

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Zagel, James B	2. Court or Organization U.S. District Court No. Ill.	3. Date of Report 4/27/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 219 S. Dearborn Room 2588 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee

Abe Samuels Family Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Zagel, James B

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4/27/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	Putnam - Book Royalties	1051.00
2.	Foundation Press - Book Royalties	5349.00
3.	EP Talent Services - Actor's Royalties (1989 work)	90.00
4.		
5.		
6.		
7.		
8.		

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	Alzheimer & Gray - Law Practice Fees
2.	Organic, Inc. - Wages
3.	Atrion Corporation - Director's Fees
4.	Grant Thornton - Partnership Income

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. Atrion Corp

[REDACTED] Work Travel Expense Reimbursement

2. Altheimer & Gray

[REDACTED] Work Travel Expense Reimbursement

3. Grant Thornton

[REDACTED] Work Travel Expense Reimbursement

FINANCIAL DISCLOSURE REPORT

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ NONE - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Union League Club	Guest Privileges	\$1,056

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			

FINANCIAL DISCLOSURE REPORT

Page 1 of 7

Name of Person Reporting
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. M.O.N.Y. Policy Value	B	Dividend	L	T					
2. Northern Trust Accts.	A	Interest	K	T					
3. Farm Land Washington/Canton Counties Ill.	C	Rent	K	W					
4. Grant Thornton 401(k)	A	Dividend	J	T	Opened	12/1			
5. Northwestern Mut. Life Ins. Policy	A	Dividend	J	T					
6. New York Life Ins. Pol.	A	Dividend	J	T					
7. Country Cos. Life Pol.	A	Dividend	J	T					
8. Transamer. Life Pol. Val.		None	K	T					
9. Transamer. Life Pol. Val.		None	K	T					
10. Prudential Life Ins.		Dividend	J	T					
11. Metropolitan Life Ins.	A	Dividend	J	T					
12. Equitable Life	A	Dividend	K	T					
13. Bear Stearns & Co. Acct.		None	J	T					
14. Bear Stearns & Co. Acct.		None	J	T					
15. Andrew/ANDW		None	J	T					
16. Andrew/ANDW		None	J	T					
17. TEMFX	A	Distribution	J	T	Reinv	12/31	J		
18. TEMFX	A	Distribution	J	T	Reinv	12/31	J		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
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3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
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FINANCIAL DISCLOSURE REPORT

Page 2 of 7

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. GABVX	A	Distribution	K	T					
20. GABVX	A	Distribution	K	T					
21. DIS	A	Dividend	J	T					
22. DIS	A	Dividend	J	T					
23. FRE	A	Dividend			Sold	12/12	K	D	
24. FRE	A	Dividend			Sold	12/12	K	D	
25. KO	A	Dividend	K	T					
26. KO	A	Dividend	K	T					
27. PFE	C	Dividend	M	T	AcquireEx ch	4/16	J		
28. PFE	C	Dividend	M	T	AcquireEx ch	4/16	J		
29. SAG Pension Plan	A	Interest	J	T					
30. Pharmacia - PHA	A	Dividend	J	T	Exch PFE	4/16	J	A	
31. Pharmacia - PHA	A	Dividend	J	T	Exch PFE	4/16	J	A	
32. CP	A	Dividend	J	T					
33. CP	A	Dividend	J	T					
34. CP Ships - TEU	A	Dividend	J	T					
35. CP Ships - TEU	A	Dividend	J	T					
36. Solectron - SLR	A	Dividend	J	T					

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Page 3 of 7

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37. Fairmont Hotels -FHR	A	Dividend	J	T					
38. Fairmont Hotels - FHR	A	Dividend	J	T					
39. Fording Inc. - FDG		None			Sold	3/6	J	B	
40. Fording Inc. - FDG	A	Dividend	J	T					
41. Encana - ECA (formerly PCX)	A	Dividend	K	T					
42. Encana - ECA (formerly PCX)	A	Dividend	K	T					
43. Dell		None	J	T					
44. MK Limited Partners		None	L	T					
45. I AM A CONTINGENT BENEFICIARY OF ABE SAMUELS FAMILY TRUST									
46. Assets of Trust: Total value of all assets listed									
47. My estimated share is 9.1%:									
48. Bank Accounts: Harris Trust Chk./Int.	A	Interest	J	T					
49. Maple Publishing Co.	A	Interest	J	W					
50. Bear Stearns IRA	A	Dividend							
51. Dreyfus Money Market	B	Dividend	N	T					
52. Dreyfus Money Market		None				1/30	K		To line 51
53. Dreyfus Money Market		None				1/30	K		To line 51
54. Dreyfus Money Market		None				1/30	N		To line 51

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Page 4 of 7

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55. AT&T Capitol-notes	A	Interest	J	T					
56. Pfizer - PFE	A	Dividend	J	T	Exch PHA	4/16	J		
57. Pharmacia - PHA	A	Dividend			Exch PFE	4/16	J		
58. CP	A	Dividend	J	T					
59. CP Ships - TEU	A	Dividend	J	T					
60. Fording Inc. - FDG	A	Dividend	J	T					
61. Fairmont Hotels-FHR	A	Dividend	J	T					
62. Fording Inc. - FDG	A	Dividend	J	T					
63. Encana - ECA (formerly PCX)	A	Dividend	J	T					
64. Oracle - ORCL		None	J	T					
65. End of IRA									
66. Dreyfus Money Market	B	Dividend	M	T					
67. HD	A	Dividend	K	T					
68. HD	A	Dividend	K	T					
69. WAG	A	Dividend	K	T					
70. WAG	A	Dividend	K	T					
71. Gillette/G	A	Dividend	K	T					
72. Gillette/G	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 5 of 7

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73. PG	A	Dividend	K	T					
74. PG	A	Dividend	K	T					
75. Ill Hsg Dev Auth Rev Bonds	A	Interest	J	T			J	A	
76. Ill Hsg Dev Auth Rev Bonds	A	Interest	J	T	Redempt	11/03	J	A	
77. N.C. Hsg Fin Agy	A	Interest	J	T	Redemp	6/02	J	A	
78. TENN HDA-Bond	A	Interest	J	T	Redemp	11/03	J	A	
79. South Dakota HDA-Bond	A	Interest	J	T	Redemp	4/15	J	A	
80. South Dakota HDA-Bond	A	Interest	J	T	Redemp	4/15	J	A	
81. Wyeth - WYE (formerly AHP)	A	Dividend	K	T					
82. Wyeth - WYE (formerly AHP)	A	Dividend	K	T					
83. UTX	A	Dividend	J	T					
84. UTX	A	Dividend	J	T					
85. General Electric-GE	A	Dividend	K	T					
86. General Electric-GE	A	Dividend	K	T					
87. Nevada H.D. - Bond	A	Interest	J	T					
88. Chicago G/O Park Dist Bond	B	Interest	K	T					
89. Illinois G/O Fin Auth Bond	B	Interest	K	T					
90. Illinois G/O Fin Auth Bond	B	Interest	K	T					

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Page 6 of 7

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91. MONY		None	J	T					
92. Bear Stearns Account	A	Interest	K	T					
93. Pepco Holdings POM	B	Dividend	K	T					
94. First Energy - FE	B	Dividend	K	T					
95. Scana Corp.	B	Dividend			Sold	11/24	K	0	
96. Duff & Phelp Fund - DNP	B	Dividend	K	T					
97. Fund Managed High Income - MHY	B	Dividend	K	T					
98. Ill. HDA Bond 94	B	Interest	K	T	Redemp	2/03	K	A	
99. Ill HDA Bond 93	B	Interest	K	T	Redemp	11/03	K	A	
100. Ill HDA Multibond 93	B	Interest	K	T					
101. Lease of excess Berrien Cty, MI acreage to Leco Corp.	B	Rent	K	W					
102. Fannie Mae - FNM	A	Dividend	K	T					
103. Fannie Mae - FNM	A	Dividend	K	T					
104. Time Warner - AOL		None	J	T					
105. Time Warner - AOL		None	J	T					
106. Ohio Hsg Fin Residential	B	Interest	K	T					
107. Ohio Hsg Fin Residential	B	Interest	K	T					
108. AT&T Wireless Services - AWE		None	J	T					

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Page 7 of 7

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109. AT&T	A	Dividend	K	T					
110. Comcast - CMCSA		None	K	T					
111. Schering-Plough	A	Dividend			sold	7/10	J		
112. Schering-Plough	A	Dividend			sold	7/10	J		
113. Monsanto		None			sold	1/10	J	A	
114. Monsanto		None			sold	1/10	J	A	
115. Smucker - SJM	A	Dividend	J	T					
116. Smucker - SJM	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

I participate in the Federal Thrift Savings Plan, but it is my understanding that this asset need not be listed.

All individual assets held in brokerage accounts are individually disclosed in report.

Part VII: I inadvertently failed to include in 2001 and 2002 reports of the investment in Schering Plough (SPG). The report should have read:

2001:

SPG A Dividend K T Buy 10/31 K

SPG A Dividend K T Buy 10/31 K

2002:

SPG A Dividend K T

SPG A Dividend K T

I inadvertently failed to include two investments (Monsanto - MTC and JM Smuckers - SJM) acquired by spinoff in 2002. The 2002 report should have read:

MTC A Dividend J T Spinoff 8/14 J From Pharmacia

MTC A Dividend J T Spinoff 8/14 J From Pharmacia

SJM A Dividend J T Spinoff 6/03 J From Procter & Gamble

SJM A Dividend J T Spinoff 6/03 J From Procter & Gamble

AL DISCLOSURE REPORT

Name of Person Reporting

Zagel, James B

Date of Report

4/27/2004

CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date 6 May 2004

NOTE: AN INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544