	A	Interest	K	T					
327. International Paper Co.	A	Dividend	K	T					
328. Ivy Asset Strategy Fund	A	Dividend	J	T					
329. iShares TR Core MSCI EAFE	A	Dividend	K	T	Buy (add'l)	02/22/16	J		
330.					Buy (add'l)	08/16/16	J		
331. iSharpe TR Core US AGGBD ET	A	Dividend	K	T					
332. John Hancock Disciplined Value Fund	A	Dividend	K	T	Buy (add'l)	02/22/16	J		
333. John Hancock Global Absolute Return	A	Dividend	J	T					
334. JPMorgan Certificate of Deposit		None	K	T					
335. JPMorgan International Value Fund	A	Dividend			Sold	08/15/16	K	A	
336. JPMorgan Value Advantage Fund	A	Dividend	L	T					
337. Knightsbridge Tankers Limited	B	Dividend			Sold	02/08/16	J	A	
338. Lazard Emerging Markets Equity Port	A	Dividend	J	T	Buy (add'l)	02/24/16	J		
339.					Sold (part)	02/25/16	J	A	
340. Locorr Market Trend	A	Dividend	J	T	Buy	08/15/16	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Loomis Sayles Growth Fund	A	Dividend	K	T					
342. Metropolitan West Total Return Bond Fund	A	Dividend	K	T					
343. MFS International Growth Fund	A	Dividend	K	T					
344. Neuberger Berman Long Short Fund	A	Dividend	J	T					
345. Oakmark International	A	Dividend	J	T	Buy	06/29/16	J		
346. Petroleum & Res Corporation	A	Dividend			Sold	08/05/16	J	A	
347. Prudential High Yield Fund	A	Dividend	K	T					
348. Raymond James Bank Deposit Program	A	Interest	M	T					
349. Starbucks	A	Dividend	J	T					
350. Templeton Global Bond Fund	A	Dividend	J	T					
351. T. Rowe Price - Latin America - IRA	A	Dividend	K	T					
352. T Rowe Price Small Cap Value Fund	A	Dividend	K	T					
353. Vanguard Group - Energy Fund	B	Dividend	K	T					
354. Vanguard Index Funds S&P 500	A	Dividend	K	T					
355. Vanguard Market Neutral	A	Dividend	J	T	Buy	07/19/16	J		
356. Victory Integrity Small Cap Value Fund	A	Dividend	K	T					
357. Victory Trivalent Intl	A	Dividend	J	T					

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358. Virtus Emerging Markets	A	Dividend	J	T					
359. Voya International Real Estate	A	Dividend	J	T					
360. Wells Fargo Advantage Absolute Return Fund	A	Dividend	J	T					
361. Wells Fargo Advantage Intl	A	Dividend	J	T					
362. Wells Fargo Certificate of Deposit		None	J	T					
363. William Blair Marco	A	Dividend	J	T	Buy	09/13/16	J		
364. William Blair Macro Allocation Fund	A	Dividend	J	T					
365. Dow Chemical Retirement Health Care Assoc.		None	J	T					
366. Dow Chemical Elective Deferral Plan		None	J	T					
367. Freeland State Bank - Certificate of Deposit - IRA	A	Dividend	K	T					
368. YUM, Inc		None	J	T					
369. Auto Owners Insurance - Annuity	A	Interest	K	T					
370. SEP-IRA - SRO "(H)"									
371. Acuity Brands Inc.	A	Dividend	J	T	Buy (add'l)	01/29/16	J		
372.					Sold (part)	04/07/16	J	A	
373.					Sold (part)	12/21/16	J	A	
374. Akamai Tech Inc.		None	J	T	Sold (part)	08/03/16	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	12/16/16	J		
376. Allergan		None	J	T	Buy (add'l)	06/23/16	J		
377. Amazon.com Inc.		None	J	T					
378. American Tower Corp.	A	Dividend	J	T					
379. A.O. Smith Corp.	A	Dividend	J	T					
380. BNY Deposit		None	J	T					
381. Bristol-Myers Squibb	A	Dividend	J	T	Buy	02/17/16	J		
382.					Buy (add'l)	05/13/16	J		
383.					Buy (add'l)	06/23/16	J		
384.					Sold (part)	11/18/16	J	A	
385. Carter's Inc.	A	Dividend	J	T					
386. Celgene Corp.		None	J	T	Buy (add'l)	06/23/16	J		
387.					Buy (add'l)	11/10/16	J		
388. Cerner Corp.		None			Sold	02/23/16	J	A	
389. Dollar Tree Inc.		None	J	T	Buy (add'l)	06/23/16	J		
390. Edwards Lifesciences		None	J	T	Buy (add'l)	02/09/16	J		
391.					Sold (part)	04/05/16	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	06/23/16	J		
393.					Sold (part)	12/21/16	J	A	
394. Facebook Inc.		None	J	T	Sold (part)	01/08/16	J	A	
395.					Sold (part)	11/10/16	J	A	
396. Google Inc. C1 a/k/a A/Alphabet C1 A		None	K	T	Sold (part)	01/08/16	J	A	
397.					Buy (add'l)	06/23/16	J		
398. Henry Schein		None	J	T					
399. Illumina Inc.		None			Sold	05/25/16	J	A	
400. Intuitive Surgical Inc.		None	J	T	Buy	04/21/16	J		
401.					Buy (add'l)	10/19/16	J		
402. KAR Auction Services Inc.	A	Dividend	J	T	Buy	02/23/16	J		
403.					Buy (add'l)	05/25/16	J		
404. LKQ Corp.		None	J	T	Buy	08/03/16	J		
405. O'Reilly Automotive Inc.		None	J	T					
406. Omnicell Inc.		None	J	T	Sold (part)	03/28/16	J	A	
407. SPS Commerce		None	J	T	Buy (add'l)	06/23/16	J		
408. Signature Bank NY		None	J	T	Sold (part)	06/27/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Opperman, Daniel S.

Date of Report

08/31/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Starbucks Corp.	A	Dividend	J	T					
410. Stericycle Inc.		None			Sold	02/09/16	J	A	
411. The Ultimate Software Group		None	J	T					
412. Tractor Supply Co.	A	Dividend			Sold (part)	07/26/16	J	A	
413.					Sold	10/25/16	J	A	
414. Ulta Salon		None	K	T	Buy (add'l)	04/06/16	J		
415. Verisk Analytics		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Opperman, Daniel S.	08/31/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Daniel S. Opperman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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