

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moore, Karen N.	2. Court or Organization U.S. Court of Appeals-6th Cir.	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Carl B. Stokes U.S. Courthouse 801 W. Superior Ave., Ste 21A Cleveland, Ohio 44113-1831	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Moore, Karen N.

Date of Report

05/12/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Squire Sanders & Dempsey
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of North Carolina School of Law	2/27/09 - 2/28/09	Chapel Hill, NC	Moot Court	Transportation, food, lodging
2.	University of Southern California	3/5/09 - 3/7/09	Los Angeles, CA	Moot Court	Transportation, food, lodging
3.	University of California, Los Angeles School of Law	3/17/09 - 3/19/09	Los Angeles, CA	Moot Court	Transportation, food, lodging
4.	Boston University School of Law	4/6/09 - 4/8/09	Boston MA	Moot Court	Transportation, food, lodging
5.	American Constitution Society	6/19/09 - 6/21/09	Washington, DC	Moot Court	Transportation, food, lodging

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
Moore, Karen N.

Date of Report
05/12/2010

6.	Cornell University Law School	10/30/09 - 11/1/09	Ithaca, NY	Moot Court	Transportation, food, lodging
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FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting
Moore, Karen N.

Date of Report
05/12/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Moore, Karen N.

Date of Report

05/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington Bank	B	Interest	K	T					
2. Key Bank	A	Interest	L	T					
3. DWS Cash Investment Trust	A	Dividend	J	T					
4. DWS Managed Municipal Bonds	D	Distribution	M	T					
5. Dreyfus Municipal Money Market Fund	A	Dividend	K	T					
6. IRA T. Rowe Price New Horizons Fund	A	Distribution	L	T					
7. TIAA (Pension Fund)	E	Interest	O	T					
8. CREF (Pension Fund)		None	O	T					
9. Vanguard Wellesley Income Fund (Retirement Fund)	C	Dividend	L	T					
10. Vanguard Windsor Fund (Retirement Fund)	B	Dividend	L	T					
11. Vanguard Wellington Fund (Retirement Fund)	B	Dividend	L	T					
12. Pension Plan---Dodge & Cox Stock Fund [See Pt VIII]	C	Int./Div.	N	T	Buy		J		
13. Pension Plan--Baron Asset Fund [See Pt VIII] (now Inst)	D	Int./Div.	O	T	Buy		J		
14. Squire Sanders & Dempsey Capital Contribution Account		None	M	U					
15. Cash value gp. univ. life ins., Mass. Mut Life Ins[See VIII]	D	Interest	N	T	Premium		J		
16. Pension Plan--Fidelity Capital Appreciation K [See Pt VIII]	A	Int./Div.	N	T	Buy		J		
17. Pension Plan--Fidelity Diversified Int'l K [See Pt VIII]	D	Int./Div.	N	T	Buy		J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Moore, Karen N.

Date of Report

05/12/2010

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Huntington Bank	A	Interest	K	T					
19. Victory Tax-Free Money Market--Key Bank	A	Dividend	M	T					
20. National City Bank aka PNC Bank	A	Interest	L	T					
21. T. Rowe Price Growth Stock	A	Dividend	J	T					
22. IRA American Funds--Capital World Growth & Income CL A	A	Dividend	J	T					
23. IRA American Funds--Growth Fund of Am CL A	A	Dividend	J	T					
24. IRA American Funds--Investment Co of Am CL A	A	Dividend	J	T					
25. IRA American Funds--Capital World Growth & Income CL A	B	Dividend	L	T	Buy	01/30/09	J		
26. IRA American Funds--Growth Fund of Am CL A	A	Dividend	K	T					
27. IRA American Funds--Investment Co. of Am CL A	A	Dividend	K	T					
28. Pension Plan--WFA Small Cap Val Inv (now Inst) [See Pt VIII]	B	Int./Div.	M	T	Buy		J		
29. American Funds--Capital Income Builder Fund CL A	D	Dividend	M	T					
30. Hartford Capital Appreciation Fund CL A	A	Dividend	M	T					
31. American Funds--Investment Co. of Am. CL A	A	Dividend	K	T					
32. American Funds--Fundamental Investors FD CL A	A	Dividend	K	T					
33. American Funds--Europacific Growth Fund CL A	A	Dividend	K	T					
34. Hartford Capital Appreciation Fund CL A	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Moore, Karen N.

Date of Report

05/12/2010

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Federated Ohio Muni Income Fund CL A	A	Dividend	K	T					
36. Growth Fund of Am CL A (X) [See Pt VIII]	A	Dividend	J	T	Spinoff (from line 37)	05/08/09	J		
37. Growth Fund of Am CL A [See Pt VIII]	A	Dividend	K	T	Distributed (part)	05/08/09	J		
38. New Perspective Fund CL A	A	Distribution	K	T					
39. American Funds--Fundamental Investors FD CL A	A	Dividend	K	T					
40. Pension Plan--MSIF Mid Cap Grth 1	A	Int./Div.	J	T	Buy		J		
41. Pension Plan--PIM Total Rt Inst	E	Int./Div.	N	T					
42. Pension Plan--GS Mid Cap Value Inst [See Pt VIII]	A	Int./Div.	J	T	Buy		J		
43.									
44.									
45.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Moore, Karen N.

Date of Report

05/12/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII, Record 12, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 13, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 15, Column D(2): Premiums paid monthly; total for year listed in Column D(3)

PART VII, Record 16, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 17, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 28, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 42, Column D(2): Regular purchases made; total for year listed in Column D(3)

PART VII, Record 36, On 5/8/09, Record 37 transferred part to Record 36

PART VII, Record 37, On 5/8/09, Record 37 transferred part to Record 36

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Moore, Karen N.

Date of Report

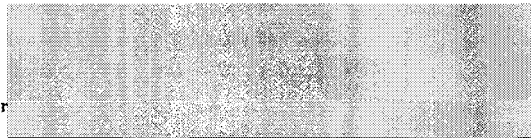
05/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544