

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report required by the Ethics in
Government Act of 1978, as amended
(5 U.S.C. App. 4, Sec. 101-112)

1. Person Reporting (Last name, first, middle initial) McKENNA, LAWRENCE M.	2. Court or Organization Southern District of New York	3. Date of Report 05/14/2004
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5. Report Type (check type) Nomination, Date / / Initial ☒ Annual ☐ Final ☐	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address United States District Court 500 Pearl Street (1640) New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

POSITION	NAME OF ORGANIZATION / ENTITY
☐ NONE (No reportable positions.)	
1 ADMINISTRATOR	ESTATE OF [REDACTED]
2	
3	

II. AGREEMENTS (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	

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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income.)		
1	Self-employed (lawyer)	
2		
3		
4		

FINANCIAL DISCLOSURE REPORTName of Person Reporting
MCKENNA, LAWRENCE M.Date of Report
05/14/2004**IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.***(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)*

	SOURCE	DESCRIPTION
☒ X	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS*(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)*

	SOURCE	DESCRIPTION	VALUE
☒ X	NONE (No such reportable gifts.)		
1			
2			
3			

VI. LIABILITIES*(Includes those of spouse and dependent children. See pp. 33-35 of Instructions.)*

	CREDITOR	DESCRIPTION	VALUE CODE*
☐ NONE	(No reportable liabilities.)		
1	CITIBANK	LOAN	K
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

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VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 CITIBANK (Checking)		None	J	T					
2 EMIGRANT SAVINGS BANK (Savings)	A	Interest	K	T					
3 UBS PAINE WEBBER RET. MONEY FUND	A	Interest	J	T					
4 LORD ABBETT U.S. GOV'T SEC. TRUST (Fund)	A	Dividend	J	T					
5 LORD ABBETT GROWTH OPPORTUNITIES (Fund)		None	J	T					
6 LUCENT (Common)		None	J	T					
7 ALTRIA (Common)	A	Dividend	J	T	Name change fr. Philip Morris	1/28			
8 TEMPLETON WORLD (Fund)		None			Sale	9/2	J	A	
9 IBM (Common)	A	Dividend	J	T					
10 BIOSONICS (Common)		None	J	T					
11 MCI WORLD (Common)		None	J	T					
12 SONORAN (Common)		None	J	T					
13 MERCK (Common) (Stock Invest. Plan)	A	Dividend	J	T					
14 CISCO SYSTEMS (Common)		None	J	T					
15 AVAYA (Common)		None	J	T					
16 ATMEL (Common)		None	J	T					
17 ASTROPOWER (Common)		None	J	T					

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$500,001 or more
3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
18 FIDELITY CASH RESERVES	A	Interest	J	T					
19 AGERE (Class A) (Common)		None	J	T					
20 AGERE (Class B) (Common)		None	J	T					
21 FIDELITY STRUCTURED MIDCAP GROWTH (Fund)		None	J	T					
22 PHARMACIA (Common)	A	Dividend	J	T					
23 MONSANTO (Common)	A	Dividend	J	T					
24 EASTMAN KODAK (Common) (Stock Invest. Plan)	A	Dividend	J	T	Buy	5/23	J		
25 H.J. HEINZ (Common) (Stock Invest. Plan)	A	Dividend	J	T	Buy	5/29	J		
26 MEDCO HEALTH (Common)		None	J	T	Spin-off	8/19	J		
27 FORD MOTOR (Preferred)	A	Dividend	J	T	Buy	7/7	J		
28 PFIZER (Common)	A	Dividend	J	T	Spin-off	4/16	J		
29 FIDELITY STRUCTURED MIDCAP (Fund)		None	J	T	Buy	2/10	J		
30 FIDELITY GROWTH & INCOME II (Fund)	A	Dividend	K	T	Buy	5/29	K		
31 SPARTAN NY MUNI INCOME (Fund)	A	Dividend	K	T	Buy	5/29	K		
32 FIDELITY CONTRA FUND (Fund)	A	Dividend	J	T	Buy	11/10	J		
33									
34									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.

(Indicate part of report.)

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IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

5/14/04**Note:**

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

**Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544**