

AO-10 (WP)
Rev. 1/2005

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-112)

1. Person Reporting (Last name, first, middle initial) HAIGHT CHARLES S. JR.		2. Court or Organization USDC SDNY	3. Date of Report 06/15/2005
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 01/01/2004 - 12/31/
7. Chambers or Office Address U.S. COURTHOUSE - ROOM 1940 500 PEARL STREET NEW YORK NY 10007		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 ADVISORY TRUSTEE	AMERICAN - SCANDINAVIAN FOUNDATION
2 DIRECTOR	KENNEDY CHILD STUDY CENTER
3 BOARD OF MANAGERS	HAVENS RELIEF FUND SOCIETY

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1 _____	
2 _____	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME
A. Filer's Non-Investment Income		
☒ NONE (No reportable non-investment income.)		
1 _____		\$ _____
2 _____		\$ _____
3 _____		\$ _____

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ NONE (No reportable non-investment income.)	
1 2004	SELF-EMPLOYED (PERSONAL ASSISTANT)
2 _____	

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IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCE

DESCRIPTION



NONE (No such reportable reimbursements.)

1		
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

SOURCE

DESCRIPTION

VALUE



NONE (No such reportable gifts.)

1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*



NONE (No reportable liabilities.)

1		
2		
3		
4		
5		

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
18 A. ASSETS OWNED BY REPORTING INDIVIDUAL									
19									
20 HERITAGE CASH TRUST	A	INT	J	T					
21 AMERICAN INTER. GROUP	A	DIV	J	T					
22 CVS CORP.	A	DIV	J	T					
23 CEDANT CORP.	A	DIV	J	T					
24 WALT DISNEY CO.	A	DIV	J	T					
25 HOME DEPOT INC.	A	DIV	J	T					
26 JOHNSON & JOHNSON	A	DIV	J	T					
27 MICROSOFT CORP	A	DIV	J	T					
28 PFIZER INC.	A	DIV	J	T					
29 QUEST DIAGNOSTICS	A	DIV	J	T					
30 FLEXTRADICS INTER- NATIONAL LTD. ORD. (HONG KONG)	NONE		J	T	BUY	5/21	J		
32 BARON GROWTH FUND	NONE		J	T	PARTIAL SALE	7/23	J	A	
33 HERITAGE MID CAP STOCK FUND	NONE		K	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$ 0,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2)	U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 3 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div, rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
34 1 SHARE SML CAP 600	NONE		J	T	BUY	2/24	J		
35 MERCK + CO (N.C.)					SELL	5/11	J	D	
36 NEW YORK NY GEN. OBLIGATION BONDS	B	INT	K	T					
37									
38									
39									
40									
41									
42									
43									
44									
45									
46									
47									
48									
49									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$5,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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VII. Page 4 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-40 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div, rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
50 <u>B. Assets Owned by Reporting Individual's</u>									
51									
52 HERITAGE CASH TRUST	A	INT	K	T					
53 BERSHIRE HATHAWAY	NONE		J	T	BUY	5/26	J		
54 CISCO SYSTEMS	NONE		J	T					
55 CITICORP	A	DIV	K	T					
56 COMCAST CORP	NONE		K	T	PARTIAL SELL	1/13	J	C	
57 " " " "					BUY	5/21	J		
58 GENERAL ELECTRIC	A	DIV	J	T					
59 INTEL CORP.	A	DIV	J	T					
60 IBM	A	DIV	J	T	SELL	5/14	J	C	
61 GUIDANT CORP	NONE				SELL	5/14	J	C	
62 JPMORGAN + CHASE	A	DIV	J	T					
63 LIBERTY MEDIA	NONE		K	T					
64 JANTIS WORLDWIDE FUND	NONE				SELL	2/13	J	J	
65 MEDTRONIC INC.	A	DIV	J	T	BUY	5/14	J		

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less P=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
50 MICROSOFT	A	DIV	J	T					
51 ST. PAUL TRAVELLERS INC.	A	DIV	J	T	MERGER 4/2				
52					WITH TRAVELLERS PROP. CAS. CO.				
53 TIME WARNER	NONE		J	T					
54 TYCO INTERNATIONAL	A	DIV	J	T					
55 TRAVELLERS PROP. CAS. CO.					BUY 2/20	J			
56 WASHINGTON MUTUAL	A	DIV	J	T					
57 LUCENT TECHNOLOGIES	NONE		J	T					
58 OAKMARK FUND CL. I	A	DIV	K	T					
59 OAKMARK INTERNATL. FUND	A	DIV	K	T					
60 MASTER SELECT INTERNATIONAL	A	DIV	K	T	BUY 3/23	K			
61 CLIPPER GLOBAL FOCUS FUND	NONE		J	T					
62 ROYCE PREMIER FUND INVESTMENT	NONE		K	T	PARTIAL SELL 2/23	J	B		
63 ISHARES TR MSCI EAFE IDX	A	DIV	J	T					
64 ISHARES TR S&P MIDCAP 400	NONE		K	T					
65 NY STATE DOMINION AUTH.	B	INT	K	T					

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2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	N=\$250,001-\$500,000	O=\$500,001-\$1,000,000
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☐ NONE (No reportable income assets, or transactions)									
C. ASSETS JOINTLY HELD WITH [REDACTED]									
50									
51 DELA FIELD FUND	A	DN	J	T					
52 HENNESSY CORNERSTONE GROWTH FUND	A	DIV	J	T					
53 BUFFALO SMALL CAP FUND	A	DIV	K	T					
54 FIDELITY SHORT TERM BOND FUND	A	INT	J	T					
55 BANK OF AMERICA USA	A	INT.	K	T					
56 VANGUARD SHORT TERM BOND FUND-FED.	A	INT.	J	T					
57 VANGUARD INFLATION PROTECTION BOND FUND	B	WT	K	T					
58 USTrust CO. CHECKING ACCOUNT	NONE		J	T					
59									
60									
61									
62									
63									
64									
65									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
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3	Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only) U=Book value	S=Assessment W=Estimated	T=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

The 2003 Report, at page 4, line 4, listed as an asset of the reporting individual "Dreyfus S&P 500 Fund - IRA." This IRA account was totally distributed during 2004, the value code being J, and so this asset is not listed in the 2004 Report. The same comments apply to page 7, line 7 of the 2003 Report, which lists as a spousal asset "Dreyfus S&P 500-IRA."

The 2003 Report, at page 7, line 8, lists the asset "Vanguard S&P 500 Fund." This asset does not appear in the 2004 Report because during 2004 the monies in this fund were transferred into the Vanguard Windsor II Fund, listed in the 2003 Report at page 7, line 9 and in the 2004 Report at page 7, line 60. This was a transfer on the books of the Vanguard Family of Funds and did not generate any gain or loss.

With respect to the asset "Buffalo Small Cap Fund," listed in the 2004 Report at page 8, line 53, monthly purchases of shares in a value code J amount are made by automatic wire transfer and are not separately reported.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date 06/15/2005

NOTE: AN
SUBJECT T

IES OR FAILS TO FILE THIS REPORT MAY BE

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544