

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reiss, Christina C.	2. Court or Organization United States District Court - District of Vermont	3. Date of Report 5/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 11 Elmwood Avenue P.O. Box 446 Burlington, Vermont 05402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2004	State of Vermont Employee Pension Fund; pension upon retirement age of 65
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. American Law Institute

5/22/2017 through
5/24/2017

Washington, DC

Annual meeting of
American Law Institute
(ALI)

Travel, lodging, fees, food

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	People's United Bank	Loan - Business Mortgage	None
2.	People's United Bank	Loan - Business Mortgage	None
3.	People's United Bank	Loan - Business Equipment	None
4.	People's United Bank	Loan - Business - Line of Credit	None
5.	People's United Bank	Loan - Business Refinance	None
6.	People's United Bank	Loan - Business Vehicle	None
7.	Vermont Economic Development Authority	Loan - Business Mortgage	N
8.	Vermont Economic Development Authority	Loan - Equipment	L
9.	People's United Bank	Loan - Business Equipment	None

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD Bank Accounts	A	Interest	J	T					
2. People's United Bank Accounts		None			Closed	01/01/17	K		
3. Merchants Bank CD		None			Closed	01/01/17	J		
4. National Life Insurance		None			Closed	01/01/17	J		
5. IRA #1									
6. - Davis NY Venture Fund	B	Int./Div.	K	T					
7. - Diamond Hill Large Cap Fund	B	Int./Div.	K	T					
8. - First Eagle Global Fund	B	Int./Div.	K	T					
9. - Janus Balanced Fund	B	Int./Div.	K	T					
10. - MFS Value Fund	B	Int./Div.	K	T					
11. - Oppenheimer Gold & Special Minerals Funds	A	Int./Div.	J	T					
12. - Pimco Total Return Fund	A	Int./Div.	K	T					
13. - RS Global Naural Resources Fund		None	J	T					
14. - Sentinel Small Company Fund	B	Int./Div.	K	T					
15. IRA #3									
16. - Cisco Systems, Inc.	A	Int./Div.	J	T					
17. - Zhong Technologies New	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vermont Higher Education Investment Plan #2 - Age Based Opt.	B	Int./Div.	K	T					
19. VCSP/College America Plan #2 - Age Based Option	B	Int./Div.	L	T					
20.									
21.									
22.									
23.									
24.									
25.									
26.									
27.									
28.									
29.									
30.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VI: In 2016, [REDACTED], closed his woodworking business (Amoskeag Woodworking, Inc., Morse Lumber, HRS, LLC) by entering into an agreement with his primary creditor, People's United Bank, to turn over all assets for liquidation. Both of the real properties have been sold. People's United Bank discharged the debt and did not pursue a deficiency.

In Section VII, Row 3 (Amoskeag Woodworking) and Row 4 (HRS, LLC) were deleted as a result of the 2016 termination of the businesses.

In Section VII, Row 2 (People's United Bank Accounts), Row 3 (Merchants Bank CD), and Row 4 (National Life Insurance) were closed as a result of the 2016 termination of Amoskeag Woodworking and HRS, LLC.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Christina C. Reiss**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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