

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

Crosby

1. Person Reporting (last name, first, middle initial) McCurn, Neal P.	2. Court or Organization U.S. District Court N.D.N.Y.	3. Date of Report 04/28/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address P.O. Box 7365 100 S Clinton Street Syracuse, NY 13261	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McCuru, Neal P.

Date of Report

04/28/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	N.Y. State Employees Retirement System	\$2,747.84
2. 11/10/08	Individual Retirement Account	\$16,363.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Catholic Relief Services Annuity \$847
2. 2008	Catholic Church Ext. Society \$722
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

McCurn, Neal P.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 22-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

McCurn, Neal P.

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04/28/2009

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage A/Cs Sts Bds UBS & Wachovia									
2. AT&T	B	Dividend	K	T					
3. Amer Electric Power	B	Dividend	K	T					
4. Heinz HJ	A	Dividend	J	T					
5. Intl Business Machines	A	Dividend	K	T					
6. Valero Energy Corp	A	Dividend	J	T					
7. Verizon Comm	A	Dividend	J	T					
8. YUM Brands	A	Dividend	J	T					
9. Franklin NY T/F Income	D	Interest	M	T					
10. Franklin NY T/F Income	C	Interest	L	T	Buy	3/10	L		market
11. NYS Dorn 4% 7/1/09	A	Interest	K	T					
12. SC Pub 4.25% 1/1/15	A	Interest	J	T					
13. Biotech Holders Dep Rcpts		None		T	Merged (with line 14)	6/12	J	A	market
14. Biotech Holders Tr	A	Dividend	K	T	Spinoff (from line 13)	6/12	K		market
15. Fairport Communications	A	Dividend	J	T	Sold (part)	5/12	J		market
16. Kansas City 0% 3/1/09		None	K	T					
17. Long Island 0% 12/1/11		None	K						

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assumed W = Estimated	T = Cash Market	
3. Value Method Codes: (See Column C2)					

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Name of Person Reporting

McCurn, Neal P.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Long Island 0% 6/1/19		None	K						
19. Long Island 0% 6/1/15		None	K						
20. Corning	A	Dividend	J	T	Buy	9/18	J		market
21. Corning	A	Dividend	J						
22. Franklin Temp Mut Disc	C	Dividend	L	T					
23. NYS Dorm 3.5% 7/1/16	A	Interest	J	T	Buy	10/6	J		market
24. NYS Dorm 3.25% 7/1/14		None	K	T	Buy	9/18	K		market
25. Campbell Strategic	D	Distribution	M	T					
26. Agilent Tech Inc		None	J	T					
27. Stryker Corp		None	K	T					
28. Amer Fds Cap Wrid G&I	A	Dividend	J	T					
29. Franklin Income Fd	C	Dividend			Sold	11/13	K		market
30. EOG Resources	A	Dividend	K	T					
31. First Bussey Corp	A	Dividend	J	T					
32. Hewlett Packard	A	Dividend	J	T					
33. Johnson & Johnson	A	Distribution	K	T					
34. Eli Lilly	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

McCurd, Neal P.

Date of Report

04/28/2009

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Pfizer	A	Distribution	J	T					
36. Vodafone Group	A	Dividend	J	T					
37. Xerox	A	Dividend	J	T					
38. Bank of America	A	Dividend	J	T	Transferred (from line 64)	11/10			
39. Indymac CD 2/7/08	A	Interest			Redeemed	2/7	J		
40. Washington Mut CD 1/24/08	A	Interest			Redeemed	1/24	J		
41. Washington Mut CD 7/30/08	A	Interest			Redeemed	7/30	J		
42. NYS Thruway 4.5 4/1/09	B	Interest	K	T					
43. NYS Envrmt 4% 6/15/14	A	Interest	K	T	Buy	9/18	K		market
44. NYS Govt 4/1/08		None			Sold	3/5	L		market
45. MTA NY Trans 7/1/13		None	K	T					
46. NYS LOC Gvt 4/1/13		None	J	T					
47. NYS Gvt 4/1/14		None	K	T					
48. Sentinel Cap Mkrs	A	Dividend	K	T	Buy	11/14	K		market
49. Intuitive Surgical Inc		None	K	T					
50. IRA Acct (UBS to Wachovia) Stks & Bnds listed below:									
51. Boston Scientific		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Amortization W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

McCurn, Neal P.

Date of Report

04/28/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Eli Lilly	A	Dividend	J	T					
53. Halliburton Co	A	Dividend	J	T					
54. Medco Health		None	J	T					
55. Simon Property Grp	B	Dividend	K	T					
56. UDR Inc	B	Dividend	K	T					
57. Wyeth	A	Dividend	J	T					
58. Amer Express CD 12/30/11	B	Int./Div.	K	T	Buy	12/26	K		market
59. Franklin T Mutual Disc	A	Dividend	K	T					
60. Amer Fds-New Economy	A	Dividend	K	T					
61. US Treas Strip 8/15/21		None			Sold	12/09	K	C	market
62. Target	A	Dividend			Sold	9/17	K	D	market
63. Bank of America		None			Buy	9/17	K		market
64. Bank of America		None			Distributed	11/10	K		market
65. Individual Account held in NPMcCurn listed as follows:									
66. US Savings Bonds		None	K	T					
67. Dreyfus Emerging Leaders	C	Dividend	K	T	Buy (add'l)	10/03	J		market
68. Dreyfus MidCap Value	A	Dividend	K	T	Buy (add'l)	03/03	J		market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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McCurn, Neal P.

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04/28/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-69 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Dreyfus MidCap Value		None			Buy (add'l)	06/30	J		market
70. Dreyfus MidCap Value		None			Buy (add'l)	12/10	J		market
71. Dreyfus Appreciation	A	Dividend	K	T	Buy (add'l)	02/14	J		market
72. Dreyfus Appreciation		None			Buy (add'l)	04/28	J		market
73. Dreyfus Appreciation		None			Buy (add'l)	11/05	J		market
74. Dreyfus NY Tax Exempt	B	Dividend	K	T	Buy (add'l)	02/12	J		market
75. Dreyfus NY Tax Exempt		None			Buy (add'l)	3/3	J		market
76. Dreyfus NY Tax Exempt		None			Buy (add'l)	4/21	J		market
77. Dreyfus NY Tax Exempt		None			Buy (add'l)	5/09	J		market
78. Dreyfus NY Tax Exempt		None			Buy (add'l)	6/5	J		market
79. Dreyfus NY Tax Exempt		None			Buy (add'l)	6/16	J		market
80. Dreyfus NY Tax Exempt		None			Buy (add'l)	7/7	J		market
81. Dreyfus NY Tax Exempt		None			Buy (add'l)	8/4	J		market
82. Dreyfus NY Tax Exempt		None			Buy (add'l)	9/3	J		market
83. Dreyfus NY Tax Exempt		None			Buy (add'l)	10/3	J		market
84. Dreyfus NY Tax Exempt		None			Buy (add'l)	10/30	J		market
85. Dreyfus NY Tax Exempt		None			Buy (add'l)	12/5	J		market

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

H2=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

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Name of Person Reporting

McCurn, Neal P.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. Dreyfus Stock Fund	A	Dividend	K	T	Buy (add'l)	4/8	J		market
87. Dreyfus Stock Fund		None			Buy (add'l)	7/2	J		market
88. Dreyfus Stock Fund		None			Buy (add'l)	7/9	J		market
89. Syracuse Police Fed Credit Union CDs & Base A/C	B	Interest	K	T					
90. M&T Bank Syracuse Checking A/C	A	Interest	J	T					
91. Metlife Bank & Money Mkt A/C	A	Interest	J	T					

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessed

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF NEW YORK

P.O. BOX 7365

100 SOUTH CLINTON STREET

SYRACUSE, NEW YORK 13261-7365

TEL: 315-234-8590

CHAMBERS OF
NEAL P. McCURN
SENIOR JUDGE

July 9, 2009

Honorable Bobby R Baldock
Judicial Conference of the United States
Committee of Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2008 Filing

Dear Judge Baldock:

In response to your letter dated June 17, 2009, following please find the additional information requested:

In Part VII, various assets listed which were not on prior report:

Page 4, Line 15: (X) Fairport Communications -Updated with (X) on updated on attached report
Page 5, Line 28: (Y) Amer Fds Cap Wrld G& I: On 2007 Report, Page 7 Line 54, S/B Grwth & Inc
Page 5, Line 29: (Y) Franklin Inc Fd: On 2007 Report, Page 5 Line 21, S/B Franklin Inc Fd
Page 6, Line 36: (Y)Vodafone Grp: Transfer from IRA account
Page 7, Line 54: (X) Medco Health - Updated with (X) on updated on attached report:
Page 7, Line 61: US Treas Strip 8/15/21: On 2007 Report, Page 7, Line 52

In Part VII, on prior report listed various assets but not included in current report

Page 5, Line 18: (X) M2 Fund was sold in 2005
Page 6, Line 46: New Economy: On 2008 Report, Page 7, Line 60
Page 7, Line 52: TINT TSY 8/15/21: On 2008 Report, Page 7, Line 61

In Part VII, Page 4, line 17-19, listed Value Code K in Column C(1)
Column C(2) is T for each -updated on attached report

Sincerely,

Judge Neal P. McCurn

Encl.

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2009 JUL 14 P 12:09
FINANCIAL
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McCum, Neal P.	2. Court or Organization U.S. District Court N.D.N.Y.	3. Date of Report 7/9/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address P.O. Box 7365 100 S Clinton Street Syracuse, NY 13261	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

McCurn, Neal P.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	N.Y. State Employees Retirement System	\$2,747.84
2. 11/10/08	Individual Retirement Account	\$16,363.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Catholic Relief Services Annuity \$847
2. 2008	Catholic Church Ext. Society \$722
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

McCurm, Neal P.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage A/Cs Sts Bds UBS & Wachovia									
2. AT&T	B	Dividend	K	T					
3. Amer Electric Power	B	Dividend	K	T					
4. Heinz HJ	A	Dividend	J	T					
5. Intl Business Machines	A	Dividend	K	T					
6. Valero Energy Corp	A	Dividend	J	T					
7. Verizon Comm	A	Dividend	J	T					
8. YUM Brands	A	Dividend	J	T					
9. Franklin NY T/F Income	D	Interest	M	T					
10. Franklin NY T/F Income	C	Interest	L	T	Buy	3/10	L		market
11. NYS Dorm 4% 7/1/09	A	Interest	K	T					
12. SC Pub 4.25% 1/1/15	A	Interest	J	T					
13. Biotech Holders Dep Recpts		None		T	Merged (with line 14)	6/12	J	A	market
14. Biotech Holders Tr	A	Dividend	K	T	Spinoff (from line 13)	6/12	K		market
15. (X)Fairport Communications (X)									
16. Kansas City 0% 3/1/09		None	K	T					
17. Long Island 0% 12/1/11		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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Name of Person Reporting

McCurn, Neal P.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Long Island 0% 6/1/19		None	K	T					
19. Long Island 0% 6/1/15		None	K	T					
20. Corning	A	Dividend	J	T	Buy	9/18	J		market
21. Corning	A	Dividend	J						
22. Franklin Temp Mut Disc	C	Dividend	L	T					
23. NYS Dorn 3.5% 7/1/16	A	Interest	J	T	Buy	10/6	J		market
24. NYS Dorn 3.25% 7/1/14		None	K	T	Buy	9/18	K		market
25. Campbell Strategic	D	Distribution	M	T					
26. Agilent Tech Inc		None	J	T					
27. Stryker Corp		None	K	T					
28. (Y) Amer Fds Cap Wrld G&I	A	Dividend	J	T					
29. (Y) Franklin Income Fd	C	Dividend			Sold	11/13	K		market
30. EOG Resources	A	Dividend	K	T					
31. First Busey Corp	A	Dividend	J	T					
32. Hewlett Packard	A	Dividend	J	T					
33. Johnson & Johnson	A	Distribution	K	T					
34. Eli Lilly	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

McCurn, Neal P.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Pfizer	A	Distribution	J	T					
36. (Y) Vodafone Group	A	Dividend	J	T					
37. Xerox	A	Dividend	J	T					
38. Bank of America	A	Dividend	J	T	Transferred (from line 64)	11/10			
39. Indymac CD 2/7/08	A	Interest			Redeemed	2/7	J		
40. Washington Mut CD 1/24/08	A	Interest			Redeemed	1/24	J		
41. Washington Mut CD 7/30/08	A	Interest			Redeemed	7/30	J		
42. NYS Thruway 4.5 4/1/09	B	Interest	K	T					
43. NYS Envrmt 4% 6/15/14	A	Interest	K	T	Buy	9/18	K		market
44. NYS Govt 4/1/08		None			Sold	3/5	L		market
45. MTA NY Trans 7/1/13		None	K	T					
46. NYS LOC Gvt 4/1/13		None	J	T					
47. NYS Gvt 4/1/14		None	K	T					
48. Sentinel Cap Mrks	A	Dividend	K	T	Buy	11/14	K		market
49. Intuitive Surgical Inc		None	K	T					
50. IRA Acct (UBS to Wachovia)Stks & Bnds listed below:									
51. Boston Scientific		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Eli Lilly	A	Dividend	J	T					
53. Halliburton Co	A	Dividend	J	T					
54. (X) Medco Health (X)									
55. Simon Property Grp	B	Dividend	K	T					
56. UDR Inc	B	Dividend	K	T					
57. Wyeth	A	Dividend	J	T					
58. Amer Express CD 12/30/11	B	Int./Div.	K	T	Buy	12/26	K		market
59. Franklin T Mutual Disc	A	Dividend	K	T					
60. Amer Fds-New Economy	A	Dividend	K	T					
61. US Treas Strip 8/15/21		None			Sold	12/09	K	C	market
62. Target	A	Dividend			Sold	9/17	K	D	market
63. Bank of America		None			Buy	9/17	K		market
64. Bank of America		None			Distributed	11/10	K		market
65. Individual Account held in NPMcCurn listed as follows:									
66. US Savings Bonds		None	K	T					
67. Dreyfus Emerging Leaders	C	Dividend	K	T	Buy (add'l)	10/03	J		market
68. Dreyfus MidCap Value	A	Dividend	K	T	Buy (add'l)	03/03	J		market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Dreyfus MidCap Value		None			Buy (add'l)	06/30	J		market
70. Dreyfus MidCap Value		None			Buy (add'l)	12/10	J		market
71. Dreyfus Appreciation	A	Dividend	K	T	Buy (add'l)	02/14	J		market
72. Dreyfus Appreciation		None			Buy (add'l)	04/28	J		market
73. Dreyfus Appreciation		None			Buy (add'l)	11/05	J		market
74. Dreyfus NY Tax Exempt	B	Dividend	K	T	Buy (add'l)	02/12	J		market
75. Dreyfus NY Tax Exempt		None			Buy (add'l)	3/3	J		market
76. Dreyfus NY Tax Exempt		None			Buy (add'l)	4/21	J		market
77. Dreyfus NY Tax Exempt		None			Buy (add'l)	5/09	J		market
78. Dreyfus NY Tax Exempt		None			Buy (add'l)	6/5	J		market
79. Dreyfus NY Tax Exempt		None			Buy (add'l)	6/16	J		market
80. Dreyfus NY Tax Exempt		None			Buy (add'l)	7/7	J		market
81. Dreyfus NY Tax Exempt		None			Buy (add'l)	8/4	J		market
82. Dreyfus NY Tax Exempt		None			Buy (add'l)	9/3	J		market
83. Dreyfus NY Tax Exempt		None			Buy (add'l)	10/3	J		market
84. Dreyfus NY Tax Exempt		None			Buy (add'l)	10/30	J		market
85. Dreyfus NY Tax Exempt		None			Buy (add'l)	12/5	J		market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cash (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

McCurn, Neal P.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Dreyfus Stock Fund	A	Dividend	K	T	Buy (add'l)	4/8	J		market
87. Dreyfus Stock Fund		None			Buy (add'l)	7/2	J		market
88. Dreyfus Stock Fund		None			Buy (add'l)	7/9	J		market
89. Syracuse Police Fed Credit Union CDs & Base A/C	B	Interest	K	T					
90. M&T Bank Syracuse Checking A/C	A	Interest	J	T					
91. Metlife Bank & Money Mrk A/C	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544