

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Dubina, Joel F	2. Court or Organization U.S. COURT OF APPEALS/11TH Cir	3. Date of Report 5/9/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. CIRCUIT JUDGE—ACTIVE	5. Report Type (check appropriate type) ☐ Nomination Date _____ ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address Post Office Box 867 Montgomery, AL 36101-0867	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Investments Unlimited, a limited liability corp. ("LLC")
2.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. _____

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. Federal Land Bank

1st mfg hable for 50% [REDACTED] farm Montgomery Co., AL

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VII INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "D" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. recd. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, transfer, redemption)	Date: Month - Day	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. I. JOEL F. DUBINA									
2. COMMON STOCKS:									
3. Insignia Finl Group Inc	A	Dividend	J	T					
4. Torchmark Corp	A	Dividend	K	T					
5. U.S. Shelter Corp. Del	A	Dividend	J	T					
6. Waddell & Reed Finl Inc. CL A	A	Dividend	K	T					
7. Money Market Funds [REDACTED] Sterne, Agee & Leach	A	Interest	J	T					
8. 12.5% interest Investments Unlimited, an L.L.C.	D	Rent	P1	W					
9. 50% interest in land, Montgomery County, AL	A	None	N	R					
10. 12.5% interest in house, Ada, AL	A	None	L	W					
11. 8% interest in [REDACTED] Townhouses, Enterprise, AL	E	Rent	P1	R	Sell	3/31	P1	E	Judge
12. Fannie Mae	A	Dividend	J	T					
13. AmSouth Bank	A	Dividend	J	T	Sell	7/26	J	A	Judge
14. II. TRUST ASSETS #1									
15. Cash [REDACTED] Regions Bank	A	Interest	J	T					
16. Federal National Mortgage	A	Dividend	L	T					
17. MUNICIPAL OBLIGATIONS:									
18. Alabama St Docks Fac Rv	B	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	F = \$50,001-\$100,000	B = \$1,001-\$2,500	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	J = \$15,000 or less (See Columns C1 and D3)	N = \$250,000-\$500,000	K = \$15,001-\$50,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P4 = \$5,000,001-\$25,000,000	M = \$100,001-\$250,000	P2 = \$5,000,001-\$25,000,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	U = Book Value	R = Cost (Real Estate Only)	V = Other	S = Assessment	T = Cash/Market		

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Mobile Co Al Tax Rv	B	Interest	K	T					
20. Huntsville Al Hlth Rv	B	Interest	K	T					
21. Alabama Ind. Access R&B	B	Interest	K	T					
22. COMMON STOCKS:									
23. Abbott Lab	A	Dividend	K	T					
24. BankAmerica Corp	A	Dividend	K	T					
25. Coca Cola Co	A	Dividend	K	T					
26. General Electric Co	C	Dividend	M	T					
27. Home Depot Inc	A	Dividend	K	T					
28. Johnson & Johnson	B	Dividend	L	T					
29. Merck & Co Inc	A	Dividend	K	T					
30. Pfizer Inc.	B	Dividend	L	T					
31. Torchmark Corp	C	Dividend	N	T					
32. Waddell & Reed Finl Inc Cl A	B	Dividend	L	T					
33. Wrigley Wm Jr Co	A	Dividend	K	T					
34. Integrity Life Ins Policy	A	Dividend	L	T					
35. Microsoft Corp.	A	Dividend	K	T					
36. Walt Disney	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. Schlumberger Ltd	A	Dividend	K	T					
38. AOL Time Warner	A	Dividend	J	T					
39. (PERSONAL HOLDINGS):									
40. Money Market Funds Regions Bank	A	Interest	J	T					
41. Torchmark Corp	A	Dividend	L	T					
42. Waddell & Reed Finl Inc Cl A	A	Dividend	K	T					
43. 56 TMK, United Inc.	A	Dividend	J	T					
44. 12.5% interest Investments Unlimited, an L.L.C.	D	Rent	P1	W					
45. 12.5% interest in house, Ada AL	A	None	L	W					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

I. Positions: Trust #5 previously reported was a trust for [REDACTED] that was established after [REDACTED]. The trust terminated effective 8/9/04 when [REDACTED].

[REDACTED] Townhouses sold 3/31/04.

VII. Investments and Trusts:

Trusts #1, #2, and #3 previously reported have been consolidated into one trust account. Trust Assets #1

page 1, line 9, land was purchased on 10/7/76 for \$64,475.68; land was purchased on 12/14/78 for \$50,000; and land was purchased on 9/7/82 for \$93,248.00

page 1, line 11, [REDACTED] Townhouses was purchased on 5/31/84 for \$1,000,000.00; 3/31/04 sold to Generation IV Investment Group LLC of Dothan, Alabama for a purchase price of \$1,050,000.00.

Trust #5 on previous reports was terminated. Child no longer qualifies as dependent.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/9/05

NOTE: ANY PERSON WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544