

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FOX, JAMES C.		2. Court or Organization U.S. DISTRICT COURT, NC		3. Date of Report 05/15/2009	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR		5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final		6. Reporting Period 01/01/2008 to 12/31/2008	
7. Chambers or Office Address UNITED STATES DISTRICT COURT P.O. BOX 2143 WILMINGTON, NC 28402		5b. ☐ Amended Report			
		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. TESTAMENTARY LIMITED POWER OF APPOINTMENT	TRUST # 1
2. TESTAMENTARY LIMITED POWER OF APPOINTMENT	TRUST # 2
3. TRUSTEE	FOUNDATION # 1
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA # 2	A	Dividend	J	T					
2. - AMERICAN INTERNATIONAL GROUP INC COMM					Sold	12/09	J		
3. - AUTOMATIC DATA PROCESSING INC COMM									
4. - MEDTRONIC COMM									
5. - MICROSOFT CORP COMM									
6. - PFIZER COMM									
7. - WACHOVIA CORP COMM					Sold	12/09	J		
8. - RESERVE PRIMARY FUND M/M									
9. - TREASURY CASH TRUST M/M					Open	9/23	J		
10. IRA # 1	D	Dividend	N	T					
11. - ABBOTT LABROTORIES COMM									
12. - MEDTRONIC COMM									
13. -AMERICAN EXPRESS COMPANY CO MM									
14. - BB&T CORP COMM									
15. - BANK OF AMERICA COMM									
16. - CREE, INC COMM					Buy	07/10	J		
17.					Sold	09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

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18. - TREASURY CASH TRUST M/M					Open	9/23	J		
19. - DUKE ENERGY CORP NEW COMM									
20. - GENERAL ELECTRIC CO COMM									
21. - ELI LILLY & CO COMM									
22. - MICROSOFT CORP COMM									
23. - PROCTER & GAMBLE CO COMM									
24. - SUNRISE SENIOR LIVING INC COMM									
25. - ROYAL DUTCH PETROLEUM CO C OMM									
26. - TEXAS INSTRUMENTS INC COMM									
27. - THE CHUBB CORP COMM									
28. - WILLIS LEASE FINANCE CORP					Buy (add'l)	05/14	J		
29. - WYETH COMM									
30. - RESERV PR PRIMARY FUND M/M									
31. - PEPSICO INC COMM									
32. - GENERAL ELECTRIC CAP CORP NOT E BOND									
33. - CITIGROUP GLBL MKTS NOTE BOND									
34. - IBM CORP NOTE BOND					Redeemed	10/1	K		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Marker	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 18

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35. - SCHERING PLOUGH CORP NOTE BOND									
36. BROKERAGE # 1									
37. - RESERVE PRIMARY FUND M/M	B	Dividend	J	T					
38. - TREASURY CASH TRUST M/M	A	Dividend	J	T	Open	9/23	J		
39. BROKERAGE # 2									
40. - RESERVE PRIMARY FUND M/M	B	Dividend	J	T					
41. - TREASURY CASH TRUST M/M	A	Dividend	J	T	Open	9/23	J		
42. EATON VANCE FUND MF # 1	E	Dividend	O	T					
43. CHESTNUT STREET EXCHANGE FUND MF # 1	E	Dividend	N	T					
44. FEDERATED CAPITAL APPRECIATION EXCHANGE FUND MF # 1	B	Dividend	M	T					
45. VAN KAMPEN AMERICAN EXCHANGE FUND MF # 1	B	Dividend	K	T					
46. EATON VANCE FUND MF # 2	E	Dividend	O	T					
47. CHESTNUT STREET EXCHANGE FUND MF # 2	E	Dividend	N	T					
48. FEDERATED CAPITAL APPRECIATION EXCHANGE FUND MF # 2	B	Dividend	M	T					
49. VAN KAMPEN AMERICAN EXCHANGE FUND MF # 2	D	Dividend	M	T					
50. TRUST # 1	E	Dividend	N	T					NOTE A
51. - GENERAL ELECTRIC CO COMM					Sold (part)	05/28	K	E	

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

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52.					Sold	12/04	J	D	
53. - 3M CO COMM					Sold	05/28	J		
54. - DIAGO PLC COMM					Sold	05/28	J	C	
55. - PROCTER & GAMBLE CO COMM					Sold	05/28	K	D	
56. - LOWES CO COMM					Sold (part)	05/28	J	D	
57.					Sold	12/22	K	D	
58. - PHARMACEUTICAL PRODUCTS DE VELOPEMENT COMM					Sold (part)	05/28	K	E	
59.					Sold (part)	07/29	J	D	
60.					Sold (part)	12/04	J	D	
61.					Sold (part)	12/22	K	E	
62. - HEWLETT PACKARD CO COMM					Sold (part)	12/22	J	B	
63. - MICROSOFT CORP COMM					Sold	05/28	K	E	
64. - WYETH COMM					Sold	05/28	J		
65. - EXXON MOBILE CORP COMM					Sold (part)	05/28	K	E	
66.					Sold (part)	12/22	K	E	
67. - AT&T INC COMM					Sold	05/28	K	D	
68. - BANK OF AMERICA COMM					Sold	05/28	K	E	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 18

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69. - HARTFORD FINL SVCS GRP COMM					Sold	05/28	J	B	
70. - JP MORGAN CHASE & CO COMM					Sold	05/28	K	E	
71. - PRAXAIR INC COMM					Sold	05/28	K	D	
72. - VERIZON COMMUNICATIONS COMM					Sold	05/28	J	C	
73. - ELL INTERNATIONAL PROPERTY CO MM					Sold	05/30	J		
74. - GOLDMAN SMALL CORE VALUE FU ND MF					Sold	05/29	J		
75. - TOUCHSTONE MID CAP FUND MF					Sold	05/29	J		
76. - DODGE & COX INTERNATIONAL MF					Sold	05/29	K		
77. - SSGA FUNDS EMERGING MKTS MF					Buy (add'l)	5/29	L		
78.					Sold	11/28	L		
79. - BARCLAYS BAMK PLC FUND MF					Sold (part)	06/02	J	B	
80.					Sold	11/28	K		
81. - COHEN & STEER INSTL RLTY FUND MF					Sold	05/29	K		
82. - EVERGREEN NC MUNICIPAL BOND F UND MF					Sold	05/29	L		
83.					Buy	11/28	K		
84.					Buy (add'l)	12/22	J		
85. - NUVEEN FUNDS HIGH YIELD MUNI BOND MF					Sold	05/29	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 18

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FOX, JAMES C.

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86.					Buy	11/28	J		
87.					Buy (add'l)	12/22	J		
88. - PIMCO EMERGING MARKETS BOND FUND MF					Sold	05/29	K		
89.					Buy	11/28	J		
90.					Buy (add'l)	12/22	J		
91. - WACHOVIA SHORT TERM INVEST MENT FUND M/M					Closed	7/25	J		
92. - FAIRPOINT COMMUNICATIONS INC COMM					Spinoff (from line 72)	04/04	J		
93.					Sold (part)	04/10	J	A	
94.					Sold	05/28	J	A	
95. - HARBOR INTNL FUND MF					Buy	05/29	K		
96.					Sold	11/28	J		
97. - ING REAL ESTATE FUND MF					Buy	05/29	L		
98.					Sold	12/01	K		
99. - JOH HANCOCK S/C INTRINSIC FD MF					Buy	05/29	L		
100.					Sold	11/28	K		
101. - MORGAN STANLEY INSTL FUND MF					Buy	05/29	K		
102.					Sold	11/28	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 18

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103. - MUNDER MID CAP CORE GROWTH FUND MF					Buy	05/29	L		
104.					Sold	11/28	K		
105. - JP MORGAN INTREPID EMERG MKT MF					Buy	05/29	K		
106.					Sold	11/28	K		
107. - NUVEEN FLAGSHIP MULTISTATE TR NC MUNI BD R MF					Buy	05/29	L		
108.					Sold	11/28	L		
109. - T ROWE PRICE TAX FREE HIGH YLD FD MF					Buy	05/29	K		
110.					Sold	11/28	K		
111. - ISHARES MSCI EAFE INDEX FUND MF					Buy	12/01	L		
112.					Buy (add'l)	12/24	J		
113. - ING INTNL REAL ESTATE FUND MF					Buy	11/28	J		
114. - ISHARES RUSSELL MIDCAP INDEX FUND MF					Buy	12/01	K		
115.					Buy (add'l)	12/24	J		
116. - ISHARES RUSSELL 2000 INDEX FUND MF					Buy	12/01	K		
117.					Buy (add'l)	12/24	J		
118. - SPDR DJ WILSHIRE REIT ETF MF					Buy	12/01	K		
119. - VANGUARD EMERGING MARKETS ETF MF					Buy	12/01	K		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 18

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/24	K		
121. - GOLDMAN SACHS LARGE CAP VA LUE FD MF					Buy	12/01	K		
122. - HARBOR CAPITAL APPRECIATION F D MF					Buy	12/22	K		
123. - OPPENHEIMER COMMODITY STRA TEGY FD MF					Buy	12/22	J		
124. - FEDERATED PRIME OBLIGATIONS F D M/M					Open	7/25	J		
125. TRUST # 2	E	Dividend	N	T					NOTE B
126. - GENERAL ELECTRIC COMM					Sold	05/28	K	A	
127. - UNITED TECHNOLOGIES CORP CO MM					Sold (part)	05/28	K	D	
128.					Sold	12/22	J	C	
129. - CARNIVAL CORP COMM					Sold (part)	05/28	J	D	
130.					Sold	12/04	J	C	
131. - LOWES COMM					Sold (part)	05/28	J	D	
132.					Sold (part)	12/04	J	A	
133.					Sold	12/22	J	C	
134. - HEWLETT PACKARD COMM					Sold	05/28	K	D	
135. - IBM CORP COMM					Sold	05/28	K	C	
136. - PROCTER & GAMBLE COMM					Sold	05/28	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - 3M CO COMM					Sold	05/28	J		
138. - MICROSOFT CORP COMM					Sold	05/28	K	E	
139. - JOHNSON & JOHNSON COMM					Sold (part)	05/28	J	D	
140.					Sold	12/22	J	D	
141. - WYETH COMM					Sold	05/28	K	B	
142. - EXXON MOBILE CORP COMM					Sold (part)	05/28	K	E	
143.					Sold (part)	12/04	J	C	
144.					Sold	12/22	L	F	
145. - AT&T COMM					Sold	05/28	K	D	
146. - BANK OF AMERICA CORP COMM					Sold	12/22	J	D	
147. - JP MORGAN CHASE & CO COMM					Sold	05/28	J		
148. - WACHOVIA CORP COMM					Sold (part)	05/28	K	E	
149.					Sold	12/22	J	C	
150. - PRAXAIR INC COMM					Sold	05/28	K	D	
151. - DODGE & COX INTNL FUND MF					Sold	05/29	K		
152. - ELL INTNL PROPERTY MF					Sold	05/30	J		
153. - GOLDEN SMALL CORE VALUE FUND MF					Sold	05/29	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - SSGA FDS EMERGING MKTS FUND MF					Buy (add'l)	05/29	L		
155.					Sold	11/28	K		
156. - TOUCHSTONE MID CAP FUND MF					Sold	05/29	J		
157. - COHEN & STEER INSTL RLTY FUND MF					Sold	05/29	K		
158. - BARCLAY BANK PLC FUND MF					Sold (part)	06/02	J	B	
159.					Sold	12/02	K		
160. - EVERGREEN NC MUNI BOND MF					Sold	05/29	L		
161. - NUVEEN FDS HIGH YIELD MUNI BOND MF					Sold	05/29	K		
162. - PIMCO EMERGING MARKETS BOND MF					Sold	05/29	K		
163. - WACHOVIA SHORT TERM INVEST FUND M/M					Closed	7/25	J		
164. - HARBOR INTNL FUND MF					Buy	05/29	M		
165.					Sold (part)	11/28	K		
166.					Sold (part)	12/22	J		
167. - ING REAL ESTATE FUND MF					Buy	05/29	L		
168.					Sold	11/28	K		
169. - JOHN HANCOCK S/C INTRINSIC FUND MF					Buy	05/29	L		
170.					Sold	11/28	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - MORGAN STANLEY INST. FUND MF					Buy	05/29	K		
172.					Sold	11/28	J		
173. - MUNDER MID CAP FUND MF					Buy	05/29	L		
174.					Sold	11/28	K		
175. - JP MORGAN INTREPID EMERGING M ARKETS FD MF					Buy	05/29	K		
176.					Sold	11/28	K		
177. - NUVEEN FLAGSHIP MULTISTATE TR MF					Buy	05/29	L		
178.					Sold	11/28	L		
179. - T ROWE PRICE TAX FREE HIGH YI ELD FD MF					Buy	05/29	K		
180.					Sold	11/28	K		
181. - ING INTNL REAL ESTATE FD MF					Buy	11/28	J		
182. - ISHARES MSCI EAFE INDEX FUND MF					Buy	12/02	K		
183. - ISHARES RUSSELL MID CAP INDEX FD MF					Buy	12/02	K		
184. - ISHARES RUSSELL 2000 INDEX FUND MF					Buy	12/02	K		
185. - SPDR DJ WILSHIRE REIT ETF MF					Buy	12/02	K		
186. - VANGUARD EMERGING MARKETS ETF MF					Buy	12/02	K		
187. - GOLDMAN SACHS LARGE CAP VA LUE FUND MF					Buy	12/22	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - HARBOR CAPITAL APPRECIATION FUND MF					Buy	12/22	L		
189. - OPPENHEIMER COMMODITY STRATEGY FUND MF					Buy	12/22	J		
190. - EVERGREEN NC MUNI BOND FUND MF					Buy	11/28	K		
191.					Buy (add'l)	12/22	J		
192. - NUVEEN FUNDS HIGH YIELD MUNI BOND MF					Buy	11/28	J		
193.					Buy (add'l)	12/22	J		
194. - PIMCO EMERGING MARKETS BOND FUND MF					Buy	11/28	J		
195.					Buy (add'l)	12/22	J		
196. - FEDERATED PRIME OBLIGATIONS FUND M/M					Open	7/25	J		
197. FOUNDATION # 1	E	Dividend	N	T					NOTE C
198. - WYETH COMM									
199. - BB&T CORP COMM									
200. - BP AMOCO COMM									
201. - DUKE ENERGY CORP NEW COMM									
202. - ELI LILLY & CO COMM									
203. - NUVEEN PREF & CONV INCOME MF									
204. - PIEDMONT NATURAL GAS COMM									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - VERIZON COMMUNICATIONS COMM									
206. - IDEARC INC COMM									
207. - WACHOVIA CORP COMM									
208. - SPECTRA ENERGY CORP COMM									
209. - WILLIS LEASE FINANCE CORP COM M									
210. - UNION TANK CORP BOND									
211. - CONSOLIDATED EDISON BOND									
212. - FORD MOTOR CREDIT CORP NOTE									
213. - VERIZON MARYLAND BOND									
214. - RESERVE PRIMARY FUND M/M									
215. - TREASURY CASH TRUST M/M									
216. - FAIRPOINT COMMUNICATIONS INC COMM					Spinoff (from line 205)	04/04	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting	Date of Report
FOX, JAMES C.	05/15/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

NOTE A - Under the distributive provisions of the Tust # 1, the income of said trust, within the sole and absolute discretion of the corporate trustee thereof, may be distributed in whole or in part to members. The class of potential distributees may be increased by the birth of additional members and by future marriage of members. The corporate trustee, in its sole discretion, may distribute all or any portion of the corpus of said trust to any one or more such beneficiaries if necessary to meet the reasonable needs of such beneficiary. James C. Fox was a co-trustee until his resignation and appointment of a successor trustee on August 16, 2006. James C. Fox has a testamentary limited power of appointment with regard to the corpus of the trust.

NOTE B - Under the distributive provisions of the Tust # 2, the income of said trust, within the sole and absolute discretion of the corporate trustee thereof, may be distributed in whole or in part to members. The class of potential distributees may be increased by the birth of additional members and by future marriage of members. The corporate trustee, in its sole discretion, may distribute all or any portion of the corpus of said trust to any one or more such beneficiaries if necessary to meet the reasonable needs of such beneficiary. James C. Fox was a co-trustee until his resignation and appointment of a successor trustee on August 16, 2006. James C. Fox has a testamentary limited power of appointment with regard to the corpus of the trust.

NOTE C - James C. Fox is a co-trustee of the Foundation # 1, a trust created and operated for charitable, religious, scientific and educational purposes. The assets of the trust approximated \$461,025.69 at December 31, 2008.

NOTE D - Abbreviations used in the descriptions of assets, Part VII include the following: "COMM" designates common stock; "PREF" designates preferred stock; "M/M" designates a cash equivalent money market account; "BOND" designates a corporate bond; "MUNI" designates a tax exempt bond; and "MF" designates a mutual fund.

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

FOX, JAMES C.

Date of Report

05/15/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544