

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Henderson, Karen L.	2. Court or Organization US Circuit Court of Appeals	3. Date of Report 05/15/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address US Courthouse, Room 3118 333 Constitution Avenue, NW Washington, DC 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

Henderson, Karen L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

TS

(3)

(transaction)

1.	Wachovia Bank Account	A	Interest	J	T
2.	Suntrust Bank Checking Account	A	Interest	J	T
3.	Suntrust Bank Investment Account	A	Dividend	M	T
4.	Allis Chalmers Energy Inc.: Common		None	J	W
5.	Citigroup Account Contains:				
6.	**Smith Barney Cash Accounts	A	Interest	J	T
7.	**AT&T Inc.: Common	B	Dividend	K	T
8.	**Alcatel-Lucent: Common		None	J	T
9.	**NCR Corp: Common		None	J	T
10.	**Teradata Corp: Common		None	J	T
11.	**LSI Corporation: Common		None	J	T
12.	**International Business Machines: Common	A	Dividend	J	T
13.	IRA CD: Wachovia Bank	A	Interest	J	T
14.	IRA: Citigroup Contains:				
15.	**AT&T Inc.: Common	C	Dividend	L	T
16.	**Citigroup Inc.: Common		None	J	T
17.	**Alcatel-Lucent: Common		None	J	T

1. Income Code	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns D1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Code	I = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and C3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraised	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. **Intl Business Machines Corp.: Common	B	Dividend	L	T					
19. **NCR Corp.: Common		None	J	T					
20. **Teradata Corp.: Common		None	J	T					
21. **Citigroup Cash Accounts	A	Interest	L	T					
22. **LSI Corporation: Common		None	J	T					
23. **Traveler's Companies: Common	A	Dividend	J	T					
24. Merrill Lynch Account Contains:									
25. **Federal Signal Corp.: Common	B	Dividend	K	T					
26. **Schlumberger Ltd: Common	C	Dividend	N	T	Buy (add'l)	10/06/09	J		
27. **CD: Banco Pop Puerto Rico	B	Interest			Redeemed	04/23/09	L		
28. **CD: GE Capital Financial, Inc.	C	Interest			Redeemed	07/16/09	L		
29. **CD: Bank of Baroda, NY	C	Interest			Redeemed	07/23/09	L		
30. **CD: Capmark Bank	C	Interest			Redeemed	07/23/09	L		
31. **CD: Capital One Bank	C	Interest	L	T					
32. **CD: Capital One NA McLean	C	Interest	L	T					
33. **CD: Bank of America		None	L	T	Buy	10/30/09	L		
34. **CD: Firstbank of PR		None	L	T	Buy	10/30/09	L		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,000 G = \$200,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	I = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. **CD Bank of America	A	Interest			Buy	04/20/09	M		
36.					Sold	10/29/09	M		
37. **Arizona Sch Facs BRD 5.0%		None	K	T	Buy	07/20/09	K		
38. **South Carolina Transn Infra 5.25%	D	Interest			Redeemed	10/01/09	M		
39. **Rock Hill SC Util Sys RV 4.4%	A	Interest			Redeemed	01/02/09	K		
40. **Piedmont Municipal Power Agency SC 5.25%	A	Interest			Redeemed	01/02/09	J		
41. **Georgia State 5.0%		None	K	T	Buy	07/20/09	K		
42. **Georgia State 5.0%		None	J	T	Buy	07/20/09	J		
43. **Charlotte NC CTFS 4.0%		None	M	T	Buy	09/29/09	M		
44. **New Jersey Econ Dev 5.0%	A	Interest	L	T	Buy	07/20/09	L		
45. **New Jersey Econ Dev 5.0%	A	Interest	L	T	Buy	07/20/09	L		
46. **Laurens Co SC Wtswe WDSR 3.0%	A	Interest			Redeemed	03/02/09	J		
47. **Berkeley Cnty SC Sch Dist. 5.75%	B	Interest	K	T					
48. **Laurens Co SC Wtswr 3.4%	A	Interest	K	T	Buy	01/01/09	K		
49. **SC Transn Infrast Rev 5.0%	C	Interest	M	T					
50. **SC Jobs EDA Hsp Fcs 5.0%	A	Interest	J	T	Redeemed (part)	11/02/09	J		
51. **Beaufort Cnty SC OHD 2.875%	B	Interest	L	T					

1. Income Gain Codes (See Columns B1 and D3)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$750,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. **South Carolina St Hwy 5.0%	B	Interest	L	T					
53. **South Carolina St Hwy 5.0%	B	Interest	L	T					
54. **South Carolina Trans Infra Ser 5.1%	C	Interest	L	T					
55. **California ST Economic 5.75%	B	Interest	K	T					
56. **Clemson University, SC 4.0%	C	Interest	L	T					
57. **New York, NYC Tran Fin 5.5%	C	Interest	L	T					
58. **New York, NY 6.0%	C	Interest	L	T					
59. **Florida St Dept Trans 5.0%	C	Interest	M	T					
60. **South Dakota St Bldg 5.0%	B	Interest	K	T					
61. **Halliburton Co.: Common	A	Dividend	K	T					
62. **Transocean Inc.: Common		None	L	T					
63. **Merrill Lynch Cash Accounts	A	Interest	J	T					
64. **Delhazze Group Spons Adm: Common	A	Dividend	K	T					
65. **El Paso Corporation: Common	A	Dividend	K	T					
66. **Valhi Inc.: Common	A	Dividend	J	T					
67. **Titanium Metals Corp.		None	J	T					
68. **DirecTV Group Inc.: Common		None	J	T					

1. Income Gain Codes (See Columns D1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	H = \$1,001 - \$2,500 G = \$10,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	I = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period			D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)		(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. **News Corp Ltd: Common	A	Dividend	J	T						
70. **Allstate Corp: Common	A	Dividend	J	T						
71. **Morgan Stanley: Common	A	Dividend	J	T						
72. **Discover Financial Services: Common	A	Dividend	J	T						
73. **Chevron Corp: Common	C	Dividend	M	T						
74. **Marathon Oil Corp: Common	B	Dividend	L	T						
75. **Royal Dutch Shell PLC	C	Dividend	M	T		Buy	07/20/09	M		
76. Merrill Lynch IRA Contains:										
77. **AT&T, Inc.: Common	A	Dividend	J	T						
78. **Alcatel-Lucent: Common		None	J	T						
79. **LSI Corporation: Common		None	J	T						
80. **Marathon Oil Corp: Common	C	Dividend	M	T						
81. **Merrill Lynch Cash Accounts	A	Interest	J	T						
82. Wachovia Rollover IRA Contains:										
83. **US Treasury Bills Due 07/09/09	B	Interest				Buy	01/09/09	P1		
84.						Redeemed	07/09/09	P1		
85. **Wachovia PT Money Market Fund	A	Interest	J	T						

1. Income/Gain Codes (See Columns B(1) and D(4))	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$2,501 - \$5,000 H = \$5,001 - \$10,000 I = \$10,001 - \$15,000 J = \$15,001 - \$50,000 K = \$50,001 - \$100,000 L = \$100,001 - \$250,000 M = \$250,001 - \$500,000 N = \$500,001 - \$1,000,000 O = \$1,000,001 - \$5,000,000 P = \$5,000,001 - \$50,000,000 Q = More than \$50,000,000	C = \$2,501 - \$5,000 D = \$5,001 - \$10,000 E = \$10,001 - \$15,000 F = \$15,001 - \$50,000 G = \$50,001 - \$100,000 H = \$100,001 - \$250,000 I = \$250,001 - \$500,000 J = \$500,001 - \$1,000,000 K = \$1,000,001 - \$5,000,000 L = \$5,000,001 - \$50,000,000 M = More than \$50,000,000	D = \$5,001 - \$10,000 E = \$10,001 - \$15,000 F = \$15,001 - \$50,000 G = \$50,001 - \$100,000 H = \$100,001 - \$250,000 I = \$250,001 - \$500,000 J = \$500,001 - \$1,000,000 K = \$1,000,001 - \$5,000,000 L = \$5,000,001 - \$50,000,000 M = More than \$50,000,000	F = \$15,001 - \$50,000 G = \$50,001 - \$100,000 H = \$100,001 - \$250,000 I = \$250,001 - \$500,000 J = \$500,001 - \$1,000,000 K = \$1,000,001 - \$5,000,000 L = \$5,000,001 - \$50,000,000 M = More than \$50,000,000
2. Value Codes (See Columns C(1) and D(3))	R = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C(2))					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or mt.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. **United States Treasury Bills Due 01/08/2009	D	Interest			Redeemed	01/08/09	P1		
87. **United States Treasury Bills Due 12/31/2009	B	Interest			Buy	07/07/09	P1		
88.					Redeemed	12/31/09	P1		
89. **United States Treasury Bills Due 07/01/10		None	P1	T	Buy	12/31/09	P1		
90. The Black & Decker Corp.: Common	A	Dividend	K	T					
91. Bank America Corp.: Common	B	Dividend	N	T					
92. The Boeing Co.: Common	D	Dividend	N	T					
93. Campbell Soup Co.: Common	D	Dividend	M	T					
94. Colgate-Palmolive Co.: Common	D	Dividend	O	T					
95. General Electric Co.: Common	E	Dividend	O	T					
96. The Goodyear Tire & Rubber Co.: Common		None	K	T					
97. IBM Corp.: Common	C	Dividend	M	T					
98. Exxon-Mobil Corp.: Common	D	Dividend	N	T					
99. El Paso Energy: Common	A	Dividend	K	T					
100. Pfizer Co.: Common	D	Dividend	M	T					
101. Solutia Inc.: Common		None	J	T					
102. Marathon Group: Common	C	Dividend	L	T					

1. Income Gain Codes (See Columns B1 and D3)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$45,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, et int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Monsanto: Common	C	Dividend	M	T					
104. Chevron Corporation: Common	D	Dividend	M	T					
105. Weyerhaeuser Co.: Common	A	Dividend	L	T					
106. US Steel Group: Common	A	Dividend	J	T					
107. Springtree Associates Partnership: 1% Interest - Columbia,SC	C	Rent	J	W					
108. Wachovia Bank Accounts:	A	Interest	L	T					
109. First Citizens Bank Account	A	Interest	K	T					
110. NBSC Money Market Account	A	Interest	K	T	Open	07/01/09	K		
111. CD: Carolina First	B	Interest	L	T					
112. CD: NBSC	B	Interest	K	T					
113. CD: South Carolina Bank & Trust	A	Interest	K	T					
114. CD: First Citizens Bank	B	Interest	K	T					
115. CD: BB&T	A	Interest	L	T	Buy	07/01/09	L		
116. Minnesota Mutual Life Insurance Policy	B	Dividend	L	T					
117. John Hancock Life Insurance Policy	A	Dividend	J	T					
118. Merrill Lynch SEP Contains:									
119. **Merrill Lynch SEP Cash Accounts	A	Dividend	K	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$20,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code: I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. **Chevron Corp. Common	C	Dividend	M	T					
121. **American Growth Fund of America	A	Dividend	K	T					
122. **American Euro Pacific Growth Fund	A	Dividend	K	T					
123. **Thornburg International Value Fund	A	Dividend	L	T					
124. Wachovia Bank Trust Contains:									
125. **Artio Intl Equity Fund	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
126.					Sold (part)	06/11/09	J	A	
127.					Buy (add'l)	07/02/09	J		
128.					Sold (part)	10/02/09	J	A	
129. **Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
130.					Sold (part)	06/11/09	J	A	
131.					Buy (add'l)	07/02/09	J		
132.					Sold (part)	10/02/09	J	A	
133. **Evergreen Equity Tr Intrinsic Value Fund	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
134. **Golden Large Core Value Fund	A	Dividend	K	T	Buy (add'l)	03/02/09	J		
135.					Buy (add'l)	03/11/09	J		
136.					Sold (part)	06/11/09	J	A	

1. Income/Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$3,000,000

M = \$100,001 - \$250,000
P2 = \$3,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Henderson, Karen L.

Date of Report

05/15/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	07/02/09	J		
138. **Goldman Sachs Growth Opportunity Fund		None	J	T	Sold (part)	06/11/09	J	A	
139.					Buy (add'l)	07/02/09	J		
140.					Sold (part)	10/02/09	J	A	
141. **Goldman Sachs Large Cap Value Fund	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
142.					Buy (add'l)	03/11/09	J		
143.					Buy (add'l)	07/02/09	J		
144. **ING International Real Estate Unit	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
145.					Sold (part)	06/11/09	J	A	
146. **Janus Advisor Perkins Mid Cap Fund	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
147.					Sold (part)	06/11/09	J	A	
148.					Buy (add'l)	07/02/09	J		
149. **Royce Value Plus Fund		None	J	T	Sold (part)	06/11/09	J	A	
150.					Buy (add'l)	07/02/09	J		
151. **SSGA Emerging Markets Fund	A	Dividend	J	T	Sold (part)	06/11/09	J	A	
152.					Buy (add'l)	07/02/09	J		
153.					Sold (part)	10/02/09	J	A	

1. Income Gain Codes
(See Columns B1 and B4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$10,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

I = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and C3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Henderson, Karen L.

Date of Report

05/15/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. **Wells Fargo Advantage Endeavor Select Fund	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
155.					Buy (add'l)	07/02/09	J		
156. **Nuveen Funds Ltd Trm Muni Fund	A	Dividend	J	T	Sold (part)	03/02/09	J	A	
157.					Buy (add'l)	06/11/09	J		
158.					Sold (part)	07/02/09	J	A	
159.					Buy (add'l)	10/02/09	J		
160. **Vanguard Intermediate-Term Tax-Exempt Fund	A	Dividend	K	T	Sold (part)	03/02/09	J	A	
161.					Buy (add'l)	06/11/09	J		
162.					Sold (part)	07/02/09	J	A	
163.					Buy (add'l)	10/02/09	J		
164. **Golden Small Core Value		None	J	T	Buy (add'l)	03/02/09	J		
165.					Buy (add'l)	07/02/09	J		
166. **Pimco Commodity Real Return Strategy Fund	A	Dividend	J	T	Buy (add'l)	03/02/09	J		
167.					Sold (part)	06/11/09	J		
168. **Rowe T. Price Real Estate Fund	A	Dividend	J	T	Buy (add'l)	03/11/09	J		
169.					Sold (part)	06/11/09	J		
170.					Sold (part)	10/02/09	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P1 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Henderson, Karen L.

Date of Report

05/15/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	identity of buyer/seller (if private transaction)
171. **Evergreen International Bond Fund	A	Dividend	J	T					
172. **Nuveen Funds High Yield Muni Fund	A	Dividend	J	T	Sold (part)	03/02/09	J		
173.					Buy (add'l)	06/11/09	J		
174.					Sold (part)	07/02/09	J		
175. **Pimco Emerging Markets Bond Fund	A	Dividend	J	T	Sold (part)	03/11/09	J		
176.					Sold (part)	07/02/09	J		
177. **Pimco Foreign Bond Fund	A	Dividend	J	T	Sold (part)	10/02/09	J	A	
178. **Evergreen Intermediate Municipal Bond Fund	A	Dividend	K	T	Sold (part)	03/02/09	J	A	
179.					Buy (add'l)	06/11/09	J		
180.					Sold (part)	07/02/09	J	A	
181. **Dreyfus Tax Exempt Cash Management Fund	A	Interest	J	T					
182. Wachovia Brokerage Account Contains:									
183. **US Treasury Bill Due 09/17/09	C	Interest			Buy	03/16/09	P1		
184.					Redeemed	09/17/09	P1		
185. **US Treasury Bill Due 03/19/09	D	Interest			Redeemed	03/19/09	P1		
186. **US Treasury Bill Due 03/18/10		None	P1	T	Buy	09/14/09	P1		
187.									

1. Income Gain Codes (See Columns H and I)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C and D)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting Henderson, Karen L.	Date of Report 05/15/2010
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Henderson, Karen L.	05/15/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544