AO 10
Rev. 1/2006

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2005

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bataillon, Joseph F	Nebraska	05/13/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Art. III District Judge Active	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	01/01/2005 to 12/31/2005
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
3259 Roman Hruska Courthouse 111 South 18th Plaza Omaha, NE 68102	Reviewing Officer_____ Date_____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. House of Delegates Member	Nebraska State Bar Association
2. Advisory Committee	Creighton University School of Law
3. Board of Directors	Federal Judges Association
4.	
5.	

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FINANCIAL DISCLOSURE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☒ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

[X] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			
5.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

[ ] NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2005	Omaha Visiting Nurse Association, salary
2.	2005	University of Nebraska Medical Center, salary
3.		
4.		
5.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

[ ] NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.	Federal Judges Association	Travel and lodging expenses for the Board of Directors meeting May 2005
2.		
3.		
4.		
5.		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

[ ] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Nelnet Loan	College Plus Loan	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bataillon, Joseph F	05/13/2006

VII. INVESTMENTS and TRUSTS – *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USBank Accounts	A	Interest	J	T					
2. Commercial Federal Bank Accounts	A	Interest	J	T					
3. Security National Bank Accounts	A	Interest	J	T	Opened				
4. Citibank NA	A	Interest	J	T					
5. Berkshire Hathaway Class B		None	K	T					
6.					buy	04-08	K		
7. Diageo PLC Sponsored ADR New	A	Dividend	J	T					
8. First Energy Corp. stock	A	Dividend	J	T					
9. Newmont Mining Corp. stock	A	Dividend	J	T					
10. Pipar Jaffray Corp					sold	05-11	J		
11. Merrill Lynch Trust V 7.28 Cum pref stock	A	Interest	J	T					
12. Smith Barney Appreciation Fund	A	Dividend	J	T					
13. UTS EFT Blue Chip Stock 03-B					sold	08-09	J	A	
14. USAA Ins. Account	A	Distribution	J	T					
15. Intel Corp stock	A	Dividend	J	T					
16. Level 3 Communications Inc. stock		None	J	T					
17. Valmont Industries Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

VII. INVESTMENTS and TRUSTS – *income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Smith Barney Money Fund Government Portfolio Class A					sold	04-08	J	A	
19. Toyota Mortor Corp	A	Dividend	J	T					
20. Abbott Laboratories stock	A	Dividend	J	T					
21. Hospira Inc.					sold	04-08	J		
22. Agilent Technologies Inc. stock					sold	04-08	J		
23. Walt Disney Co. stock	A	Dividend	J	T					
24. UTS EFT 2003 - DQ Strategic Ser 10-A Pluss Portfolio					sold	11-30	J		
25. AIM Value Fund B					sold	04-08	J	A	
26. Smith Barney Growth & Income Fund Class A	A	Dividend	J	T					
27. Amgen		None	J	T					
28. Cisco Systems Inc		None	J	T					
29. Bank of America Corp, stock	A	Dividend	J	T	buy	08-09	J		
30. Capital World Growth & Income Fund Class C	A	Dividend	K	T	buy	04-08	K		
31. Delaware Emerging Markets Fund C	A	Dividend	J	T	buy	04-08	J		
32. Fidelity Advisor New Insights Fund CL C	A	Dividend	J	T	buy	11-30	J		
33. Smith Barney MDA3 Managed IRA	A	Dividend	M	T	buy	04-11	M		

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)

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P4 =More than $50,000,000
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S =Assessment
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Name of Person Reporting	Date of Report
Bataillon, Joseph F	05/13/2006

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Items as lines 9, 20, 21, and 23 were sold for no gain.

Name of Person Reporting	Date of Report
Bataillon, Joseph F	05/13/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** 5/13/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544