

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pollak, Cheryl L.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 07/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse, E.D.N.Y. 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Morgan Lewis & Bockius - partnership

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(k) Retirement Accounts with Morgan, Lewis	A	Interest	P1	T					
2. AB Discovery Growth Fund	A	Dividend	K	T	Buy	06/18/12	J		
3. AB Discovery ValueFund	A	Dividend	K	T	Buy	06/18/12	J		
4. AB Discovery Value Fund	A	Dividend	K	T	Buy (add'l)	12/26/12	J		
5. Abbott Laboratories	A	Dividend			Buy	06/29/12	J		
6. Abbott Laboratories	A	Dividend			Sold	11/09/12	J	A	
7. Accenture	A	Dividend			Sold (part)	01/19/12	J	A	
8. Accenture	A	Dividend			Sold (part)	02/09/12	J	A	
9. Accenture	A	Dividend			Sold (part)	02/21/12	J	A	
10. Accenture	A	Dividend			Sold	02/24/12	J	A	
11. Affiliated Managers Group	A	Dividend	J	T	Buy	12/10/12	J		
12. Allergan Inc.	A	Dividend			Sold (part)	01/17/12	J	A	
13. Allergan Inc.	A	Dividend			Sold (part)	02/01/12	J	A	
14. Allergan Inc.	A	Dividend			Sold	08/03/12	J	A	
15. Amazon.com Inc.	A	Dividend	J	T	Sold (part)	06/08/12	J	A	
16. Amazon.com Inc.	A	Dividend	J	T	Buy (add'l)	11/14/12	J		
17. Ameren Corp.	A	Dividend			Buy	08/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 49

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Ameren Corp.	A	Dividend			Sold	12/21/12	J	A	
19. American Express Co.	A	Dividend			Buy	06/12/12	J		
20. American Express Co.	A	Dividend			Sold (part)	07/30/12	J	A	
21. American Express Co.	A	Dividend			Sold	11/01/12	J	A	
22. Amgen Inc.	A	Dividend	J	T	Buy	05/17/12	J		
23. Amgen Inc.	A	Dividend	J	T	Sold (part)	08/16/12	J	A	
24. Anadarko Petroleum	A	Dividend			Sold	05/29/12	J	A	
25. Ansys Inc.	A	Dividend	J	T	Buy	07/09/12	J		
26. Apollo Group Inc.	A	Dividend			Buy (add'l)	04/02/12	J		
27. Apollo Group Inc.	A	Dividend			Sold	10/17/12	J	A	
28. Apple Inc.	A	Dividend	K	T	Sold (part)	01/19/12	J	E	
29. Apple Inc.	A	Dividend	K	T	Sold (part)	02/06/12	J	E	
30. Apple Inc.	A	Dividend	K	T	Sold (part)	02/28/12	J	E	
31. Apple Inc.	A	Dividend	K	T	Sold (part)	03/06/12	J	E	
32. Apple Inc.	A	Dividend	K	T	Sold (part)	06/18/12	J	E	
33. Apple Inc.	A	Dividend	K	T	Sold (part)	07/20/12	J	E	
34. Apple Inc.	A	Dividend	K	T	Buy (add'l)	08/23/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 49

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35. Apple Inc.	A	Dividend	K	T	Sold (part)	10/26/12	J	A	
36. Applied Materials Inc.	A	Dividend	J	T	Buy (add'l)	02/01/12	J		
37. Applied Materials Inc.	A	Dividend	J	T	Buy (add'l)	03/08/12	J		
38. Applied Materials Inc.	A	Dividend	J	T	Buy (add'l)	07/17/12	J		
39. Applied Materials Inc.	A	Dividend	J	T	Sold (part)	09/14/12	J	A	
40. Astrazeneca	A	Dividend	K	T	Buy (add'l)	02/10/12	J		
41. Astrazeneca	A	Dividend	K	T	Buy (add'l)	05/17/12	J		
42. Astrazeneca	A	Dividend	K	T	Buy (add'l)	08/24/12	J		
43. Astrazeneca	A	Dividend	K	T	Buy (add'l)	08/30/12	J		
44. Astrazeneca	A	Dividend	K	T	Sold (part)	11/19/12	J	A	
45. AT&T Inc.	A	Dividend	J	T	Sold (part)	03/23/12	J	A	
46. AT&T Inc.	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
47. AT&T Inc.	A	Dividend	J	T	Buy (add'l)	07/19/12	J		
48. Autozone Inc.	A	Dividend			Buy	08/07/12	J		
49. Autozone Inc.	A	Dividend			Buy (add'l)	08/29/12	J		
50. Autozone Inc.	A	Dividend			Sold	11/08/12	J	A	
51. Bank of America	A	Dividend	J	T	Buy	12/12/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 49

Name of Person Reporting

Pollak, Cheryl L.

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52. Bank of America - CD (formerly Citibank NA - CD)	A	Interest	M	T					
53. BB&T Corp.	A	Dividend	J	T	Buy	09/13/12	J		
54. BB&T Corp.	A	Dividend	J	T	Buy (add'l)	09/14/12	J		
55. BB&T Corp.	A	Dividend	J	T	Buy (add'l)	09/19/12	J		
56. Becton Dickinson & Co.	A	Dividend	J	T	Buy	07/30/12	J		
57. Becton Dickinson & Co.	A	Dividend	J	T	Buy (add'l)	08/27/12	J		
58. Becton Dickinson & Co.	A	Dividend	J	T	Buy (add'l)	08/30/12	J		
59. Becton Dickinson & Co.	A	Dividend	J	T	Buy (add'l)	10/12/12	J		
60. Becton Dickinson & Co.	A	Dividend	J	T	Buy (add'l)	12/21/12	J		
61. Becton Dickinson & Co.	A	Dividend	J	T	Buy (add'l)	12/28/12	J		
62. Berkshire Hathaway Inc.	A	Dividend	K	T	Buy (add'l)	07/17/12	J		
63. Berkshire Hathaway Inc.	A	Dividend	K	T	Buy (add'l)	08/21/12	J		
64. Bernstein Emerging Markets Portfolio	A	Dividend	K	T	Buy (add'l)	12/12/12	J		
65. Bernstein International Portfolio	B	Dividend	L	T	Sold (part)	01/12/12	J	A	
66. Bernstein International Portfolio	B	Dividend	L	T	Sold (part)	04/12/12	J	A	
67. Bernstein International Portfolio	B	Dividend	L	T	Sold (part)	07/26/12	J	A	
68. Bernstein International Portfolio	B	Dividend	L	T	Sold (part)	11/06/12	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 49

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Pollak, Cheryl L.

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69. Bernstein International Portfolio	B	Dividend	L	T	Buy (add'l)	12/12/12	J		
70. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	02/22/12	J		
71. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	02/29/12	J		
72. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	03/23/12	J		
73. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	04/03/12	J		
74. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	05/18/12	J		
75. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	06/20/12	J		
76. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	07/20/12	J		
77. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Sold (part)	07/26/12	J	A	
78. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	08/20/12	J		
79. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	09/20/12	J		
80. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	10/19/12	J		
81. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Sold (part)	11/06/12	J	A	
82. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	11/20/12	J		
83. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	12/12/12	J		
84. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	12/20/12	J		
85. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	01/09/12	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 49

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86. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	01/17/12	J		
87. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	01/26/12	J		
88. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	02/02/12	J		
89. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	02/03/12	J		
90. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	04/27/12	J		
91. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	05/09/12	J		
92. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	05/17/12	J		
93. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	05/21/12	J		
94. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	06/28/12	J		
95. Bernstein Tax Managed International Portfolio	D	Dividend	N	T	Buy (add'l)	12/12/12	J		
96. Biogen Idec inc.	A	Dividend	J	T	Buy	08/27/12	J		
97. Blackrock Global Allocations Fd Inc.	A	Dividend	L	T					
98. BMC Software Inc.	A	Dividend			Buy	08/14/12	J		
99. BMC Software Inc.	A	Dividend			Sold	12/26/12	J	A	
100. Boeing Co.	A	Dividend			Buy (add'l)	01/04/12	J		
101. Boeing Co.	A	Dividend			Buy (add'l)	01/27/12	J		
102. Boeing Co.	A	Dividend			Sold	05/07/12	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 49

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Borgwarner Inc.	A	Dividend			Sold (part)	01/06/12	J	A	
104. Borgwarner Inc.	A	Dividend			Sold (part)	01/09/12	J	A	
105. Borgwarner Inc.	A	Dividend			Sold (part)	04/20/12	J	A	
106. Borgwarner Inc.	A	Dividend			Sold	07/18/12	J	A	
107. BP	A	Dividend	J	T	Buy (add'l)	03/29/12	J		
108. BP	A	Dividend	J	T	Sold (part)	05/22/12	J	A	
109. BP	A	Dividend	J	T	Sold (part)	07/12/12	J	A	
110. BP	A	Dividend	J	T	Sold (part)	08/15/12	J	A	
111. Broadcom Corp.	A	Dividend			Sold (part)	01/31/12	J	A	
112. Broadcom Corp.	A	Dividend			Sold (part)	03/27/12	J	A	
113. Broadcom Corp.	A	Dividend			Sold (part)	04/26/12	J	A	
114. Broadcom Corp.	A	Dividend			Sold (part)	06/18/12	J	A	
115. Broadcom Corp.	A	Dividend			Sold	07/03/12	J	A	
116. CBRE Group Inc.	A	Dividend			Buy (add'l)	02/10/12	J		
117. CBRE Group Inc.	A	Dividend			Sold (part)	03/09/12	J	A	
118. CBRE Group Inc.	A	Dividend			Sold (part)	03/14/12	J	A	
119. CBRE Group Inc.	A	Dividend			Sold (part)	07/26/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. CBRE Group Inc.	A	Dividend			Sold	09/21/12	J	A	
121. CBS Corp.	A	Dividend			Buy	07/16/12	J		
122. CBS Corp.	A	Dividend			Sold	08/17/12	J	A	
123. Celgene Corp.	A	Dividend			Sold (part)	02/16/12	J	A	
124. Celgene Corp.	A	Dividend			Sold (part)	02/21/12	J	A	
125. Celgene Corp.	A	Dividend			Sold (part)	03/19/12	J	A	
126. Celgene Corp.	A	Dividend			Sold	04/02/12	J	A	
127. Centerpoint Energy Inc.	A	Dividend			Buy (add'l)	02/17/12	J		
128. Centerpoint Energy Inc.	A	Dividend			Buy (add'l)	02/22/12	J		
129. Centerpoint Energy Inc.	A	Dividend			Sold (part)	08/14/12	J	A	
130. Centerpoint Energy Inc.	A	Dividend			Sold	08/21/12	J	A	
131. Centurylink Inc.	A	Dividend			Sold (part)	03/05/12	J	A	
132. Centurylink Inc.	A	Dividend			Sold (part)	06/06/12	J	A	
133. Centurylink Inc.	A	Dividend			Sold (part)	08/03/12	J	A	
134. Centurylink Inc.	A	Dividend			Sold	08/23/12	J	A	
135. Chevron Corp.	A	Dividend	J	T	Buy	06/08/12	J		
136. Chevron Corp.	A	Dividend	J	T	Buy (add'l)	08/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Chipotle Mexican Grill	A	Dividend	J	T	Buy	06/15/12	J		
138. Chipotle Mexican Grill	A	Dividend	J	T	Buy (add'l)	07/20/12	J		
139. Chipotle Mexican Grill	A	Dividend	J	T	Sold (part)	09/10/12	J	A	
140. Chipotle Mexican Grill	A	Dividend	J	T	Sold (part)	12/21/12	J	A	
141. Cintas Corp.	A	Dividend	K	T					
142. Cisco Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/08/12	J		
143. Cisco Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/10/12	J		
144. Cisco Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/13/12	J		
145. Cisco Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/14/12	J		
146. Cisco Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/17/12	J		
147. Cisco Systems Inc.	A	Dividend	J	T	Buy (add'l)	02/27/12	J		
148. Cisco Systems Inc.	A	Dividend	J	T	Sold (part)	12/12/12	J	A	
149. Cit Group Inc.	A	Dividend	J	T	Buy (add'l)	01/06/12	J		
150. Cit Group Inc.	A	Dividend	J	T	Buy (add'l)	01/27/12	J		
151. Cit Group Inc.	A	Dividend	J	T	Buy (add'l)	02/03/12	J		
152. Cit Group Inc.	A	Dividend	J	T	Buy (add'l)	03/23/12	J		
153. Cit Group Inc.	A	Dividend	J	T	Buy (add'l)	04/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Cit Group Inc.	A	Dividend	J	T	Buy (add'l)	04/26/12	J		
155. Cit Group Inc.	A	Dividend	J	T	Buy (add'l)	04/27/12	J		
156. Cit Group Inc.	A	Dividend	J	T	Sold (part)	07/30/12	J	A	
157. Cit Group Inc.	A	Dividend	J	T	Sold (part)	09/07/12	J	A	
158. Citibank-Checking	B	Interest	K	T					
159. Citibank Insured Money Mkt Acct.	A	Interest	J	T					
160. Citibank Insured Money Mkt. Acct.	A	Interest	K	T					
161. Citibank Insured Money Mkt Acct.	A	Interest	J	T					
162. Citibank-Insured Money Market	C	Interest	K	T					
163. Citibank-Insured Money Market	C	Interest	K	T					
164. Citigroup Inc.	A	Dividend	K	T	Sold (part)	03/20/12	J	A	
165. Citigroup Inc.	A	Dividend	K	T	Sold (part)	03/22/12	J	A	
166. Citigroup Inc.	A	Dividend	K	T	Sold (part)	03/26/12	J	A	
167. Citigroup Inc.	A	Dividend	K	T	Sold (part)	04/11/12	J	A	
168. Citigroup Inc.	A	Dividend	K	T	Sold (part)	09/27/12	J	A	
169. Citrix Systems Inc.	A	Dividend	J	T	Sold (part)	02/22/12	J	A	
170. Citrix Systems Inc.	A	Dividend	J	T	Buy (add'l)	08/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Citrix Systems Inc.	A	Dividend	J	T	Buy (add'l)	10/26/12	J		
172. Citrix Sytems Inc.	A	Dividend	J	T	Buy (add'l)	11/28/12	J		
173. Clorox Company	A	Dividend			Buy	08/15/12	J		
174. Clorox Company	A	Dividend			Buy (add'l)	08/27/12	J		
175. Clorox Company	A	Dividend			Buy (add'l)	09/28/12	J		
176. Clorox Company	A	Dividend			Buy (add'l)	10/31/12	J		
177. Clorox Company	A	Dividend			Sold	12/21/12	J	A	
178. CMS Energy Corp.	A	Dividend			Sold	02/16/12	J	A	
179. Coach Inc.	A	Dividend	J	T	Buy	04/12/12	J		
180. Coach Inc.	A	Dividend	J	T	Buy (add'l)	04/13/12	J		
181. Coach Inc.	A	Dividend	J	T	Buy (add'l)	04/23/12	J		
182. Coach Inc.	A	Dividend	J	T	Buy (add'l)	04/26/12	J		
183. Coach Inc.	A	Dividend	J	T	Buy (add'l)	04/27/12	J		
184. Coach Inc.	A	Dividend	J	T	Buy (add'l)	05/23/12	J		
185. Coach Inc.	A	Dividend	J	T	Buy (add'l)	07/31/12	J		
186. Coach Inc.	A	Dividend	J	T	Sold (part)	12/12/12	J	A	
187. Cognizant Tech Solutions	A	Dividend	J	T	Buy	04/12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Cognizant Tech Solutions	A	Dividend	J	T	Buy (add'l)	04/13/12	J		
189. Cognizant Tech Solutions	A	Dividend	J	T	Buy (add'l)	04/26/12	J		
190. Cognizant Tech Solutions	A	Dividend	J	T	Buy (add'l)	08/21/12	J		
191. Cognizant Tech Solutions	A	Dividend	J	T	Buy (add'l)	12/03/12	J		
192. Comcast Corp.	A	Dividend	J	T	Sold (part)	06/12/12	J	A	
193. Comcast Corp.	A	Dividend	J	T	Buy (add'l)	08/16/12	J		
194. Conocophillips Inc.	A	Dividend			Sold (part)	01/12/12	J	A	
195. Conocophillips Inc.	A	Dividend			Sold	01/13/12	J	A	
196. Consolidated Edison Inc.	A	Dividend			Buy	07/30/12	J		
197. Consolidated Edison Inc.	A	Dividend			Sold	09/25/12	J	A	
198. Constellation Brands Inc.	A	Dividend			Sold	04/27/12	J	A	
199. Corning Inc.	A	Dividend			Sold	05/24/12	J	A	
200. Covidien PLC	A	Dividend			Sold (part)	07/26/12	J	A	
201. Covidien PLC	A	Dividend			Sold	08/29/12	J	A	
202. Crane Co.	A	Dividend	K	T					
203. Danaher Corp.	A	Dividend	J	T	Sold (part)	02/08/12	J	A	
204. Danaher Corp.	A	Dividend	J	T	Buy (add'l)	03/12/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Danaher Corp.	A	Dividend	J	T	Buy (add'l)	04/26/12	J		
206. Danaher Corp.	A	Dividend	J	T	Sold (part)	08/17/12	J	A	
207. Danaher Corp.	A	Dividend	J	T	Sold (part)	08/24/12	J	A	
208. Danaher Corp.	A	Dividend	J	T	Sold (part)	09/18/12	J	A	
209. Davis NY Venture Fund - Mutual Fund	A	Dividend	M	T					
210. Dell Inc.	A	Dividend			Sold (part)	02/21/12	J	A	
211. Dell Inc.	A	Dividend			Sold	06/20/12	J	A	
212. Delta Air Lines Inc.	A	Dividend	J	T	Sold (part)	07/31/12	J	A	
213. Devon Energy Corp.	A	Dividend			Sold (part)	03/21/12	J	A	
214. Devon Energy Corp.	A	Dividend			Sold (part)	03/22/12	J	A	
215. Devon Energy Corp.	A	Dividend			Sold	06/27/12	J	A	
216. Diamond Offshore Drilling	A	Dividend	J	T	Buy	11/08/12	J		
217. Diamond Offshore Drilling	A	Dividend	J	T	Buy (add'l)	11/16/12	J		
218. Diamond Offshore Drilling	A	Dividend	J	T	Buy (add'l)	11/27/12	J		
219. DIRECTV (formerly DIRECTV - Class A)	A	Dividend	J	T	Sold (part)	03/07/12	J	A	
220. DIRECTV (formerly DIRECTV - Class A)	A	Dividend	J	T	Buy (add'l)	04/09/12	J		
221. DIRECTV (formerly DIRECTV - Class A)	A	Dividend	J	T	Buy (add'l)	04/10/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. DIRECTV (formerly DIRECTV - Class A)	A	Dividend	J	T	Sold (part)	06/12/12	J	A	
223. DIRECTV (formerly DIRECTV - Class A)	A	Dividend	J	T	Buy (add'l)	11/09/12	J		
224. Discover Financial Services	A	Dividend	J	T	Buy	11/16/12	J		
225. Discover Financial Services	A	Dividend	J	T	Buy (add'l)	11/27/12	J		
226. Dollar General Corp.	A	Dividend			Sold	07/24/12	J	A	
227. Dollar Tree Inc.	A	Dividend			Buy	07/25/12	J		
228. Dollar Tree Inc.	A	Dividend			Sold	08/29/12	J	A	
229. Dow Chemical	A	Dividend			Sold (part)	02/21/12	J	A	
230. Dow Chemical	A	Dividend			Sold (part)	03/21/12	J	A	
231. Dow Chemical	A	Dividend			Sold (part)	03/29/12	J	A	
232. Dow Chemical	A	Dividend			Sold	09/06/12	J	A	
233. Dreyfus Liquid Assets	A	Interest	J	T					
234. Dreyfus NY Tax Exempt Bond Fund	A	Interest			Sold	04/12/12	J	A	
235. DTE Energy Company	A	Dividend			Sold (part)	03/27/12	J	A	
236. DTE Energy Company	A	Dividend			Sold	07/02/12	J	A	
237. Duke Energy	A	Dividend	J	T					
238. Eaton Corp.	A	Dividend	J	T	Buy	08/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Ebay Inc.	A	Dividend	J	T	Buy	08/27/12	J		
240. Edison International	A	Dividend			Buy	02/17/12	J		
241. Edison International	A	Dividend			Buy (add'l)	03/06/12	J		
242. Edison International	A	Dividend			Sold (part)	07/02/12	J	A	
243. Edison International	A	Dividend			Buy (add'l)	08/31/12	J		
244. Edison International	A	Dividend			Sold	10/02/12	J	A	
245. EMC Corp/Mass	A	Dividend			Sold (part)	01/25/12	J	A	
246. EMC Corp/Mass	A	Dividend			Sold	06/18/12	J	A	
247. Emerson Electric Co.	A	Dividend			Buy	01/26/12	J		
248. Emerson Electric Co.	A	Dividend			Buy (add'l)	01/31/12	J		
249. Emerson Electric Co.	A	Dividend			Buy (add'l)	02/01/12	J		
250. Emerson Electric Co.	A	Dividend			Buy (add'l)	02/08/12	J		
251. Emerson Electric Co.	A	Dividend			Buy (add'l)	03/16/12	J		
252. Emerson Electric Co.	A	Dividend			Buy (add'l)	03/20/12	J		
253. Emerson Electric Co.	A	Dividend			Buy (add'l)	03/23/12	J		
254. Emerson Electric Co.	A	Dividend			Buy (add'l)	03/27/12	J		
255. Emerson Electric Co.	A	Dividend			Buy (add'l)	03/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Emerson Electric Co.	A	Dividend			Buy (add'l)	04/25/12	J		
257. Emerson Electric Co.	A	Dividend			Buy (add'l)	04/26/12	J		
258. Emerson Electric Co.	A	Dividend			Sold (part)	08/17/12	J	A	
259. Emerson Electric Co.	A	Dividend			Buy (add'l)	09/17/12	J		
260. Emerson Electric Co.	A	Dividend			Buy (add'l)	09/19/12	J		
261. Emerson Electric Co.	A	Dividend			Buy (add'l)	10/18/12	J		
262. Emerson Electric Co.	A	Dividend			Buy (add'l)	11/07/12	J		
263. Emerson Electric Co.	A	Dividend			Sold (part)	11/28/12	J	A	
264. Emerson Electric Co.	A	Dividend			Sold	12/10/12	J	A	
265. Empire State Municipal Exempt Fund	A	Interest	J	T					
266. Entergy Corp.	A	Dividend			Buy	08/07/12	J		
267. Entergy Corp.	A	Dividend			Buy (add'l)	08/30/12	J		
268. Entergy Corp.	A	Dividend			Buy (add'l)	10/05/12	J		
269. Entergy Corp.	A	Dividend			Sold	11/26/12	J	A	
270. EOG RES Inc.	A	Dividend	J	T	Sold (part)	07/19/12	J	A	
271. EOG RES Inc.	A	Dividend	J	T	Sold (part)	08/20/12	J	A	
272. EOG RES Inc.	A	Dividend	J	T	Sold (part)	08/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. EOG RES Inc.	A	Dividend	J	T	Sold (part)	09/13/12	J	A	
274. EOG RES Inc.	A	Dividend	J	T	Sold (part)	12/10/12	J	A	
275. Estee Lauder Companies	A	Dividend			Sold (part)	10/15/12	J	A	
276. Estee Lauder Companies	A	Dividend			Sold	11/28/12	J	A	
277. Exelon	A	Dividend	J	T					
278. Express Scripts Holdings Co. (formerly Express Scripts Inc.)	A	Dividend	J	T	Buy (add'l)	01/11/12	J		
279. Express Scripts Holdings Co. (formerly Express Scripts Inc.)	A	Dividend	J	T	Buy (add'l)	02/22/12	J		
280. Express Scripts Holdings Co. (formerly Express Scripts Inc.)	A	Dividend	J	T	Sold (part)	04/25/12	J	A	
281. Express Scripts Holdings Co. (formerly Express Scripts Inc.)	A	Dividend	J	T	Sold (part)	05/11/12	J	A	
282. Exxon Mobil Corp.	A	Dividend	J	T	Buy	06/08/12	J		
283. Exxon Mobil Corp.	A	Dividend	J	T	Buy (add'l)	08/17/12	J		
284. F5 Networks Inc.	A	Dividend	J	T	Buy	04/13/12	J		
285. F5 Networks Inc.	A	Dividend	J	T	Buy (add'l)	04/20/12	J		
286. F5 Networks Inc.	A	Dividend	J	T	Buy (add'l)	05/24/12	J		
287. Family Dollar Stores	A	Dividend			Buy	05/23/12	J		
288. Family Dollar Stores	A	Dividend			Buy (add'l)	07/12/12	J		
289. Family Dollar Stores	A	Dividend			Sold	09/20/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Fidelity National Financial	A	Dividend	J	T	Buy	10/01/12	J		
291. Fidelity National Information	A	Dividend	J	T	Buy	09/21/12	J		
292. Fidelity National Information	A	Dividend	J	T	Buy (add'l)	09/25/12	J		
293. Fidelity National Information	A	Dividend	J	T	Buy (add'l)	11/14/12	J		
294. Fiserv Inc.	A	Dividend	J	T	Buy	12/27/12	J		
295. Flowserve Corp.	A	Dividend			Sold (part)	04/24/12	J	A	
296. Flowserve Corp.	A	Dividend			Sold	06/19/12	J	A	
297. FMC Technologies Inc.	A	Dividend			Sold (part)	03/23/12	J	A	
298. FMC Technologies Inc.	A	Dividend			Sold (part)	04/11/12	J	A	
299. FMC Technologies Inc.	A	Dividend			Sold	07/25/12	J	A	
300. Ford Motor Co.	A	Dividend	J	T	Sold (part)	07/19/12	J	A	
301. Ford Motor Co.	A	Dividend	J	T	Buy (add'l)	10/31/12	J		
302. Fortune Brands	A	Dividend			Buy	01/18/12	J		
303. Fortune Brands	A	Dividend			Sold	07/06/12	J	A	
304. Freeport-McMoran Copper	A	Dividend			Buy	01/20/12	J		
305. Freeport-McMoran Copper	A	Dividend			Buy (add'l)	01/24/12	J		
306. Freeport-McMoran Copper	A	Dividend			Buy (add'l)	02/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Freeport-McMoran Copper	A	Dividend			Sold	06/01/12	J	A	
308. Gamestop Corp.	A	Dividend			Sold	04/20/12	J	A	
309. Gannett Co.	A	Dividend			Sold (part)	07/11/12	J	A	
310. Gannett Co.	A	Dividend			Sold (part)	07/16/12	J	A	
311. Gannett Co.	A	Dividend			Sold	08/21/12	J	A	
312. General Electric Co.	A	Dividend	J	T	Buy (add'l)	01/13/12	J		
313. General Electric Co.	A	Dividend	J	T	Sold (part)	06/18/12	J	A	
314. General Electric Co.	A	Dividend	J	T	Buy (add'l)	08/16/12	J		
315. General Electric Co.	A	Dividend	J	T	Buy (add'l)	08/23/12	J		
316. General Mills	A	Dividend			Buy (add'l)	01/10/12	J		
317. General Mills	A	Dividend			Sold (part)	01/26/12	J	A	
318. General Mills	A	Dividend			Sold (part)	02/14/12	J	A	
319. General Mills	A	Dividend			Sold (part)	04/12/12	J	A	
320. General Mills	A	Dividend			Sold (part)	08/09/12	J	A	
321. General Mills	A	Dividend			Sold	08/28/12	J	A	
322. General Motors Corp.	A	Dividend			Sold (part)	02/08/12	J	A	
323. General Motors Corp.	A	Dividend			Sold (part)	02/28/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. General Motors Corp.	A	Dividend			Sold (part)	10/01/12	J	A	
325. General Motors Corp.	A	Dividend			Sold (part)	11/19/12	J	A	
326. General Motors Corp.	A	Dividend			Sold	11/26/12	J	A	
327. Gilead Sciences Inc.	A	Dividend			Buy (add'l)	03/02/12	J		
328. Gilead Sciences Inc.	A	Dividend			Sold (part)	05/17/12	J	A	
329. Gilead Sciences Inc.	A	Dividend			Sold (part)	08/14/12	J	A	
330. Gilead Sciences Inc.	A	Dividend			Sold (part)	08/16/12	J	A	
331. Gilead Sciences Inc.	A	Dividend			Sold (part)	09/27/12	J	A	
332. Gilead Sciences Inc.	A	Dividend			Sold	10/04/12	J	A	
333. GP Strategies Corp.		None	J	T					
334. Goldman Sachs Group Inc.	A	Dividend			Buy (add'l)	01/27/12	J		
335. Goldman Sachs Group Inc.	A	Dividend			Buy (add'l)	02/16/12	J		
336. Goldman Sachs Group Inc.	A	Dividend			Buy (add'l)	02/17/12	J		
337. Goldman Sachs Group Inc.	A	Dividend			Sold (part)	03/07/12	J	A	
338. Goldman Sachs Group Inc.	A	Dividend			Sold (part)	03/19/12	J	A	
339. Goldman Sachs Group Inc.	A	Dividend			Sold (part)	03/20/12	J	A	
340. Goldman Sachs Group Inc.	A	Dividend			Sold (part)	03/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Goldman Sachs Group Inc.	A	Dividend			Sold (part)	04/09/12	J	A	
342. Goldman Sachs Group Inc.	A	Dividend			Sold	06/21/12	J	A	
343. Goodrich Corp.	A	Dividend			Sold (part)	02/03/12	J	A	
344. Goodrich Corp.	A	Dividend			Sold (part)	04/27/12	J	A	
345. Goodrich Corp.	A	Dividend			Sold	07/27/12	J	A	
346. Google Inc.	A	Dividend	K	T	Sold (part)	01/06/12	J	A	
347. Google Inc.	A	Dividend	K	T	Sold (part)	01/10/12	J	A	
348. Google Inc.	A	Dividend	K	T	Sold (part)	01/11/12	J	A	
349. Google Inc.	A	Dividend	K	T	Sold (part)	06/18/12	J	A	
350. Google Inc.	A	Dividend	K	T	Buy (add'l)	08/17/12	J		
351. Google Inc.	A	Dividend	K	T	Buy (add'l)	12/26/12	J		
352. Halliburton Co.	A	Dividend			Buy	06/21/12	J		
353. Halliburton Co.	A	Dividend			Sold (part)	08/14/12	J	A	
354. Halliburton Co.	A	Dividend			Sold	09/24/12	J	A	
355. Harley Davidson Inc.	A	Dividend	J	T	Buy (add'l)	07/13/12	J		
356. Harris Corp.	A	Dividend	J	T	Buy	10/08/12	J		
357. Health Net Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Hershey Co.	A	Dividend			Buy (add'l)	02/08/12	J		
359. Hershey Co.	A	Dividend			Buy (add'l)	02/14/12	J		
360. Hershey Co.	A	Dividend			Buy (add'l)	02/23/12	J		
361. Hershey Co.	A	Dividend			Sold (part)	08/16/12	J	A	
362. Hershey Co.	A	Dividend			Sold (part)	08/31/12	J	A	
363. Hershey Co.	A	Dividend			Sold	12/28/12	J	A	
364. Hewlett Packard Co.	A	Dividend	K	T	Buy (add'l)	03/08/12	J		
365. Hewlett Packard Co.	A	Dividend	K	T	Buy (add'l)	03/28/12	J		
366. Hewlett Packard Co.	A	Dividend	K	T	Sold (part)	08/01/12	J	A	
367. Idexx Labs Corp.	A	Dividend	K	T	Buy	07/20/12	J		
368. Idexx Labs Corp.	A	Dividend	K	T	Buy (add'l)	07/24/12	J		
369. Idexx Labs Corp.	A	Dividend	K	T	Buy (add'l)	08/06/12	J		
370. Idexx Labs Corp.	A	Dividend	K	T	Buy (add'l)	11/13/12	J		
371. Illumina Inc.	A	Dividend			Buy (add'l)	01/04/12	J		
372. Illumina Inc.	A	Dividend			Sold (part)	04/03/12	J	A	
373. Illumina Inc.	A	Dividend			Sold	05/17/12	J	A	
374. Informatica Corp.	A	Dividend			Buy	02/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Informatica Corp.	A	Dividend			Buy (add'l)	07/02/12	J		
376. Informatica Corp.	A	Dividend			Sold	08/23/12	J	A	
377. Ingersoll Rand Co. Ltd.	A	Dividend			Sold	02/02/12	J	A	
378. Intercontinentalexchange Inc.	A	Dividend	J	T	Buy	08/30/12	J		
379. Intercontinentalexchange Inc.	A	Dividend	J	T	Buy (add'l)	11/12/12	J		
380. Intercontinentalexchange Inc.	A	Dividend	J	T	Buy (add'l)	12/20/12	J		
381. Interferon Sciences, Inc.		None	J	T					
382. Int'l. Business Machines Corp.	A	Dividend	K	T	Buy	05/31/12	J		
383. Int'l. Business Machines Corp.	A	Dividend	K	T	Buy (add'l)	08/16/12	J		
384. Int'l. Business Machines Corp.	A	Dividend	K	T	Buy (add'l)	09/25/12	J		
385. Int'l. Business Machines Corp.	A	Dividend	K	T	Buy (add'l)	10/02/12	J		
386. Intuit Inc.	A	Dividend	J	T	Sold (part)	02/06/12	J	A	
387. Intuit Inc.	A	Dividend	J	T	Buy (add'l)	05/08/12	J		
388. Intuit Inc.	A	Dividend	J	T	Sold (part)	10/12/12	J	A	
389. Intuit Inc.	A	Dividend	J	T	Sold (part)	11/13/12	J	A	
390. Intuitive Surgical Inc.	A	Dividend	J	T	Buy	11/08/12	J		
391. Intuitive Surgical Inc.	A	Dividend	J	T	Buy (add'l)	12/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Investment Company of America - Mutual Fund (American Fund)	A	Dividend	L	T					
393. IRA-Citibank-CD	A	Interest	J	T					
394. IRA-Citibank-Money Market	A	Interest	J	T					
395. IRA-Soloman - Treasury Bond	A	Interest	J	T					
396. JM Smucker Co.	A	Dividend	J	T	Buy	12/21/12	J		
397. Johnson & Johnson	A	Dividend	K	T	Sold (part)	06/22/12	J		
398. Johnson & Johnson	A	Dividend	K	T	Sold (part)	08/15/12	J	A	
399. Johnson Controls Inc.	A	Dividend			Buy (add'l)	01/04/12	J		
400. Johnson Controls Inc.	A	Dividend			Sold (part)	01/20/12	J	A	
401. Johnson Controls Inc.	A	Dividend			Sold (part)	02/07/12	J	A	
402. Johnson Controls Inc.	A	Dividend			Sold	02/10/12	J	A	
403. JP Morgan Chase & Co.	A	Dividend	J	T	Sold (part)	03/06/12	J	A	
404. JP Morgan Chase & Co.	A	Dividend	J	T	Buy (add'l)	03/23/12	J		
405. JP Morgan Chase & Co.	A	Dividend	J	T	Buy (add'l)	03/26/12	J		
406. JP Morgan Chase & Co.	A	Dividend	J	T	Buy (add'l)	04/12/12	J		
407. JP Morgan Chase & Co.	A	Dividend	J	T	Buy (add'l)	04/24/12	J		
408. JP Morgan Chase & Co.	A	Dividend	J	T	Sold (part)	05/31/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. JP Morgan Chase & Co.	A	Dividend	J	T	Sold (part)	06/29/12	J	A	
410. JP Morgan Chase & Co.	A	Dividend	J	T	Buy (add'l)	10/23/12	J		
411. Kimberly-Clark Corp.	A	Dividend			Buy	05/29/12	J		
412. Kimberly-Clark Corp.	A	Dividend			Sold	08/30/12	J	A	
413. Kinder Morgan Inc.	A	Dividend	J	T	Buy	10/15/12	J		
414. Kinder Morgan Inc.	A	Dividend	J	T	Buy (add'l)	10/31/12	J		
415. Kinder Morgan Inc.	A	Dividend	J	T	Buy (add'l)	11/12/12	J		
416. Kraft Food Group Inc. (formerly Kraft Food Inc.)	A	Dividend	J	T	Buy	08/02/12	J		
417. Kraft Food Group Inc. (formerly Kraft Food Inc.)	A	Dividend	J	T	Buy (add'l)	08/21/12	J		
418. Kraft Food Group Inc. (formerly Kraft Food Inc.)	A	Dividend	J	T	Buy (add'l)	09/28/12	J		
419. Kraft Food Group Inc. (formerly Kraft Food Inc.)	A	Dividend	J	T	Buy (add'l)	10/08/12	J		
420. Kroger Co.	A	Dividend	K	T	Buy (add'l)	02/03/12	J		
421. Kroger Co.	A	Dividend	K	T	Buy (add'l)	02/08/12	J		
422. Kroger Co.	A	Dividend	K	T	Buy (add'l)	02/22/12	J		
423. Kroger Co.	A	Dividend	K	T	Buy (add'l)	07/25/12	J		
424. Lam Research Corp.	A	Dividend			Sold	07/25/12	J	A	
425. Las Vegas Sands Corp.	A	Dividend			Buy (add'l)	01/24/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 49

Name of Person Reporting

Pollak, Cheryl L.

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Las Vegas Sands Corp.	A	Dividend			Buy (add'l)	01/25/12	J		
427. Las Vegas Sands Corp.	A	Dividend			Buy (add'l)	02/01/12	J		
428. Las Vegas Sands Corp.	A	Dividend			Buy (add'l)	06/14/12	J		
429. Las Vegas Sands Corp.	A	Dividend			Sold (part)	08/24/12	J	A	
430. Las Vegas Sands Corp.	A	Dividend			Sold	12/06/12	J	A	
431. Lear Corp.	A	Dividend			Buy (add'l)	02/13/12	J		
432. Lear Corp.	A	Dividend			Sold	08/10/12	J	A	
433. Legg Mason Clearbridge Appreciation Fund	B	Dividend	L	T					
434. Legg Mason Inc.	A	Dividend	K	T					
435. Liberty Interactive Corp.	A	Dividend			Buy	03/07/12	J		
436. Liberty Interactive Corp.	A	Dividend			Buy (add'l)	03/08/12	J		
437. Liberty Interactive Corp.	A	Dividend			Sold	08/03/12	J	A	
438. Liberty Media Corp.	A	Dividend	J	T	Buy	10/25/12	J		
439. Limited Brands Inc.	A	Dividend			Sold (part)	01/05/12	J	A	
440. Limited Brands Inc.	A	Dividend			Sold (part)	01/09/12	J	A	
441. Limited Brands Inc.	A	Dividend			Sold	01/23/12	J	A	
442. LinkedIn Corp.	A	Dividend	J	T	Buy	11/07/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Lord Abbott Equity Fund	A	Dividend	J	T					
444. LMP Real Estate Income	A	Dividend	J	T					
445. LMP Stk Appr. Fund	A	Dividend	J	T					
446. Lowes Cos Inc.	A	Dividend			Sold (part)	01/31/12	J	A	
447. Lowes Cos Inc.	A	Dividend			Sold (part)	02/02/12	J	A	
448. Lowes Cos Inc.	A	Dividend			Sold (part)	02/03/12	J	A	
449. Lowes Cos Inc.	A	Dividend			Sold	02/13/12	J	A	
450. Lyondellbasell	A	Dividend	J	T	Sold (part)	01/09/12	J	A	
451. Lyondellbasell	A	Dividend	J	T	Sold (part)	01/11/12	J	A	
452. Lyondellbasell	A	Dividend	J	T	Sold (part)	02/02/12	J	A	
453. Lyondellbasell	A	Dividend	J	T	Buy (add'l)	05/01/12	J		
454. Lyondellbasell	A	Dividend	J	T	Sold (part)	08/02/12	J	A	
455. Lyondellbasell	A	Dividend	J	T	Buy (add'l)	10/05/12	J		
456. Lyondellbasell	A	Dividend	J	T	Buy (add'l)	10/08/12	J		
457. Macy's Inc.	A	Dividend	J	T	Buy	07/16/12	J		
458. Marathon Oil Corp.	A	Dividend			Sold (part)	01/12/12	J	A	
459. Marathon Oil Corp.	A	Dividend			Sold	05/29/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Marathon Petroleum Corp,	A	Dividend			Sold (part)	01/25/12	J	A	
461. Marathon Petroleum Corp,	A	Dividend			Sold (part)	02/06/12	J	A	
462. Marathon Petroleum Corp,	A	Dividend			Sold (part)	02/07/12	J	A	
463. Marathon Petroleum Corp,	A	Dividend			Sold (part)	02/10/12	J	A	
464. Marathon Petroleum Corp,	A	Dividend			Sold (part)	07/05/12	J	A	
465. Marathon Petroleum Corp,	A	Dividend			Sold (part)	08/21/12	J	A	
466. Marathon Petroleum Corp,	A	Dividend			Sold	10/01/12	J	A	
467. Marsh & McLennan Cos. Inc.	A	Dividend	J	T	Buy	12/28/12	J		
468. Marvell Technology Group	A	Dividend			Sold (part)	04/26/12	J	A	
469. Marvell Technology Group	A	Dividend			Sold	08/03/12	J	A	
470. McDonald's Corp.	A	Dividend	J	T	Buy	06/15/12	J		
471. McDonald's Corp.	A	Dividend	J	T	Sold (part)	11/13/12	J	A	
472. McGraw Hill Cos. Inc.	A	Dividend			Sold	08/15/12	J	A	
473. McKesson Corp.	A	Dividend	J	T	Buy	03/07/12	J		
474. McKesson Corp.	A	Dividend	J	T	Buy (add'l)	04/13/12	J		
475. McKesson Corp.	A	Dividend	J	T	Buy (add'l)	05/03/12	J		
476. McKesson Corp.	A	Dividend	J	T	Buy (add'l)	05/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. McKesson Corp.	A	Dividend	J	T	Buy (add'l)	06/01/12	J		
478. McKesson Corp.	A	Dividend	J	T	Sold (part)	08/28/12	J	A	
479. Medtronic Inc.	A	Dividend	J	T	Buy	09/19/12	J		
480. Medtronic Inc.	A	Dividend	J	T	Buy (add'l)	09/25/12	J		
481. Medtronic Inc.	A	Dividend	J	T	Buy (add'l)	09/27/12	J		
482. Medtronic Inc.	A	Dividend	J	T	Buy (add'l)	11/13/12	J		
483. Merck & Co. Inc.	A	Dividend	J	T	Buy	08/06/12	J		
484. Merck & Co. Inc.	A	Dividend	J	T	Buy (add'l)	09/21/12	J		
485. Merck & Co. Inc.	A	Dividend	J	T	Buy (add'l)	10/04/12	J		
486. Merrill Lynch 5 MITSR 168 mps	A	Interest	J	T					
487. Metlife Inc.	A	Dividend			Sold (part)	01/03/12	J	A	
488. Metlife Inc.	A	Dividend			Sold (part)	03/26/12	J	A	
489. Metlife Inc.	A	Dividend			Sold	06/21/12	J	A	
490. MGM Resorts International	A	Dividend	J	T	Buy (add'l)	02/28/12	J		
491. MGM Resorts International	A	Dividend	J	T	Buy (add'l)	03/01/12	J		
492. MGM Resorts International	A	Dividend	J	T	Buy (add'l)	06/14/12	J		
493. Micron Technology Inc.	A	Dividend	J	T	Sold (part)	06/20/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	S = Assessment T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. Micron Technology Inc.	A	Dividend	J	T	Sold (part)	08/03/12	J	A	
495. Micron Technology Inc.	A	Dividend	J	T	Sold (part)	08/15/12	J	A	
496. Microsoft Corp.	A	Dividend	J	T	Buy	06/28/12	J		
497. Microsoft Corp.	A	Dividend	J	T	Buy (add'l)	08/16/12	J		
498. Microsoft Corp.	A	Dividend	J	T	Buy (add'l)	09/16/12	J		
499. Microsoft Corp.	A	Dividend	J	T	Sold (part)	10/23/12	J	A	
500. Microsoft Corp.	A	Dividend	J	T	Buy (add'l)	11/26/12	J		
501. Microsoft Corp.	A	Dividend	J	T	Buy (add'l)	11/27/12	J		
502. Mondelez International (spinoff of Kraft Foods Group Inc.)	A	Dividend			Sold	10/08/12	J	A	
503. Monsanto Co.	A	Dividend			Sold (part)	01/06/12	J	A	
504. Monsanto Co.	A	Dividend			Sold (part)	02/09/12	J	A	
505. Monsanto Co.	A	Dividend			Sold (part)	02/23/12	J	A	
506. Monsanto Co.	A	Dividend			Sold	05/30/12	J	A	
507. Moody's Corp.	A	Dividend			Sold (part)	03/29/12	J	A	
508. Moody's Corp.	A	Dividend			Sold (part)	04/09/12	J	A	
509. Moody's Corp.	A	Dividend			Sold (part)	04/24/12	J	A	
510. Moody's Corp.	A	Dividend			Sold	09/12/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Morgan Lewis & Bockius LLP, Firm Capital	E	Interest	O	T					
512. Morgan Stanley	A	Dividend			Sold	05/03/12	J	A	
513. Morgan Stanley NY Municipal	A	Dividend	K	T					
514. Municipal Bonds-NY State	B	Interest	L	T					
515. National-Oilwell Inc.	A	Dividend	J	T	Buy	02/14/12	J		
516. National-Oilwell Inc.	A	Dividend	J	T	Buy (add'l)	02/16/12	J		
517. National-Oilwell Inc.	A	Dividend	J	T	Buy (add'l)	03/20/12	J		
518. National-Oilwell Inc.	A	Dividend	J	T	Buy (add'l)	03/23/12	J		
519. National-Oilwell Inc.	A	Dividend	J	T	Buy (add'l)	03/27/12	J		
520. National-Oilwell Inc.	A	Dividend	J	T	Buy (add'l)	03/28/12	J		
521. National-Oilwell Inc.	A	Dividend	J	T	Buy (add'l)	04/13/12	J		
522. National-Oilwell Inc.	A	Dividend	J	T	Buy (add'l)	06/21/12	J		
523. Newell Rubbermaid Inc.	A	Dividend			Sold	08/29/12	J	A	
524. Nexen Inc.	A	Dividend			Buy	01/11/12	J		
525. Nexen Inc.	A	Dividend			Buy (add'l)	01/13/12	J		
526. Nexen Inc.	A	Dividend			Sold	04/18/12	J	A	
527. Noble Energy Inc.	A	Dividend	J	T	Sold (part)	07/31/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. Northrop Grumman Corp.	A	Dividend			Sold	04/26/12	J	A	
529. Nustar Energy LP	A	Dividend	J	T					
530. Nuveen Municipal Advantage	B	Interest	K	T					
531. Nuveen New York Quality	A	Interest	J	T					
532. NV Energy Inc.	A	Dividend	J	T	Buy (add'l)	08/23/12	J		
533. NV Energy Inc.	A	Dividend	J	T	Buy (add'l)	08/24/12	J		
534. NV Energy Inc.	A	Dividend	J	T	Sold (part)	12/13/12	J	A	
535. NVR Inc.	A	Dividend			Sold	09/14/12	J	A	
536. N.Y. State Urban Development Bonds	A	Interest	J	T					
537. New York Municipal Portfolio	A	Dividend	J	T					
538. Oppenheimer Global Opportunity Fund	B	Dividend	M	T					
539. Oracle Corp.	A	Dividend			Sold (part)	02/07/12	J	A	
540. Oracle Corp.	A	Dividend			Sold (part)	02/08/12	J	A	
541. Oracle Corp.	A	Dividend			Sold	06/19/12	J	A	
542. O'Reilly Automotive Inc.	A	Dividend			Buy	06/27/12	J		
543. O'Reilly Automotive Inc.	A	Dividend			Buy (add'l)	07/02/12	J		
544. O'Reilly Automotive Inc.	A	Dividend			Sold	12/27/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Partnerre Ltd.	A	Dividend	J	T	Buy	11/09/12	J		
546. Partnerre Ltd.	A	Dividend	J	T	Buy (add'l)	11/19/12	J		
547. Partnerre Ltd.	A	Dividend	J	T	Buy (add'l)	11/27/12	J		
548. Pepsico Inc.	A	Dividend			Sold (part)	01/09/12	J	A	
549. Pepsico Inc.	A	Dividend			Sold (part)	01/12/12	J	A	
550. Pepsico Inc.	A	Dividend			Sold (part)	03/08/12	J	A	
551. Pepsico Inc.	A	Dividend			Buy (add'l)	08/16/12	J		
552. Pepsico Inc.	A	Dividend			Buy (add'l)	09/07/12	J		
553. Pepsico Inc.	A	Dividend			Sold	10/08/12	J	A	
554. Perrigo Inc.	A	Dividend			Buy (add'l)	02/15/12	J		
555. Perrigo Inc.	A	Dividend			Buy (add'l)	02/22/12	J		
556. Perrigo Inc.	A	Dividend			Buy (add'l)	02/23/12	J		
557. Perrigo Inc.	A	Dividend			Sold	06/14/12	J	A	
558. Pfizer Inc.	B	Dividend	K	T	Sold (part)	06/27/12	J	A	
559. Pfizer Inc.	B	Dividend	K	T	Sold (part)	08/16/12	J	A	
560. Potash Corp. of Saskatchewan	A	Dividend			Buy (add'l)	01/09/12	J		
561. Potash Corp. of Saskatchewan	A	Dividend			Buy (add'l)	01/10/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Potash Corp. of Saskatchewan	A	Dividend			Sold (part)	04/25/12	J	A	
563. Potash Corp. of Saskatchewan	A	Dividend			Sold	05/23/12	J	A	
564. Precision Castparts Corp.	A	Dividend	K	T	Buy (add'l)	01/27/12	J		
565. Precision Castparts Corp.	A	Dividend	K	T	Buy (add'l)	02/24/12	J		
566. Precision Castparts Corp.	A	Dividend	K	T	Buy (add'l)	08/23/12	J		
567. Priceline.com Inc.	A	Dividend			Buy	06/19/12	J		
568. Priceline.com Inc.	A	Dividend			Sold	10/31/12	J	A	
569. Proctor & Gamble	A	Dividend	K	T	Sold (part)	03/15/12	J	A	
570. Proctor & Gamble	A	Dividend	K	T	Sold (part)	03/26/12	J	A	
571. Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	05/21/12	J		
572. Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	08/27/12	J		
573. Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	08/31/12	J		
574. Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	09/25/12	J		
575. Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	09/26/12	J		
576. Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	11/08/12	J		
577. Proctor & Gamble	A	Dividend	K	T	Buy (add'l)	11/27/12	J		
578. Pulte Group Inc.	A	Dividend	J	T	Buy	09/05/12	J		

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. Pulte Group Inc.	A	Dividend	J	T	Buy (add'l)	09/12/12	J		
580. Putnam New Opportunities	A	Dividend	K	T					
581. PVH Corp.	A	Dividend			Buy (add'l)	01/04/12	J		
582. PVH Corp.	A	Dividend			Sold	07/13/12	J	A	
583. Qualcomm Inc.	A	Dividend			Sold (part)	05/21/12	J	A	
584. Qualcomm Inc.	A	Dividend			Sold (part)	07/03/12	J	A	
585. Qualcomm Inc.	A	Dividend			Sold	08/21/12	J	A	
586. Rochester Fund - Mutual Fund (Oppenheimer (Funds))	D	Dividend	M	T					
587. Rockwell Automation Inc.	A	Dividend			Sold (part)	01/11/12	J	A	
588. Rockwell Automation Inc.	A	Dividend			Sold (part)	08/06/12	J	A	
589. Rockwell Automation Inc.	A	Dividend			Sold	08/28/12	J	A	
590. Salesforce.com Inc.	A	Dividend			Buy (add'l)	02/22/12	J		
591. Salesforce.com Inc.	A	Dividend			Sold	05/18/12	J	A	
592. Schlumberger Ltd.	A	Dividend	J	T	Buy (add'l)	01/10/12	J		
593. Schlumberger Ltd	A	Dividend	J	T	Buy (add'l)	01/23/12	J		
594. Schlumberger Ltd	A	Dividend	J	T	Sold (part)	06/15/12	J	A	
595. Schlumberger Ltd.	A	Dividend	J	T	Buy (add'l)	08/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. Seagate Technology	A	Dividend			Sold (part)	06/26/12	J	A	
597. Seagate Technology	A	Dividend			Sold	07/03/12	J	A	
598. Seligman Communication - Mutual Fund	B	Dividend	J	T					
599. Sherwin-Williams Co.	A	Dividend	J	T	Buy	06/13/12	J		
600. Sherwin-Williams Co.	A	Dividend	J	T	Buy (add'l)	08/27/12	J		
601. Spectra Energy	A	Dividend	J	T					
602. Starbucks Corp.	A	Dividend	J	T	Sold (part)	01/31/12	J	A	
603. Starbucks Corp.	A	Dividend	J	T	Sold (part)	04/19/12	J	A	
604. Starbucks Corp.	A	Dividend	J	T	Buy (add'l)	07/13/12	J		
605. Starbucks Corp.	A	Dividend	J	T	Buy (add'l)	11/21/12	J		
606. Stryker Corp.	A	Dividend			Buy	01/05/12	J		
607. Stryker Corp.	A	Dividend			Buy (add'l)	01/11/12	J		
608. Stryker Corp.	A	Dividend			Buy (add'l)	01/12/12	J		
609. Stryker Corp.	A	Dividend			Buy (add'l)	01/30/12	J		
610. Stryker Corp.	A	Dividend			Sold (part)	08/10/12	J	A	
611. Stryker Corp.	A	Dividend			Sold	08/13/12	J	A	
612. Tax-Aware Overlay A Portfolio Class A	B	Dividend	N	T	Buy (add'l)	12/11/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. Tax-Aware Overlay N Portfolio Class 1	D	Dividend	P1	T	Sold (part)	01/12/12	J	A	
614. Tax-Aware Overlay N Portfolio Class 1	D	Dividend	P1	T	Sold (part)	04/12/12	J	A	
615. Tax-Aware Overlay N Portfolio Class 1	D	Dividend	P1	T	Sold (part)	07/26/12	J	A	
616. Tax-Aware Overlay N Portfolio Class 1	D	Dividend	P1	T	Sold (part)	11/06/12	J	A	
617. Tax-Aware Overlay N Portfolio Class 1	D	Dividend	P1	T	Buy (add'l)	12/11/12	J		
618. Thornburg Ltd.	A	Dividend	M	T	Buy	01/13/12	J		
619. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	01/31/12	J		
620. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	02/29/12	J		
621. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	03/30/12	J		
622. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	04/30/12	J		
623. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	05/31/12	J		
624. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	06/29/12	J		
625. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	07/31/12	J		
626. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	08/31/12	J		
627. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	09/28/12	J		
628. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	10/31/12	J		
629. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	11/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. Thornburg Ltd.	A	Dividend	M	T	Buy (add'l)	12/31/12	J		
631. Tibco Software Inc.	A	Dividend	J	T	Buy	03/15/12	J		
632. Tibco Software Inc.	A	Dividend	J	T	Buy (add'l)	03/23/12	J		
633. Tibco Software Inc.	A	Dividend	J	T	Buy (add'l)	04/10/12	J		
634. Tibco Software Inc.	A	Dividend	J	T	Buy (add'l)	04/13/12	J		
635. Tibco Software Inc.	A	Dividend	J	T	Buy (add'l)	05/24/12	J		
636. Tibco Software Inc.	A	Dividend	J	T	Buy (add'l)	08/22/12	J		
637. Time Warner Cable Inc.	A	Dividend	J	T	Sold (part)	08/20/12	J	A	
638. Time Warner Cable Inc.	A	Dividend	J	T	Sold (part)	12/12/12	J	A	
639. TJX Companies Inc.	A	Dividend	J	T	Buy	09/26/12	J		
640. TJX Companies Inc.	A	Dividend	J	T	Buy (add'l)	10/03/12	J		
641. Transocean Ltd.	A	Dividend			Sold (part)	05/22/12	J	A	
642. Transocean Ltd.	A	Dividend			Sold (part)	08/01/12	J	A	
643. Transocean Ltd.	A	Dividend			Sold (part)	09/13/12	J	A	
644. Transocean Ltd.	A	Dividend			Sold	11/08/12	J	A	
645. TRW Automotive Holdings Corp.	A	Dividend			Sold (part)	03/22/12	J	A	
646. TRW Automotive Holdings Corp.	A	Dividend			Sold	07/18/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. Tyson Foods Inc.	A	Dividend	J	T	Buy (add'l)	09/25/12	J		
648. Tyson Foods Inc.	A	Dividend	J	T	Buy (add'l)	09/28/12	J		
649. Tyson Foods Inc.	A	Dividend	J	T	Buy (add'l)	11/02/12	J		
650. Tyson Foods Inc.	A	Dividend	J	T	Sold (part)	11/16/12	J	A	
651. Tyson Foods Inc.	A	Dividend	J	T	Sold (part)	11/20/12	J		
652. U.S. Savings Bonds for Children	A	Interest	J	T					
653. U.S. Treasury Bills	A	Interest	L	T					
654. U.S. Treasury Notes	B	Interest	K	T					
655. U.S. Treasury Notes	B	Interest	K	T					
656. U.S. Treasury Securities	D	Interest	L	T					
657. U.S. Treasury Securities	D	Interest	L	T					
658. US Bancorp.	A	Dividend	J	T	Buy	06/12/12	J		
659. US Bancorp.	A	Dividend	J	T	Buy (add'l)	08/20/12	J		
660. US Bancorp.	A	Dividend	J	T	Sold (part)	10/15/12	J	A	
661. UBS Financial - Blackrock NY Municipal Trust	B	Interest	K	T					
662. UBS Financial - Blackrock Muni Term Trust	A	Interest	J	T					
663. UBS Financial - Powershares	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. Ulta Salon Cosmetics & Fragrances	A	Dividend	J	T	Buy	12/05/12	J		
665. Ulta Salon Cosmetics & Fragrances	A	Dividend	J	T	Buy (add'l)	12/13/12	J		
666. Union Pacific Corp.	A	Dividend	J	T	Buy	06/22/12	J		
667. Union Pacific Corp.	A	Dividend	J	T	Buy (add'l)	08/21/12	J		
668. United Parcel	A	Dividend			Sold (part)	02/01/12	J	A	
669. United Parcel	A	Dividend			Sold (part)	04/12/12	J	A	
670. United Parcel	A	Dividend			Sold	05/08/12	J	A	
671. United Technologies Corp.	A	Dividend	J	T	Buy	08/22/12	J		
672. United Technologies Corp.	A	Dividend	J	T	Buy (add'l)	08/27/12	J		
673. United Technologies Corp.	A	Dividend	J	T	Buy (add'l)	09/14/12	J		
674. United Technologies Corp.	A	Dividend	J	T	Sold (part)	10/23/12	J	A	
675. Unitedhealth Group Inc.	A	Dividend	J	T	Sold (part)	08/16/12	J	A	
676. UTS Equity	A	Dividend	K	T					
677. Vanguard	A	Int./Div.	N	T	Buy (add'l)	01/13/12	J		
678. Vanguard	A	Int./Div.	N	T	Buy (add'l)	01/31/12	J		
679. Vanguard	A	Int./Div.	N	T	Buy (add'l)	02/29/12	J		
680. Vanguard	A	Int./Div.	N	T	Buy (add'l)	03/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681. Vanguard	A	Int./Div.	N	T	Buy (add'l)	04/30/12	J		
682. Vanguard	A	Int./Div.	N	T	Buy (add'l)	05/31/12	J		
683. Vanguard	A	Int./Div.	N	T	Buy (add'l)	06/29/12	J		
684. Vanguard	A	Int./Div.	N	T	Buy (add'l)	07/31/12	J		
685. Vanguard	A	Int./Div.	N	T	Buy (add'l)	08/31/12	J		
686. Vanguard	A	Int./Div.	N	T	Buy (add'l)	09/28/12	J		
687. Vanguard	A	Int./Div.	N	T	Buy (add'l)	10/31/12	J		
688. Vanguard	A	Int./Div.	N	T	Sold (part)	10/31/12	J	A	
689. Vanguard	A	Int./Div.	N	T	Buy (add'l)	11/30/12	J		
690. Vanguard	A	Int./Div.	N	T	Buy (add'l)	12/31/12	J		
691. Verizon Communications Inc.	A	Dividend	J	T	Buy	07/18/12	J		
692. Verizon Communications Inc.	A	Dividend	J	T	Buy (add'l)	08/17/12	J		
693. Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Buy	01/06/12	J		
694. Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Sold (part)	05/10/12	J	A	
695. Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Sold (part)	07/27/12	J	A	
696. Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Buy (add'l)	11/05/12	J		
697. VF Corp.	A	Dividend			Sold	08/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market W = Estimated
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. Viacom Inc.	A	Dividend	J	T	Sold (part)	08/16/12	J	A	
699. Visa Inc.	A	Dividend	J	T	Buy (add'l)	03/07/12	J		
700. Visa Inc.	A	Dividend	J	T	Buy (add'l)	06/27/12	J		
701. Visa Inc.	A	Dividend	J	T	Sold (part)	08/17/12	J	A	
702. Visa Inc.	A	Dividend	J	T	Sold (part)	08/24/12	J	A	
703. Walt Disney Co.	A	Dividend	J	T	Buy (add'l)	01/10/12	J		
704. Walt Disney Co.	A	Dividend	J	T	Sold (part)	06/08/12	J		
705. Walt Disney Co.	A	Dividend	J	T	Sold (part)	10/15/12	J	A	
706. Walt Disney Co.	A	Dividend	J	T	Sold (part)	10/18/12	J	A	
707. Walt Disney Co.	A	Dividend	J	T	Sold (part)	10/23/12	J	A	
708. Walgreen Co.	A	Dividend			Buy	07/27/12	J		
709. Walgreen Co.	A	Dividend			Sold (part)	11/09/12	J	A	
710. Walgreen Co.	A	Dividend			Sold	12/21/12	J	A	
711. Wal-Mart Stores, Inc.	A	Dividend	J	T	Buy	05/21/12	J		
712. Wal-Mart Stores, Inc.	A	Dividend	J	T	Buy (add'l)	08/16/12	J		
713. Wal-Mart Stores, Inc.	A	Dividend	J	T	Buy (add'l)	08/31/12	J		
714. Watson Pharmaceuticals, Inc.	A	Dividend			Buy	01/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

715. Watson Pharmaceuticals, Inc.	A	Dividend			Buy (add'l)	01/12/12	J		
716. Watson Pharmaceuticals, Inc.	A	Dividend			Sold	01/24/12	J	A	
717. Wellpoint Inc.	A	Dividend	J	T	Buy (add'l)	02/08/12	J		
718. Wellpoint Inc.	A	Dividend	J	T	Buy (add'l)	05/17/12	J		
719. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	02/13/12	J		
720. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	02/14/12	J		
721. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	02/17/12	J		
722. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	03/08/12	J		
723. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	03/09/12	J		
724. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	03/20/12	J		
725. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	03/21/12	J		
726. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	03/22/12	J		
727. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	03/23/12	J		
728. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	03/28/12	J		
729. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	04/03/12	J		
730. Wells Fargo & Company	A	Dividend	K	T	Buy (add'l)	04/10/12	J		
731. Wells Fargo & Company	A	Dividend	K	T	Sold (part)	07/25/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. Western Asset N.Y Muni Mrkt.	A	Interest	J	T					
733. Westwood Income Oppty	A	Dividend	J	T	Buy	03/29/12	J		
734. Westwood Income Oppty	A	Dividend	J	T	Buy (add'l)	06/28/12	J		
735. Westwood Income Oppty	A	Dividend	J	T	Buy (add'l)	09/27/12	J		
736. Westwood Income Oppty	A	Dividend	J	T	Buy (add'l)	12/28/12	J		
737. WHG Income Oppty Fd	A	Dividend	N	T	Buy	01/09/12	J		
738. Wisconsin Energy Corp.	A	Dividend			Buy	07/24/12	J		
739. Wisconsin Energy Corp.	A	Dividend			Sold	09/24/12	J	A	
740. Xcel Energy Inc.	A	Dividend			Buy	07/18/12	J		
741. Xcel Energy Inc.	A	Dividend			Sold	09/26/12	J	A	
742. Yum! Brands Inc.	A	Dividend			Buy	06/01/12	J		
743. Yum! Brands Inc.	A	Dividend			Sold	07/11/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Page 16 and 17 of 49, lines 219, 220, 221, 222, 223; DIRECTV - On 8/28/12, DIRECTV - Class A changed their name to DIRECTV.

Part VII, Page 20 of 49, lines 278, 279, 280, 281; Express Scripts Holdings Co. - On 4/2/12, Express Scripts Inc. changed their name to Express Scripts Holdings Co.

Part VII, Page 28 of 49, lines 416, 417, 418, 419; Kraft Foods Group Inc. - On 10/2/12, Kraft Foods Inc. changed their name to Kraft Foods Group Inc.

Part VII, Page 33 of 49, line 502; Mondelez International - On 10/1/12, Kraft Foods Group Inc. had a spinoff called Mondelez International. On 10/8/12, Mondelez International was completely sold.

In the 2011 Financial Disclosure Report, Part VII, Page 44 of 70, line 687; Medco Health Solutions Inc. was reflected. This holding is not reflected in the 2012 Financial Disclosure Report because on September 1, 2012, Medco Health Solutions, Inc. changed their name to Express Scripts Holdings Co. and those holdings are represented on this report on page 20 of 49, lines 278, 279, 280 and 281 respectively.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cheryl L. Pollak

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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