

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pfaelzer, Mariana R.	2. Court or Organization Central District of California	3. Date of Report 5/4/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. District Court 312 North Spring Street Los Angeles, CA 90012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member, Board of Trustees	Norton Simon Museum
2. Trustee	Trust # 1
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. VARIOUS	DISTRIBUTION FROM RETIREMENT ACCOUNT FROM JUDICIAL RETIRES & SURVIVORS (ADMIN OFFICE OF THE US COURTS)	\$173,608.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children: see pp. 34-66 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1 Wells Fargo Bank IRA (CDs)	C	Interest	M	T					
2	D	Distribution							See Section VIII
3 Wells Fargo Checking	A	Interest	K	T					
4 Wells Fargo IRA # 1 (U.S. Treasury Notes)	F	Interest	P1	T					
5	G	Distribution							See Section VIII
6 Wells Fargo Custody Account # 1-header									
7 - Zenith National Insurance Co. Common Stock	D	Dividend	M	T					
8 - Shares Wells Fargo CA Tax-Free Money Market Fund	A	Dividend	M	T					
9 - CA State 6.1% 10/1/09 - [REDACTED]	D	Interest			Matured	10/01/09	L		
10 - CA State 5.0% 3/1/09 - [REDACTED]	D	Interest			Matured	03/01/09	M		
11 - CA State 5.0% 3/1/09 - [REDACTED]	C	Interest			Matured	03/01/09	L		
12 - CA State 5.0% 2/1/09 - [REDACTED]	C	Interest			Matured	2/1/09	L		
13 - CA State 4.5% 2/1/09 - [REDACTED]	C	Interest			Matured	2/1/09	M		
14 - CA Infrastructure & Econ 5.0% 10/1/09 - [REDACTED]	D	Interest			Matured	10/1/09	L		
15 - CA State 5.0% 6/1/09 - [REDACTED]	C	Interest			Matured	6/1/09	L		
16 - CA State 5.0% 4/1/09 - [REDACTED]	C	Interest			Matured	4/1/09	L		
17 - CA State 5.0% 12/1/09 - [REDACTED]	D	Interest			Matured	12/1/09	M		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - CA State Den Water Res. Power 5.5% 5/1/09 - [REDACTED]	B	Interest			Matured	5/1/09	L		
19. - CA State 6% 12/1/09 - [REDACTED]	C	Interest			Matured	12/01/09	L		
20. - CA State Economic 5% 7/1/09 - [REDACTED]	C	Interest			Matured	07/01/09	L		
21. - Riverside CA Sewer Rev 7% 8/1/09 - [REDACTED]	B	Interest			Sold	4/9/09	L		
22. - Fresno CA Unified School District 4.5% 5/1/10 - [REDACTED]	B	Interest			Sold	4/9/09	L		
23. - CA State 4.0% 6/1/10 - [REDACTED]	C	Interest	M	T					
24. - CA State 4.0% 2/1/10 - [REDACTED]	C	Interest	M	T					
25. - CA State 4.0% 11/1/10 - [REDACTED]	B	Interest			Sold	06/15/09	M		
26. - CA State 5.0% 2/1/10 - [REDACTED]	C	Interest	M	T					
27. - CA State 5.0% 2/1/11 - [REDACTED]	B	Interest			Sold	6/15/09	M		
28. - CA State 5.75% 2/1/11 - [REDACTED]	C	Interest			Sold	6/15/09	M		
29. - CA State 5.75% 10/1/10 - [REDACTED]	C	Interest	L	T					
30. - CA State 5.0% 4/1/10 - [REDACTED]	C	Interest	M	T					
31. - CA State 5.25% 2/1/10 - [REDACTED]	D	Interest	M	T					
32. - CA State 5.375% 10/1/27 - [REDACTED]	D	Interest	M	T					
33. - CA State 5.5% 6/1/10 - [REDACTED]	D	Interest	M	T					
34. - CA State 5.5% 6/1/11 - [REDACTED]	C	Interest			Sold	6/15/09	M		

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal L = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd-yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35 - CA State 5.75% 5/1/30 - [REDACTED]	D	Interest	M	T					
36 - CA State Department Water 5.5% 5/1/10 - [REDACTED]	C	Interest			Sold	6/15/09	M		
37 - CA State Economic 5.25% 1/1/10 - [REDACTED]	E	Interest	N	T					
38 - CA State Economic 5.25% 1/1/11 - [REDACTED]	D	Interest	M	T					
39 - CA State Economic 5.0% 1/1/11 - [REDACTED]	C	Interest	M	T					
40 - Elk Grove CA Fin 5.0% 9/1/10 - [REDACTED]	B	Interest			Sold	04/01/09	L		
41 - Los Angeles CA Muni 5.0% 1/1/11	B	Interest			Sold	6/15/09	M		
42 - Los Angeles CA WT 5.0% 7/1/10 - [REDACTED]	C	Interest			Sold	6/15/09	M		
43 - LA, CA Antelope Valley Courthouse 4.375% 11/1/10 - [REDACTED]	C	Interest	M	T					
44 - Sacramento CA City Fing Aut Cap Impt 5.45% 6/1/19 - [REDACTED]	D	Interest	M	T					
45 - CA State 5% - 12/1/16 - [REDACTED]	D	Interest	M	T					
46 - CA State 5% - 3/1/25 - [REDACTED]	D	Interest	M	T					
47 - CA State 5% - 4/1/11 - [REDACTED]	B	Interest			Sold	6/15/09	M		
48 - CA State 5.125% - 6/1/31 - [REDACTED]	D	Interest	M	T					
49 - CA State 5.25% - 2/1/11 - [REDACTED]	C	Interest			Sold	6/15/09	L		
50 - CA State 6.6% - 2/1/09 - [REDACTED]	A	Interest			Matured	2/1/09	L		
51 - CA State 5.0% - 10/1/09 - [REDACTED]	C	Interest			Matured	10/1/09	L		

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 Q = Appraisal U = Book Value	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P2 = More than \$50,000,000 R = Cost (Real Estate Only) V = Other S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - CA State Economic 5.0% - 1/1/09 - [REDACTED]	A	Interest			Matured	1/1/09	M		
53. - CA State Economic 5% - 1/1/10 - [REDACTED]	B	Interest	L	T					
54. - CA State Economic 5.25% - 1/1/10 - [REDACTED]	D	Interest	M	T					
55. - CA State Public Work 5% - 1/1/11 - [REDACTED]	B	Interest			Sold	6/15/09	M		
56. - Los Angeles CA 3.6% - 6/1/11 - [REDACTED]	B	Interest			Sold	04/09/09	M		
57. - VR CA State Economic 5.0% - 7/1/23 - [REDACTED]	D	Interest	N	T					
58. - VR CA State Economic 3.5% - 7/1/23 - [REDACTED]	C	Interest	M	T					
59. - VR CA State Economic 5.0% - 7/1/23 - [REDACTED]	E	Interest	O	T					
60. - VR CA State Economic 5.0% - 7/1/23 - [REDACTED]	D	Interest	M	T					
61. - Sacramento CA CIT 5.75% - 7/1/13 - [REDACTED]	C	Interest			Matured	07/01/09	L		
62. - South Orange County CA 8.0% - 8/15/09 - [REDACTED]	C	Interest			Matured	8/17/09	L		
63. - US Treasury Notes 3.875% - 7/15/10 - [REDACTED]	D	Interest	N	T					
64. - US Treasury Notes 4.25% - 1/15/11 - [REDACTED]	C	Interest	M	T					
65. - US Treasury Notes 4.5% - 11/15/10 - [REDACTED]	D	Interest	N	T					
66. - US Treasury Notes 4.5% - 2/28/11 - [REDACTED]	C	Interest	M	T					
67. - US Treasury Notes 4.125% - 8/15/10 - [REDACTED]	C	Interest	M	T					
68. - US Treasury Notes 4.25% - 10/15/10 - [REDACTED]	D	Interest	M	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H = \$1,000,001 - \$5,000,000	HC = More than \$5,000,000	
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	P2 = \$5,000,001 - \$25,000,000
	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P4 = More than \$5,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - US Treasury Notes 3.875% - 9/15/10 - [REDACTED]	E	Interest	N	T					
70. - US Treasury Notes 3.625% - 1/15/10 - [REDACTED]	D	Interest	M	T					
71. - US Treasury Notes 3.625% - 6/15/10 - [REDACTED]	C	Interest	M	T					
72. - US Treasury Notes 4.625% - 8/31/11 - [REDACTED]	D	Interest	M	T	Buy (add'l)	02/09/09	M		
73. - US Treasury Notes 4.5% - 9/30/11 - [REDACTED]	D	Interest	M	T	Buy (add'l)	02/03/09	M		
74. - US Treasury Notes 4.5% - 9/30/11 - [REDACTED]	B	Interest	M	T	Buy (add'l)	06/22/09	M		
75. - US Treasury Notes 4.5% - 9/30/11 - [REDACTED]	B	Interest	M	T	Buy (add'l)	9/4/09	M		
76. - US Treasury Notes 4.625% - 10/31/11 - [REDACTED]	D	Interest	M	T	Buy (add'l)	02/03/09	M		
77. - US Treasury Notes 4.625% - 10/31/11 - [REDACTED]	B	Interest	M	T	Buy (add'l)	9/4/09	M		
78. - US Treasury Notes 4.875% - 7/31/11 - [REDACTED]	D	Interest	M	T	Buy (add'l)	02/03/09	M		
79. - US Treasury Notes 4.875% - 7/31/11 - [REDACTED]	B	Interest	M	T	Buy (add'l)	06/22/09	M		
80. - US Treasury Notes 5.0% - 8/15/11 - [REDACTED]	C	Interest	M	T	Buy	6/22/09	M		
81. - US Treasury Notes 4.875% - 4/30/11 - [REDACTED]	C	Interest	M	T	Buy	2/24/09	M		
82. - US Treasury Notes 4.875% - 4/30/11 - [REDACTED]	C	Interest	M	T	Buy (add'l)	03/09/09	M		
83. - US Treasury Notes 4.875% - 4/30/11 - [REDACTED]	C	Interest	M	T	Buy (add'l)	3/26/09	M		
84. - US Treasury Notes 4.875% - 4/30/11 - [REDACTED]	C	Interest	M	T	Buy (add'l)	04/06/09	M		
85. - US Treasury Notes 4.875% - 5/31/11 - [REDACTED]	C	Interest	M	T	Buy	2/24/09	M		

1. Income Gain Codes (See Columns B) and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller of private transaction
86. - US Treasury Notes 4.875% - 5/31/11 -	C	Interest	M	T	Buy (add'l)	03/09/09	M		
87. - US Treasury Notes 4.875% - 5/31/11 -	C	Interest	M	T	Buy (add'l)	4/6/09	M		
88. - US Treasury Notes 5.125% - 6/30/11 -	C	Interest	M	T	Buy	6/2/09	M		
89. - US Treasury Notes 5.125% - 6/30/11 -	C	Interest	M	T	Buy (add'l)	06/22/09	M		
90. - US Treasury Notes 4.625% - 11/15/09 -	C	Interest			Redeemed	11/15/09	M		
91. - US Treasury Notes 4.5% - 11/30/11 -	C	Interest	M	T	Buy	02/09/09	M		
92. - US Treasury Notes 4.625% - 12/31/11 -	C	Interest	M	T	Buy	02/09/09	M		
93. - US Treasury Notes 4.75% - 1/31/12 -	B	Interest	M	T	Buy	02/09/09	M		
94. - US Treasury Notes 1.75% - 11/15/11 -	B	Interest	M	T					
95. - US Treasury Notes 1.75% - 11/15/11 -	B	Interest	M	T	Buy (add'l)	2/23/09	M		
96. - US Treasury Notes 1.75% - 11/15/11 -	A	Interest	M	T	Buy (add'l)	6/22/09	M		
97. - US Treasury Notes 1.75% - 11/15/11 -	A	Interest	M	T	Buy (add'l)	9/4/09	M		
98. - US Treasury Notes 1.125% - 12/15/11 -	A	Interest	M	T	Buy	2/3/09	M		
99. - US Treasury Notes 1.125% - 12/15/11 -	A	Interest	M	T	Buy (add'l)	6/22/09	M		
100. - US Treasury Notes 1.125% - 12/15/11 -	A	Interest	M	T	Buy (add'l)	11/20/09	M		
101. - US Treasury Notes 0.875% - 3/31/11 -	A	Interest	M	T	Buy	3/31/09	M		
102. - US Treasury Notes 1.0% - 1/15/12 -	A	Interest	M	T	Buy	2/3/09	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - US Treasury Notes 1.0% - 1/15/12 -	A	Interest	M	T	Buy (add'l)	5/18/09	M		
104. - US Treasury Notes 1.375% - 5/15/12 -					Buy	11/20/09	M		
105. - US Treasury Notes 1.375% - 2/15/12 -					Buy	11/20/09	M		
106. - US Treasury Notes 1.5% - 7/15/12 -					Buy	11/20/09	M		
107. - US Treasury Notes 4.75 - 3/31/11 -	C	Interest	M	T	Buy	02/24/09	M		
108. - US Treasury Notes 4.75 - 3/31/11 -	C	Interest	M	T	Buy (add'l)	03/09/09	M		
109. 2010 Reservoir RD Investors LLC-Maryland BALTIMORE	D	Rent	L	U					
110.	A	Interest							See Section VIII
111.	A	Distribution							See Section VIII
112. Trust # 1 - header									
113. - Shares Wells Fargo Govt Money Market Fund	A	Dividend	K	T					
114.									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Imputed	I = Cash Marker	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII, page 4, # 2 Distribution from # 1
VII, page 4, # 5. Distribution from # 4
VII, page 10, # 110. Interest from # 109
VII, page 10, # 111 Distribution from # 109

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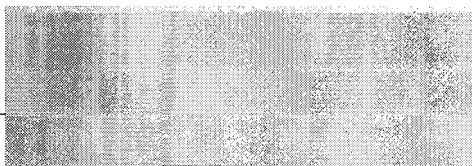
5/4/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544