

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FAY, PETER T	2. Court or Organization U.S. Court of Appeals, 11 Cir.	3. Date of Report 04/16/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address Federal Justice Building 99 N.E. 4 Street, Suite 1255 Miami, Florida 33132	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee Emeritis	University of Miami
2. Trustee Emeritis	University of Florida School of Law
3. St. Thomas School of Law Board of Advisors	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. University of Florida Moot Court Competition (judging moot court finals)	March 2-3, 2006, lodging, Gainesville, Fla.
2. Federal Judicial Center workshop at Duke Law School (Law and Terrorism Seminar)	March 15-17, 2006, transportation, lodging, Durham, N.C.
3. Eleventh Circuit Appellate Practice Institute, Speaker	October 26-27, 2006, transportation, lodging, food, Atlanta, Ga.
4.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common stock - Central Ill. Pub. Service Corp.	A	Dividend	J	T					
2. Savings account - Bank of America	A	Interest	J	T					
3. Land near Milton, Florida		None	K	W					
4. Mineral lease on land near Milton, Florida	A	Royalty	J	W					
5. Coca Cola Co.	A	Dividend	J	T					
6. Barr Laboratories Inc.		None	J	T	Sold	3/17	J	A	
7. Nuveen Mun. Fund	B	Dividend	K	T					
8. Dryden Mun. Bond Fund (formerly Prudential Mun.Fund Class A)	A	Dividend	J	T	Sold	3/01	K		
9. Fidelity High Yield Tax Free	A	Dividend	J	T					
10. Monster Worldwide Inc.	A	Dividend	J	T	Buy	3/17	J		
11. Spartan Florida Mun. Fund	A	Dividend	J	T					
12. Nuveen Mun. Market Opportunity Fund	A	Dividend	J	T					
13. Harbor Capital Appreciation Fund	A	Dividend	J	T					
14. Vanguard Group, 500 Portfolio	A	Dividend	K	T					
15. Vanguard Group, European Portfolio	A	Dividend	K	T					
16. Wachovia Bank Deposit	C	Dividend	L	T					
17. TimeWarner	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. American International Group Inc.	A	Dividend	J	T					
19. Amgen Inc.		None	J	T					
20. Broadcom Corp. Class A		None	J	T					
21. Home Depot Inc.	A	Dividend	K	T					
22. JDS Uniphase Corp.		None	J	T	Sold	3/06	J		
23. MCI Worldcom Inc. This co. has simply disappeared									
24. Microsoft Corp. Washington	A	Dividend	J	T					
25. Brevard County Fla. G/O LTD B/E	A	Interest	K	T	Buy	3/06	K		
26. Cape Coral Fla. WST WTR & IRR	A	Interest	K	T	Buy	3/06	K		
27. Walmart Stores Inc.	A	Dividend	K	T					
28.									Left blank intentionally
29.									Left blank intentionally
30. Reedy Creek Fla. Impt. Dist. Fla. Utilities Rev.	B	Interest	K	T					
31. Jacksonville Fla. Excise Taxes Rev.	B	Interest	K	T					
32. Port Palm Beach Dist. Fla. Rev.	B	Interest	K	T					
33. Dade County Fla. Water & Sewer System	B	Interest	K	T					
34.									Left blank

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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									intentionally
35. Fidelity Advisor Series II Balance Fund Cl. T	A	Interest	J	T	Sold	3/06	J		
36. Wachovia Bank Dep.Sweep Opt.(for.Bedford Mon.Market Fund)	A	Interest	L	T					
37. Condo unit, Melbourne Beach, Fla.		None	M	W					
38. Ft. Myers Fla. Gas Tax Revenue	B	Interest	K	T					
39. Orlando Fla., Waste Water	B	Interest	K	T					
40. Lauderhill, Fla. Water & Sewer	B	Interest	K	T					
41. Tampa Sports Authority	B	Interest	K	T					
42. Brevard County Fuel Tax Revenue Bond	B	Interest	K	T					
43. Plaza San Remo Partners,LLLP		None	K	T					Please see note below.

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 43 deals with an investment I made several years ago (I think 2005). It involves the construction of a commercial building in Coral Gables, Florida that is nearing completion. I have derived no income from this investment to date but hope to in the future.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/16/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544