

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murtha, J. Garvan	2. Court or Organization U.S. District Court - Vermont	3. Date of Report 6/29/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States District Court P.O. Box 760 Brattleboro, VT 05302-0760	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner		Limited Partnership #1
2. Partner		Limited Partnership #2
3. Partner		Limited Partnership #3
4. Partner		Limited Partnership #4
5. Trustee		Trust #1
6. Co-Executor		Estate #1
7. Trustee		Trust #2

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accenture PLC Stock, formerly Accenture Ltd. Class A Stock	A	Dividend	J	T					
2. Amgen Common Stock		None	K	T					
3. Automatic Data Processing Stock	A	Dividend	J	T	Buy	08/25/09	J		
4. Bank of America Stock	A	Dividend			Sold	02/24/09	J		
5. Bemis Company Common Stock	A	Dividend	J	T					
6. Cathay Bank Common Stock	A	Dividend			Sold	02/24/09	J		
7. Cisco Systems Common Stock		None	J	T					
8. CVS Corp. Stock	A	Dividend	J	T					
9. Eaglecrest Explorations Stock		None	J	T					
10. Ecolab Common Stock	A	Dividend	J	T					
11. Emerson Electric Stock	A	Dividend	J	T	Buy	02/24/09	J		
12. Exxon-Mobil Common Stock	A	Dividend	K	T					
13. GS Federal Fund #520	A	Interest	J	T					
14. Fortune Brands Stock	A	Dividend	J	T					
15. FPL Group Inc. Stock	A	Dividend	J	T					
16. Franklin Resource Stock	A	Dividend			Sold	02/24/09	J		
17. Income Money Market Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Intel Corp. Stock	A	Dividend	J	T	Buy	02/24/09	J		
19. Ishare Midcap Index 400 Fund	A	Dividend	J	T					
20. Ishare MSCI Fund	A	Dividend	J	T					
21. Ishare Small Cap 600 Fund	A	Dividend	J	T					
22. Kaman Corp. Common Stock	A	Dividend	J	T					
23. Kraft Foods, Inc. Stock	A	Dividend	J	T	Buy	02/24/09	J		
24. Maxim Integrated Prods. Stock	A	Dividend			Sold	02/24/09	J		
25. McDonald's Corp. Stock	A	Dividend	J	T	Buy	08/25/09	J		
26. McGraw Hill Stock	A	Dividend			Sold	02/24/09	J		
27. Medtronic, Inc. Common Stock	A	Dividend	K	T					
28. Microsoft Corp. Stock	A	Dividend	J	T	Buy	02/24/09	J		
29. Nokia Common Stock	A	Dividend			Sold	02/24/09	J		
30. Omnicom Group Common Stock	A	Dividend	J	T					
31. Pepsico Common Stock	A	Dividend	J	T					
32. Pimco Commodity Fund	A	Dividend	J	T					
33. Pimco Real Return Fund	A	Dividend	J	T					
34. Procter & Gamble Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$1,5001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. U.S. Bankcorp Stock	A	Dividend			Sold	02/24/09	J		
36. Vanguard Emerging Mkts Stock	A	Dividend	J	T					
37. Waste Management Stock	A	Dividend	J	T	Buy	08/25/09	J		
38. Wells Fargo Stock	A	Dividend			Sold	02/24/09	J		
39. Merchants Bank Account	A	Interest	J	T					
40. Brattleboro Country Club Bond	A	Interest	J	W					
41. Brattleboro Tennis Club, VT Stock		None	J	W					
42. Ocean Reef Yacht Club Timeshare		None	J	W					
43. [REDACTED] Limited Partnership #1	D	Div. & Int.	N	T					
44. - Automatic Data Processing Stock									
45. - Becton Dickinson Stock									
46. - CVS Caremark									
47. - Columbia Dividend Income Fund									
48. - Columbia Lg. Cap Growth Fund									
49. - Columbia Mid-Cap Index Fund									
50. - Columbia Small Cap Index Fund									
51. - Dreyfus Tax Exempt Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - MFS Ser Int'l Fund									
53. - Oracle Stock									
54. - Pepsico Stock									
55. - Procter & Gamble Stock									
56. - Target Stock									
57. - United Technologies Stock									
58. - Vanguard Int'l Index Fund					Buy	12/21/09	K		
59. [REDACTED] Limited Partnership #2	F	Div. & Int.	P1	T					
60. - AT&T Inc. Stock					Buy	03/25/09	L		
61. - Bank of America Stock					Sold	05/08/09	L		
62. - BA Diversified Real Estate Fund									
63. - Caterpillar Stock									
64. - Coca Cola									
65. - Columbia Acorn Int'l Fund					Buy	01/21/09	L		
66. - Columbia Dividend Income Fund									
67. - Columbia Mid Cap Fd									
68. - Columbia Multi-Strategy Hedge Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	P4=More than \$50,000,000 T=Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Columbia Small Cap Index Fund									
70. - CSX Corp. Stock									
71. - Disney Stock									
72. - Dreyfus Tax Exempt Fund									
73. - Exxon Mobil Stock									
74. - Franklin Res. Stock									
75. - Genzyme Stock									
76. - Hewlett Packard Stock									
77. - Lubrizol Corp. Stock					Sold	03/25/09	K		
78. - MFS Ser Int'l Fund									
79. - Morgan J.P. Chase Stock									
80. - Procter & Gamble Stock									
81. - Royal Dutch Shell Stock									
82. - Staples Stock									
83. - Teva Pharmaceutical Stock									
84. - United Technologies Stock					Buy	01/23/09	K		
85. [REDACTED] Limited Partnership #3	C	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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86. - Becton Dickinson Stock									
87. - CVS Caremark									
88. - Columbia Mid Cap Index Fund									
89. - Columbia Small Cap Index Fund									
90. - Dreyfus Tax Exempt Fund									
91. - MFS Ser Int'l Fund					Sold	03/19/09	K		
92. - Oracle Stock									
93. - Target Stock									
94. - United Technologies Stock									
95. [REDACTED] Limited Partnership #4	G	Int./Div.	P1	T					
96. - AT&T Stock									
97. - Bank of America Stock					Sold	05/08/09	L		
98. - Caterpillar Stock									
99. - Coca Cola Stock									
100. - Columbia CT Bond Fund					Buy	03/19/09	O		
101. - Columbia Mid Cap Fd									
102. - Columbia Mngt Hedge Fd									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103. - Columbia Smal Cap Fd									
104. - CSX Corp. Stock									
105. - Disney Stock									
106. - Dreyfus Tax Exempt Fund									
107. - Exxon Mobil Stock									
108. - FPL Group Stock					Buy	03/25/09	L		
109. - Genzyme Stock									
110. - Harbor Int'l Fund									
111. - Hewlett Packard Stock					Buy	01/23/09	M		
112. - Houston Tex Arpt Bonds					Sold	07/01/09	J		
113. - Johnson & Johnson Stock									
114. - Kellogg Stock									
115. - Lubrizol Corp. Stock					Sold	03/25/09	L		
116. - MFS Ser Int'l Fund									
117. - Microsoft Stock					Buy	01/23/09	L		
118. - Monsanto Stock									
119. - Morgan J.P. Chase Stock									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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120. - Procter & Gamble Stock									
121. - Royal Dutch Shell Stock									
122. - Staples Stock									
123. - Teva Pharmaceutical Stock									
124. - United Technologies Stock					Buy	01/23/09	M		
125. - U.S. Bankcorp Del									
126. - Vanguard Int'l Fund									
127. Trust Company of VT - IRA	C	Div. & Int.	M	T					
128. - Abbott Labs Stock									
129. - Accenture Ltd. Stock									
130. - Apache Corp. Stock									
131. - Arrow Electronics Stock					Sold	03/17/09	J		
132. - Automatic Data Processing Stock									
133. - Bank of America Stock									
134. - Bemis Stock									
135. - BPPLC Stock									
136. - CGM Focus Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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6/29/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Citigroup Stock									
138. - Conoco Phillips Stock					Buy	08/25/09	J		
139. - CVS Corp. Stock									
140. - Dover Corp. Stock									
141. - Fed Home Mtg Corp					Buy	04/30/09	J		
142. - Fortune Brands Stock									
143. - FPL Group Stock									
144. - Franklin Res. Stock									
145. - GS Federal Fund									
146. - HCP Stock									
147. - Illinois Tool Wks Stock									
148. - IShare MSCI Fund									
149. - IShare Midcap Fund									
150. - IShare Small Cap Fund									
151. - Johnson & Johnson Stock									
152. - Kimco Realty Stock									
153. - Mathews Asian Fund					Buy	03/17/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$30,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessed W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Maxim Integrated Prods. Stock									
155. - Microsoft Corp.					Buy	03/17/09	J		
156. - MSC Industrial Direct Stock									
157. - Nokia Stock									
158. - Novartis Stock					Buy	08/25/09	J		
159. - Ormnicom Group Stock									
160. - Patterson Utl Energy Stock					Sold	08/25/09	J		
161. - Pepsico, Inc. Stock									
162. - Procter & Gamble Stock									
163. - Roche Holding Stock					Sold	08/25/09	J		
164. - Royal Dutch Shell Stock									
165. - Sanofi Aventis Stock									
166. - Stryker Corp. Stock									
167. - Target Corp. Stock									
168. - United Technologies Stock									
169. - US Bancorp Stock									
170. - US Tips Bond					Buy	04/13/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Vanguard Emergin Mkts Stock									
172. - Wells Fargo Stock									
173. - Wm Blair Int'l Fund					Sold	08/18/09	J		
174. Estate #1	B	Int./Div.			Closed	01/07/09	O		Trust #2 See note 1
175. - Coca Cola					Distributed	01/07/09	K		
176. - Columbia Dividend Income Fund					Distributed	01/07/09	M		
177. - Columbia Large Cap Growth Fund					Distributed	01/07/09	L		
178. - Dreyfus Tax Exempt Cash Fund					Distributed	01/07/09	L		
179. - MFS Ser Int'l Fund					Distributed	01/07/09	M		
180. - Teva Pharmaceutical Stock					Distributed	01/07/09	M		
181. Trust #2	E	Div. & Int.	P1	T					
182. - Coca Cola					Open	01/07/09	K		
183. - Columbia Dividend Income Fund					Open	01/07/09	M		
184. - Columbia Large Cap Growth Fund					Open	01/07/09	M		
185. - Dreyfus Tax Exempt Cash Fund									
186. - Kaman Stock									
187. - MFS Ser Int'l Fund					Open	01/07/09	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Teva Pharmaceutical Fund					Open	01/07/09	M		
189. Merrill Lynch IRA	C	Div. & Int.	M	T					
190. - Aberdeen Equity Fund					Buy	07/22/09	J		
191. - Alliance Bernstein Int'l Fund					Sold	07/22/09	J		
192. - American Growth Fund					Sold	07/22/09	J		
193. - Blackrock Global Fund					Buy	07/22/09	J		
194. - Citigroup Stock									
195. - First Tr Nasdaq Fund					Buy	07/22/09	J		
196. - First Tr ISE Revere Fund					Buy	07/22/09	J		
197. - Franklin Strategic Fund					Buy	07/22/09	J		
198. - Hartford Cap Apprec. Fund									
199. - Henderson Int'l Fund									
200. - Highland Long/Short Fund					Buy	07/22/09	J		
201. - Hotchkis & Wiley Midcap Fund					Sold	07/22/09	J		
202. - Ishares Fund					Buy	07/22/09	J		
203. - Ivy Asset Strategy Fund					Buy	07/22/09	J		
204. - Janus Forty Fund					Buy	07/22/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Legg Mason Fund									
206. - Loomis Sayles Strategic Fund					Sold	07/22/09	J		
207. - Lord Abbett Midcap Fund					Sold	07/22/09	J		
208. - Mainstay Midcap Growth Fund					Sold	07/22/09	J		
209. - MFS Value Fund					Buy	08/04/09	J		
210. - Natixis ASG Global Fund					Buy	10/28/09	J		
211. - PIMCO Emerg Mkts Bd Fund									
212. - Pioneer Cullen Value Fund					Buy	07/22/09	J		
213. - Powershares Fund					Buy	07/22/09	J		
214. - SPDR Gold Trust					Buy	07/22/09	J		
215. - SunAmerica Strategic Fund					Buy	07/22/09	J		
216. - TouchstoneMidcap Growth Fund					Sold	07/22/09	J		
217. - Van Eck Global Fund					Buy	07/22/09	J		
218. - Vanguard Growth ETF					Buy	07/22/09	J		
219. - Vanguard Total Fund									
220. Trust #1 (X) Income Beneficiary	D	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other			

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note #1 -- Line 174 Estate #1 closed 1/7/2009; all assets decreed to Trust #2.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FA
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544