

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schreier, Karen E	2. Court or Organization U.S. District Court, South Dak	3. Date of Report 05/10/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address United States District Court 515 Ninth Street, Rm 318 Rapid City, SD 57701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Board of Directors	YMCA of Rapid City
2. Vice President	Eighth Circuit District Judges
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Schreier, Karen E

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 T. Rowe Price									
2. -EQUITY INCOME FUND	C	Distribution	K	T					
3. -GROWTH STOCK FUND	B	Distribution	K	T					
4. -NEW HORIZONS FUND	D	Distribution	L	T					
5. -SCIENCE AND TECHNOLOGY FUND		None	K	T					
6. -VALUE FUND	B	Distribution	K	T					
7. IRA ACCOUNT #1 UBS Financial Services									
8. -UBS BANK USA DEP ACCT	A	Interest	J	T					
9. -OPPENHEIMER GLOBAL FUND C	A	Int./Div.			Sell	11/26	K		
10. -VAN KAMPEN GROWTH & INCOME FD CL C	A	Int./Div.			Buy	4/3	J		
11. -					Sell	11/26	J		
12. -UNTS FIRST TRUST EQUITY INCOME SELECT PORTFOLIO	A	Interest			Sell	11/26	J		
13. -PIMCO FDS LOW DURATION FD CL C	A	Int./Div.			Partial Sale	3/7	K		
14. -					Sell	4/3	K		
15. -PIMCO HIGH YIELD FUND CL C	A	Int./Div.			Buy	4/3	J		
16. -					Sell	11/26	K		
17. -FIDELITY ADVISOR LEVERAGED CO. STOCK FUND CL C	A	Int./Div.			Buy	3/8	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -					Sell	11/26	K		
19. -UBS PACE MONEY MARKET	A	Interest	J	T	Buy	11/28	J		
20. -AMERICAN FUNDS GROWTH FUND OF AMERICA CL F	B	Int./Div.	K	T	Buy	11/28	K		
21. -FIDELITY ADVISOR LEVERAGED CO STOCK FUND CL A	A	Int./Div.	J	T	Buy	11/28	J		
22. -JOHN HANCOCK CLASSIC VALUE FUND CL A	B	Int./Div.	K	T	Buy	11/28	K		
23. -OPPENHEIMER MAIN STREET SMALL CAP FUND A	A	Int./Div.	J	T	Buy	11/28	J		
24. -THORNBURG INT. VALUE FUND CL A	A	Int./Div.	K	T	Buy	11/28	K		
25. -DELAWARE DIVERSIFIED INCOME FUND CL A	A	Int./Div.	J	T	Buy	11/28	J		
26. -FT TEMPLETON GLOBAL BOND A	A	Int./Div.	J	T	Buy	11/28	J		
27. --LOOMIS SAYLES STRATEGIC INC. FUND CLASS A	A	Int./Div.	J	T	Buy	11/28	J		
28. NEW YORK LIFE INSURANCE CO. - ANNUITY	A	Interest	K	T					
29. NEW YORK LIFE UNIVERSAL LIFE POLICY	A	Dividend	K	T					
30. LINCOLN FINANCIAL GROUP UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
31. JEFFERSON NATIONAL UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
32. TRAVELERS LIFE & ANNUITY UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
33. COMMUNITY HEALTH LTD. (2/3 UNIT)	B	Distribution	J	W					
34. HOME FEDERAL BANK ACCOUNT	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. FIRST DAKOTA TITLE INS. LTD. PTR. (1 UNIT)	E	Distribution	M	T					
36. BROKERAGE ACCOUNT #2									
37. -ALTRIA GROUP INC. COMMON	B	Dividend	K	T					
38. -ANHEUSER BUSCH COS INC. COMMON	A	Dividend	J	T					
39. -BP PLC SPONS ADR COMMON	A	Dividend	K	T	Buy	6/5	J		
40. -BURLINGTON NORTHERN-SANTA FE COMMON	A	Dividend	K	T					
41. -CISCO SYSTEMS INC. COMMON		None	J	T	Buy	6/5	J		
42. -CITIGROUP INC. COMMON	A	Dividend	J	T					
43. -COCA-COLA COMPANY COMMON	A	Dividend	J	T					
44. -COLGATE-PALMOLIVE COMPANY COMMON	A	Dividend	J	T					
45. -EMC CORP. MASS COMMON		None	J	T					
46. -GENERAL ELECTRIC COMPANY COMMON	A	Dividend	J	T					
47. -HANESBRANDS INC. COMMON		None	J	T					
48. -HOME DEPOT INC. COMMON	A	Dividend	J	T					
49. -INTEL CORPORATION COMMON	A	Dividend	J	T					
50. -KOPIN CORP COMMON		None	J	T					
51. -KRAFT FOODS INC CL A	A	Dividend	J	T	spin-off	4/2	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More th \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -MEDTRONIC INC. COMMON	A	Dividend	K	T					
53. -MERCK & CO. INC. COMMON	A	Dividend	J	T					
54. -MICROSOFT CORP COMMON	A	Dividend	K	T					
55. -PEPSICO INCORPORATED COMMON	A	Dividend	K	T	buy	6/5	J		
56. -PFIZER INC. COMMON	A	Dividend	J	T					
57. -PROCTOR & GAMBLE CO. COMMON	A	Dividend	J	T					
58. -SARA LEE CORP. COMMON	A	Dividend	J	T					
59. -3M COMPANY COMMON	A	Dividend	J	T					
60. -TIME WARNER INC. NEW COMMON	A	Dividend	J	T					
61. -TYCO ELECTRONICS LTD COMMON	A	Dividend	J	T	exchange	7/11	J		
62. -TYCO INTL LTD BERMUDA NEW COMMON	A	Dividend	J	T	exchange	7/10	J		
63. -TYCO INTL LTD NEW REVERSE		None			exchange	7/10	J		
64. -WALGREEN CO COMMON	A	Dividend	J	T	buy	6/5	J		
65. -WALT DISNEY CO (HOLDING CO) COMMON	A	Dividend	J	T					
66. -ALLIANCE BERNSTEIN MID CAP GROWTH FUND CL C	B	Dividend	K	T					
67. -AMERICAN FUNDS EURO PACIFIC GROWTH FD CL A	A	Dividend	K	T	partial sale	11/28	J	A	
68. -DREYFUS S&P 500 INDEX FUND	A	Dividend	K	T	buy	11/28	K		

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69. -ING INDEX PLUS MIDCAP FUND CL A	A	Dividend	J	T	buy	11/28	J		
70. -ING INDEX PLUS SMALLCAP FUND CL A	A	Dividend	J	T	buy	11/28	J		
71. -FIRST TRUST UNIT 1154 TARGET VIP PORTFOLIO		None			redemption	10/3	J	B	
72. -PIMCO FDS HI YLD CL C	A	Dividend	J	T					
73. -RYDEX SER FDS JUNO FD CL C		None	J	T					
74. -PHOENIX GO AZ BOND		None	J	T					
75. -CA HLTH FAC. REV. BOND		None	J	T					
76. -WA ST. COLL SVGS BDS. 93 WA		None	J	T					
77. -SO DAK ST. BLD. ATH LES. REV. AMBAC BOND		None	J	T					
78. -MARICOPA CO. IDA SFM ETM AZ BOND		None	J	T					
79. -DESCHUTES & JEFFERSON CNTYS OR SD #02J		None	K	T					
80. -EAST SIDE UHSD CALIF. BOND		None	J	T					
81. -YUBA CITY CAL. UNI. SCHOOL DIST. BOND		None	J	T					
82. -ALLIANCE BERNSTEIN GLOBAL BOND FUND INC. CL C		None	J	T	buy	11/28	J		
83. -NUVEEN FLAGSHIP ALL-AMERICAN MUNICIPAL BOND FUND CL A		None	J	T	buy	11/28	J		
84. -THORNBURG LTD TERM MUNICIPAL FUND CL A		None	J	T	buy	11/28	J		
85. -UBS ACCOUNT money market acct	A	Interest	K	T					

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103. -UBS ACCOUNT	A	Interest	J	T					
104. -UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	A	Interest	J	T	buy	11/29	J		
105. --ING INDEX PLUS MIDCAP FUND CL C	A	Dividend			buy	4/24	J		
106. -					sell	11/27	J		
107. BROKERAGE ACCOUNT #3									
108. -GALENA PARK IND. BOND		None	K	T					
109. -CLACKMUS & WASHINGTON SERV A BOND		None	K	T					
110. -SOUTHERN MN MUN PWR AGY SUPPLY BOND		None	K	T					
111. -UBS DEPOSIT ACCOUNT	A	Int./Div.	J	T					
112. FIRST NATIONAL BANK IN SIOUX FALLS ACCOUNT		None	K	T					
113. FIRST NATIONAL BANK IN SIOUX FALLS ACCOUNT		None	J	T					
114. DOUGHERTY & DOUGHERTY LLP	D	Interest	M	T					
115. DISCOVER BANK ACCOUNT	A	Interest	K	T					
116. BROKERAGE ACCOUNT #4									
117. -C H ROBINSON WORLDWIDE INC. COMMON	A	Dividend	J	T					
118. -NASTECH PHARMACEUTICAL CO. INC COMMON		None	J	T					
119. -SPECTRASCIENCE COMMON		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A black rectangular redaction box covering the signature.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544