

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pratter, Gene E.	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 03/30/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type): ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 7614 United States Courthouse Sixth & Market Streets Philadelphia, PA 19106-1752	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse: see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	University of Pennsylvania Law School (Adjunct Professor)	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Commonwealth of Pennsylvania (Executive Deputy General Counsel)
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children. See pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Credit Card	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Total Bond Market Index - Retirement #2	A	Dividend			Buy (add'l)	1/15/09	J		
2. Vanguard Total Bond Market Index - Retirement #2		None			Buy (add'l)	4/15/09	J		
3. Vanguard Total Bond Market Index - Retirement #2		None			Sold (part)	2/27/09	K	B	
4. Vanguard Total Bond Market Index - Retirement #2		None			Sold	5/1/09	K	B	
5. Vanguard Index 500 - Retirement #2	A	Dividend			Buy (add'l)	1/15/09	J		
6. Vanguard Index 500 - Retirement #2		None			Buy (add'l)	4/15/09	J		
7. Vanguard Index 500 - Retirement #2		None			Sold (part)	2/27/09	K	C	
8. Vanguard Index 500 - Retirement #2		None			Sold	5/1/09	K	C	
9. Vanguard Windsor II - Retirement #2		None			Buy (add'l)	1/15/09	J		
10. Vanguard Windsor II - Retirement #2		None			Buy (add'l)	4/15/09	J		
11. Vanguard Windsor II - Retirement #2		None			Sold (part)	2/27/09	K	C	
12. Vanguard Windsor II - Retirement #2		None			Sold	5/1/09	K	C	
13. Vanguard International Growth - Retirement #2		None			Buy (add'l)	1/15/09	J		
14. Vanguard International Growth - Retirement #2		None			Buy (add'l)	4/15/09	J		
15. Vanguard International Growth - Retirement #2		None			Sold (part)	2/27/09	J	B	
16. Vanguard International Growth - Retirement #2		None			Sold	5/1/09	K	C	
17. Adams Harkness Small Growth - Retirement #5		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DFA US Small Cap Value - Retirement #5	A	Dividend	J	T					
19. DFA US Large Cap Value - Retirement #5	A	Dividend	J	T					
20. Federated US Gov't 1 - 3 - Retirement #5	A	Dividend	K	T					
21. First Eagle Overseas - Retirement #5	A	Dividend	J	T					
22. Janus Fund - Retirement #5	A	Dividend	J	T					
23. Janus Overseas - Retirement #5	A	Dividend	J	T					
24. Schwab Money Market - Retirement #5	A	Dividend	J	T					
25. Westcore MIDCO Growth - Retirement #5		None	J	T					
26. Janus Mid Cap Value - Retirement #5	A	Dividend	J	T					
27. William Blair Int'l Small Growth - Retirement #5		None	J	T					
28. Federated US Gov't 1 - 3 - Retirement #6	A	Dividend	J	T					
29. Baron Growth - Retirement #6	A	Dividend	J	T					
30. First Eagle Overseas - Retirement #6	A	Dividend	J	T					
31. Royce Opportunity - Retirement #6	A	Dividend	J	T					
32. Schwab Money Market - Retirement #6	A	Dividend	J	T					
33. Thornburg Value - Retirement #6	A	Dividend	J	T					
34. Janus Overseas - Retirement #6	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes:
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Janus Fund - Retirement #6	A	Dividend	J	T					
36. Sound Shore - Retirement #6	A	Dividend	J	T					
37. Third Avenue Int'l Value - Retirement #6	A	Dividend	J	T					
38. Baron Growth - Retirement #7	A	Dividend	L	T	Sold (part)	5/13/09	J	A	
39. Brandywine - Retirement #7		None	M	T					
40. DFA US Micro Cap - Retirement #7	A	Dividend	K	T	Sold (part)	9/29/09	J	A	
41. DFA Emerging Markets Core Equity - Retirement #7	A	Dividend	L	T	Sold (part)	5/13/09	J	A	
42. DFA Emerging Markets Core Equity - Retirement #7		None			Sold (part)	9/29/09	J	A	
43. DFA International Small Value - Retirement #7	A	Dividend	K	T					
44. DFA International Value - Retirement #7	C	Dividend	M	T	Sold (part)	9/29/09	J	A	
45. DFA One Year Fixed - Retirement #7	C	Dividend	N	T	Sold (part)	7/30/09	L	A	
46. DFA One Year Fixed - Retirement #7		None			Buy (add'l)	9/29/09	K		
47. DFA US Small Cap Value - Retirement #7	A	Dividend	L	T					
48. DFA US Large Cap Value - Retirement #7	B	Dividend	M	T	Sold (part)	5/13/09	J	A	
49. DFA Real Estate Securities - Retirement #7	B	Dividend	K	T	Buy	5/13/09	K		
50. Dodge & Cox Stock - Retirement #7	A	Dividend	L	T	Sold (part)	5/15/09	J	A	
51. Dodge & Cox Stock - Retirement #7		None			Sold (part)	9/29/09	J	A	

1. Income Gain Codes.
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Janus Overseas - Retirement #7		None			Sold (part)	9/29/09	J	D	
70. Hotchkis & Wiley Large Cap Value - Retirement #7	B	Dividend	L	T					
71. Royce Value Plus - Retirement #7		None	K	T	Sold (part)	5/13/09	J	A	
72. Royce Value Plus - Retirement #7		None			Sold (part)	9/29/09	J	A	
73. Third Avenue International Value - Retirement #7	A	Dividend	L	T	Sold (part)	5/13/09	J	A	
74. Third Avenue International Value - Retirement #7		None			Sold (part)	9/29/09	J	A	
75. Turner Core Growth - Retirement #7	A	Dividend	L	T	Sold (part)	5/13/09	J	A	
76. William Blair Large Growth - Retirement #7		None	L	T					
77. Schwab Value Advantage - Retirement #7	A	Dividend			Sold (part)	4/30/09	M	A	
78. Schwab Value Advantage - Retirement #7		None			Sold	7/30/09	M	A	
79. Adams Harkness Small Growth - Retirement #7		None	L	T					
80. William Blair Int'l Small Growth - Retirement #7	A	Dividend	K	T					
81. Metropolitan West Total Return - Retirement #7	D	Dividend	M	T	Buy	5/13/09	M		
82. Metropolitan West Total Return - Retirement #7		None			Buy (add'l)	7/30/09	M		
83. Metropolitan West Total Return - Retirement #7		None			Buy (add'l)	9/29/09	K		
84. BlackRock Low Duration - Retirement #7	D	Dividend	N	T	Buy	4/30/09	M		
85. BlackRock Low Duration - Retirement #7		None			Buy (add'l)	7/30/09	L		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Broker Value

R = Cost (Real Estate Only)
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86. BlackRock Low Duration - Retirement #7		None			Buy (add'l)	9/30/09	K		
87. Schwab Money Market - Trust #1	A	Dividend	J	T					
88. Dodge & Cox Stock - Trust #1	A	Dividend	K	T					
89. Schroder ShortTerm Muni - Trust #1	A	Dividend			Sold	12/17/09	J	A	
90. Baron Growth - Trust #1	A	Dividend	K	T					
91. Federated Short Term Muni - Trust #1	A	Dividend	K	T	Buy (add'l)	9/15/09	J		
92. First Eagle Overseas - Trust #1	A	Dividend	K	T					
93. Hotchkis & Wiley Large Cap Value - Trust #1	A	Dividend	J	T					
94. Hotchkis & Wiley Mid Cap Value - Trust #1	A	Dividend	K	T					
95. Janus Fund - Trust #1	A	Dividend	K	T					
96. Janus Overseas - Trust #1	A	Dividend	K	T					
97. Artio International Equity - Trust #1	B	Dividend	K	T					
98. Morgan Stanley International Equity - Trust #1	A	Dividend	K	T					
99. Morgan Stanley Emerging Markets - Trust #1	A	Dividend	K	T					
100. Royce Opportunity - Trust #1	A	Dividend	K	T					
101. Turner Core Growth - Trust #1	A	Dividend	K	T					
102. Westcore Mid Cap Value - Trust #1	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Westcore MIDCO Growth - Trust #1		None	K	T					
104. DFA Tax Managed Marketwide Value - Trust #1	A	Dividend	K	T					
105. DFA US Micro Cap - Trust #1	A	Dividend	K	T					
106. Third Avenue International Value - Trust #1	A	Dividend	K	T					
107. Westcore International Frontier - Trust #1	A	Dividend	K	T					
108. DFA Int'l Value - Trust #1	A	Dividend	J	T					
109. DFA US Small Value - Trust #1	A	Dividend	J	T					
110. Turner Small Cap Equity - Trust #1		None	J	T					
111. William Blair Mid Growth - Trust #1		None	K	T	Buy (add'l)	9/15/09	J		
112. DFA Short Term Muni - Trust #1	A	Dividend	K	T	Buy	5/13/09	J		
113. DFA Short Term Muni - Trust #1		None			Buy (add'l)	9/15/09	J		
114. Metropolitan West Total Return - Trust #1	A	Dividend	K	T	Buy	7/30/09	K		
115. Baron Growth - Brokerage #1	A	Dividend	K	T	Sold (part)	11/18/09	J	A	
116. DFA Tax Mgd US Marketwide Value - Brokerage #1	A	Dividend	K	T	Sold (part)	11/18/09	J	A	
117. DFA US Small Value - Brokerage #1	A	Dividend	K	T	Buy (add'l)	5/13/09	J		
118. DFA US Small Value - Brokerage #1		None			Sold (part)	9/29/09	J	B	
119. DFA Emerging Markets Core Equity - Brokerage #1	A	Dividend	K	T	Sold (part)	9/29/09	J	B	

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2. Value Codes (See Columns C1 and D1)	Q = Appraisal (U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. DFA Emerging Markets Core Equity - Brokerage #1		None			Sold (part)	11/18/09	J	B	
121. DFA Tax Mgd International Value - Brokerage #1	A	Dividend	K	T					
122. East River Bank Stock - Brokerage #1		None	K	U					
123. Federated Short Term Muni - Brokerage #1	B	Dividend	M	T	Buy	4/30/09	L		
124. Federated Short Term Muni - Brokerage #1		None			Buy (add'l)	5/13/09	K		
125. First Eagle Overseas - Brokerage #1	A	Dividend	K	T					
126. Janus Fund - Brokerage #1	A	Dividend	K	T					
127. Janus Overseas - Brokerage #1	A	Dividend	K	T	Sold (part)	11/20/09	J	A	
128. Janus Mid Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	9/29/09	J	A	
129. Artio International Equity - Brokerage #1	A	Dividend	J	T					
130. Morgan Stanley International Equity - Brokerage #1	A	Dividend	K	T	Buy (add'l)	5/13/09	J		
131. Morgan Stanley International Equity - Brokerage #1		None			Sold (part)	9/29/09	J	A	
132. Schwab Money Market - Brokerage #1	A	Dividend	K	T					
133. Sound Shore - Brokerage #1	A	Dividend	K	T					
134. Westcore MIDCO Growth - Brokerage #1		None	K	T	Sold (part)	11/18/09	J	A	
135. Schroder Short Term Muni - Brokerage #1	B	Dividend			Sold	12/17/09	K	B	
136. Hotchkis & Wiley Large Cap Value - Brokerage #1	A	Dividend	J	T					

1. Income Gain Codes.
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting
Pratter, Gene E.

Date of Report
03/30/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Hotchkis & Wiley Mid Cap Value - Brokerage #1	A	Dividend	K	T					
138. Royce Value Plus - Brokerage #1		None	J	T					
139. Third Avenue International Value - Brokerage #1	A	Dividend	K	T					
140. Thornburg Int'l Value - Brokerage #1	A	Dividend	J	T					
141. William Blair Int'l Small Growth - Brokerage #1	A	Dividend	J	T	Buy (add'l)	5/13/09	J		
142. Westcore International Frontier - Brokerage #1	A	Dividend	J	T	Sold (part)	11/18/09	J	B	
143. William Blair Large Growth - Brokerage #1	A	Dividend	K	T	Buy (add'l)	5/13/09	K		
144. William Blair Large Growth - Brokerage #1		None			Sold (part)	11/18/09	J	A	
145. William Blair Mid Growth - Brokerage #1		None	K	T	Buy (add'l)	5/13/09	J		
146. William Blair Small Growth - Brokerage #1		None	J	T	Buy	5/13/09	J		
147. Westcore Mid Cap Value - Brokerage #1	A	Dividend	K	T	Buy	5/13/09	J		
148. DFA Int'l Small Value - Brokerage #1	A	Dividend	J	T	Buy	5/13/09	J		
149. DFA Short Term Muni - Brokerage #1	B	Dividend	M	T	Buy	4/30/09	K		
150. DFA Short Term Muni - Brokerage #1		None			Buy (add'l)	5/13/09	L		
151. DFA Short Term Muni - Brokerage #1		None			Sold (part)	10/21/09	J	B	
152. Metropolitan West Total Return - Brokerage #1	A	Dividend	K	T	Buy	7/30/09	K		
153. Metropolitan West Total Return - Brokerage #1		None			Buy (add'l)	9/29/09	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting
Pratter, Gene E.

Date of Report
03/30/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Baron Growth - Brokerage #2	A	Dividend	J	T	Donated (part)				
155. DFA US Micro Cap - Brokerage #2	A	Dividend	J	T					
156. DFA Tax Mgd Marketwide Value - Brokerage #2	A	Dividend	K	T					
157. DFA US Small Value - Brokerage #2	A	Dividend	K	T					
158. DFA Tax Mgd Int'l Value - Brokerage #2	A	Dividend	K	T					
159. Dodge & Cox Stock - Brokerage #2	A	Dividend	K	T					
160. Federated Short Term Muni - Brokerage #2	B	Dividend	L	T	Buy (add'l)	4/30/09	K		
161. Federated Short Term Muni - Brokerage #2		None			Buy (add'l)	5/13/09	K		
162. First Eagle Overseas - Brokerage #2	A	Dividend	K	T					
163. Hotchkis & Wiley Large Cap Value - Brokerage #2	A	Dividend	J	T					
164. Hotchkis & Wiley Mid Cap Value - Brokerage #2	A	Dividend	K	T	Sold (part)	9/29/09	J	A	
165. Janus Fund - Brokerage #2	A	Dividend	K	T	Sold (part)	9/29/09	J	A	
166. Janus Fund - Brokerage #2		None			Donated (part)				
167. Janus Overseas - Brokerage #2	A	Dividend	K	T	Sold (part)	9/29/09	J	B	
168. Janus Overseas - Brokerage #2		None			Donated (part)				
169. Artio International Equity - Brokerage #2	B	Dividend	K	T	Donated (part)				
170. Morgan Stanley International Equity - Brokerage #2	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Pratter, Gene E.

Date of Report

03/30/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)		mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	
171. Morgan Stanley Emerging Markets - Brokerage #2	A	Dividend	K	T					
172. Royce Opportunity - Brokerage #2	A	Dividend	K	T	Sold (part)	9/29/09	J	B	
173. Royce Opportunity - Brokerage #2		None			Donated (part)				
174. Schwab Money Market - Brokerage #2	A	Dividend	K	T					
175. Schwab Muni Value Advantage - Brokerage #2	A	Dividend			Sold	4/30/09	K	A	
176. Schroder Short Term Muni - Brokerage #2	B	Dividend			Sold	12/17/09	L	A	
177. Third Avenue International Value - Brokerage #2	A	Dividend	K	T					
178. Turner Core Growth - Brokerage #2	A	Dividend	K	T					
179. Turner Small Cap Equity - Brokerage #2		None	J	T					
180. Westcore Mid Cap Value - Brokerage #2	A	Dividend	K	T					
181. Westcore MIDCO Growth - Brokerage #2		None	K	T					
182. Westcore International Frontier - Brokerage #2	A	Dividend	J	T					
183. Metropolitan West Total Return - Brokerage #2	A	Dividend	K	T	Buy	7/30/09	J		
184. Metropolitan West Total Return - Brokerage #2		None			Buy (add'l)	9/15/09	J		
185. Metropolitan West Total Return - Brokerage #2		None			Buy (add'l)	9/29/09	J		
186. DFA Short Term Muni - Brokerage #2	A	Dividend	L	T	Buy	4/30/09	K		
187. DFA Short Term Muni - Brokerage #2		None			Buy (add'l)	9/29/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P3 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Pratter, Gene E.

Date of Report

03/30/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. DFA One Year Fixed - Retirement #8	C	Dividend	M	T	Sold (part)	7/30/09	M	B	
189. DFA One Year Fixed - Retirement #8		None			Buy (add'l)	9/29/09	K		
190. Federated US Gov't 1 - 3 - Retirement #8	C	Dividend	M	T	Sold (part)	4/30/09	L	C	
191. Adams Harkness Small Growth - Retirement #8		None	K	T					
192. Baron Growth - Retirement #8	A	Dividend	K	T					
193. Brandywine Fund - Retirement #8		None	L	T					
194. DFA Emerging Markets Core Equity - Retirement #8	A	Dividend	K	T	Sold (part)	5/13/09	J	A	
195. DFA Emerging Markets Core Equity - Retirement #8		None			Sold (part)	9/29/09	J	B	
196. DFA International Value - Retirement #8	B	Dividend	L	T	Sold (part)	9/29/09	J	A	
197. DFA US Large Value - Retirement #8	B	Dividend	L	T					
198. DFA US Small Cap Value - Retirement #8	A	Dividend	K	T					
199. DFA US Micro Cap - Retirement #8	A	Dividend	K	T					
200. Dodge & Cox Stock - Retirement #8	A	Dividend	K	T					
201. DFA Int'l Small Cap Value - Retirement #8	A	Dividend	K	T					
202. First Eagle Overseas - Retirement #8	A	Dividend	K	T	Sold (part)	5/13/09	J	A	
203. First Eagle Overseas - Retirement #8		None			Sold (part)	9/29/09	J	A	
204. Hotchkis & Wiley Large Cap Value - Retirement #8	B	Dividend	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
- B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
- C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
- D = \$5,001 - \$15,000
I1 = \$1,000,001 - \$5,000,000
U = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
- E = \$15,001 - \$50,000
I2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pratter, Gene E.

Date of Report

03/30/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Hotchkis & Wiley Mid Cap Value - Retirement #8	A	Dividend	L	T					
206. Janus Fund - Retirement #8	A	Dividend	L	T	Sold (part)	5/13/09	J	A	
207. Janus Mid Cap Value - Retirement #8	A	Dividend	K	T	Sold (part)	5/13/09	J	A	
208. Janus Mid Cap Value - Retirement #8		None			Sold (part)	9/29/09	J	A	
209. Janus Overseas - Retirement #8	A	Dividend	L	T	Sold (part)	5/13/09	J	A	
210. Janus Overseas - Retirement #8		None			Sold (part)	9/29/09	J	B	
211. Artio International Equity - Retirement #8	C	Dividend	K	T					
212. Morgan Stanley Emerging Markets - Retirement #8	A	Dividend	K	T	Sold (part)	9/29/09	J	A	
213. Morgan Stanley International Equity - Retirement #8	B	Dividend	L	T					
214. Royce Opportunity - Retirement #8	A	Dividend	K	T	Sold (part)	9/29/09	J	A	
215. Royce Value Plus - Retirement #8		None	K	T	Sold (part)	9/29/09	J	A	
216. Schwab Money Market - Retirement #8	A	Dividend	K	T					
217. Third Avenue International Value - Retirement #8	A	Dividend	L	T	Sold (part)	5/13/09	J	A	
218. Third Avenue International Value - Retirement #8		None			Sold (part)	9/29/09	J	A	
219. Turner Core Growth - Retirement #8	A	Dividend	K	T					
220. Turner Small Cap Equity - Retirement #8		None	K	T					
221. Westcore International Frontier - Retirement #8	A	Dividend	K	T	Sold (part)	5/13/09	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting	Date of Report
Pratter, Gene E.	03/30/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Westcore International Frontier - Retirement #8		None			Sold (part)	9/29/09	J	A	
223. Westcore Mid Cap Value - Retirement #8	A	Dividend	L	T					
224. Westcore MIDCO Growth - Retirement #8		None	L	T					
225. William Blair International Growth - Retirement #8	A	Dividend	L	T	Sold (part)	9/29/09	J	A	
226. William Blair Large Cap Growth - Retirement #8	A	Dividend	L	T					
227. William Blair Intl Small Growth - Retirement #8	A	Dividend	K	T					
228. BlackRock Low Duration - Retirement #8	C	Dividend	M	T	Buy	4/30/09	L		
229. BlackRock Low Duration - Retirement #8		None			Buy (add'l)	5/13/09	L		
230. BlackRock Low Duration - Retirement #8		None			Buy (add'l)	9/29/09	K		
231. Metropolitan West Total Return - Retirement #8	C	Dividend	M	T	Buy	7/30/09	M		
232. Metropolitan West Total Return - Retirement #8		None			Buy (add'l)	9/29/09	K		
233. Equitable Variable Life - Alliance Common Stock		None	L	T	Buy (add'l)	1/21/09	J		
234. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	4/17/09	J		
235. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	7/13/09	J		
236. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	10/16/09	J		
237. Equitable Variable Life - Alliance International		None	K	T	Buy (add'l)	1/21/09	J		
238. Equitable Variable Life - Alliance International		None			Buy (add'l)	4/17/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Pratter, Gene E.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Equitable Variable Life - Alliance International		None			Buy (add'l)	7/13/09	J		
240. Equitable Variable Life - Alliance International		None			Buy (add'l)	10/16/09	J		
241. Carlyle Real Estate LLP	A	Dividend	J	T					
242. Wheeler Terrace LTD	A	Dividend	J	T					
243. PNC Bank Accounts	A	Dividend	J	T					
244. Nantucket Bank Account	A	Dividend	J	T					
245. Wescott Nova LP		None	K	T	Distributed (part)	9/30/09	J *	A	

1. Income Gain Codes.
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assesment
W = Estimated

T = Cash Market

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Name of Person Reporting Pratter, Gene E.	Date of Report 03/30/2010
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

Pratter, Gene E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544