

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) MARRERO, VICTOR		2. Court or Organization U.S. DISTRICT COURT, S.D.N.Y.		3. Date of Report 5/5/2005
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE		5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting period 1/1/2004 TO 12/31/2004
7. Chambers or Office Address U.S. DISTRICT COURT, SDNY 40 CENTRE STREET NEW YORK, NEW YORK 10007		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1	
2	
3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☐ NONE (No reportable agreements.)	
1	New York Telephone Company pension for former Directors payable beginning at age 65.
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME
A. Filer's Non-Investment Income		
☒ NONE (No reportable non-investment income.)		
1		\$
2		\$
3		\$
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)		
☐ NONE (No reportable non-investment income.)		
1	2004 Self-employed - consultant	
2		

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5/5/2005**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment
(Includes those to spouse and dependent children. See pp. 23-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
☒	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
☒	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes: J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000
N=\$250,001-\$500,000	O=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000
P3=\$25,000,001-\$50,000,000	P4=\$50,000,001 or more		

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 <u>Fidelity Rollover IRA:</u>									
Puritan	E	Dividend	N	T					
2 Magellan	F	Dividend	N	T					
3 Contrafund	B	Dividend	L	T					
4 Retirement Fund	B	Interest	K	T					
5 <u>Citibank Keogh Account:</u>									
6 CD's	A	Interest	K	T					
7 Annuity	A	Interest	K	T					
8 <u>Putnam Retirement IRA:</u>									
9 Balanced Retirement C1-A	B	Interest	K	T					
10 <u>SunAmerica IRA:</u>									
11 SunAmerica Balanced	B	Dividend	K	T					
12 SunAmerica Goldman Sachs	A	Dividend	K	T					
13 Dreyfus NY Tax Exempt Fund	D	Dividend	N	T					
14 Schwab: ConEd-common stock	B	Dividend	K	T					
15 JP Morgan Chase Money Market	A	Interest	L	T					
16 <u>Putnam IRA:</u>									
17 Balanced Retirement C1-A	A	Dividend	J	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: O=Appraisal (See Col. C2)	U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of instructions.)

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☐ NONE (No reportable income, assets, or transactions)									
18 NY State Tuition Savings Plan	A	Dividend	K	T					
19 <u>Charles Schwab Rollover IRA:</u>									
20 Charles Schwab MM Fund	A	Dividend	K	T	Rollover	2/1	K	B	
21 Fed Home Loan Bank Bonds	A	Interest	K	T	Rollover	2/1	K	B	
22 Ameristock Fund	A	Dividend	J	T	Rollover	2/1	J	B	
23 Artisan International Fund	A	Dividend	J	T	Rollover	2/1	J	B	
24 FMI Focus Fund	A	Dividend	J	T	Rollover	2/1	J	B	
25 Oakmark Eg. Inc. Fund	A	Dividend	J	T	Rollover	2/1	J	B	
26 Royce Low Priced Stock	A	Dividend	J	T	Rollover	2/1	J	B	
27 Schwab 1000 Fund	A	Dividend	J	T	Rollover	2/1	J	B	
28 <u>Fidelity Rollover IRA:</u>					Rollover	2/1			
29 Ameristock Fund	A	Dividend	K	T					
30 Artisan Int'l	A	Dividend	K	T					
31 FMI Fund	A	Dividend	J	T					
32 Cash Reserves	A	Interest	J	T					
33 Oakmark Equity	A	Dividend	K	T					

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2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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☐ NONE (No reportable income, assets, or transactions)									
34 Royce Stock Fund	A	Dividend	J	T					
35 Fidelity SEP IRA:					Rollover	2/1			
36 Baron Small Cap.	A	Dividend	J	T					
37 Exelsior Value	A	Dividend	J	T					
38 Floating Rate	B	Dividend	K	T					
39 Cash Reserves	A	Interest	K	T					
40 Contrafund	A	Dividend	J	T					
41 Tweedy Brown Global	A	Dividend	K	T					
42									
43									
44									
45									
46									
47									
48									
49									
50									

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2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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