

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Forester, Karl S	2. Court or Organization United States District Court	3. Date of Report 05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address P.O. Box 2165 Lexington, KY 40588	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Phoenix Small Cap Value Fund	B	Dividend	L	T					
2. Wachovia Moneymart Funds	C	Interest	L	T					
3. Duke Power Co.	A	Dividend	J	T					
4. Income Fund of AM.	D	Dividend	M	T					
5. Investment Co. of AM.	E	Dividend	N	T					
6. Immunomedics Inc. Del.		None	J	T					
7. Webster Fin. Corp.	C	Dividend	M	T	Buy	7/16	M		
8. BB&T	A	Interest	J	T					
9. Central Bank & Trust Co.	A	Interest	J	T					
10. Dryden Int. Equity Fund	A	Dividend	K	T					
11. S1 Corp.		None	L	T					
12. Hlth Corp (formerly Emdeon Corp.)		None	J	T					
13. Alcatel - Lucent ADR		None	K	T					
14. LSI Corp. (formerly Agere Syst. Inc.)		None	J	T					
15. Dupree Mutual Funds KY Tax-Free Income Series	D	Dividend	M	T					
16. General Electric Co.	B	Dividend	L	T					
17. Microsoft Corp.		None			Sell	4/3	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. DPL Inc.	B	Dividend	L	T					
19. Van Kampen Investments S&P Powerpicks 2006		None			Redemption	4/12	L	C	
20. Van Kampen Investments S&P Powerpicks 2007	A	Dividend	L	T	Buy	4/13	L		
21. Nokia	A	Dividend			Sell	5/10	K	C	
22. Ciena Corp.		None	J	T					
23. Wachovia Corp.		None	K	T	Buy	11/28	K		
24. Baron Select FDS Baron Partners FD		None	J	T	Buy	7/30	J		
25. Black Rock Funds Large Cap Value FD	A	Dividend	J	T	Buy	7/30	J		
26. Bridge Way FD Inc. Ultra-Small Co. Tax Adv	A	Dividend	J	T	Buy	7/30	J		
27. Diamond Hill Funds Small Cap FD CLA	A	Dividend	J	T	Buy	7/30	J		
28. Dodge & Coix Intl Stock Fund	A	Dividend	J	T	Buy	7/30	J		
29. Goldman Sachs Tr Fill Square MMKT FND CL1	A	Dividend	J	T	Buy	7/30	J		
30. Hotchkis & Wiley Core Value FD CL-1	A	Dividend	J	T	Buy	7/30	J		
31. Janus Invt Fd Mid Cap value FD Instl SHS	A	Dividend	J	T	Buy	7/30	J		
32. Julius Baer Int. Equity Fund II	A	Dividend	J	T	Buy	7/30	J		
33. Keeley Small Cap Valu Fund CL A	A	Dividend	J	T	Buy	7/30	J		
34. Kinetics Small Cap Opportunities Fund - Inst. Cl.	A	Dividend	J	T	Buy	7/30	J		

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35. Munder Mid HP Core Growth Fund Class Y	A	Dividend	J	T	Buy	7/30	J		
36. Nuveen Tradewinds Int'l Int. Value Fund CL R	A	Dividend	J	T	Buy	7/30	J		
37. Nationwide Mut Fds Small Cap FD CL A	A	Dividend	J	T	Buy	7/30	J		
38. Pioneer Cullen Value Fund CL Y	A	Dividend	J	T	Buy	7/30	J		
39. RS Invt Tr Global Nat Res Fd CLA	A	Dividend	J	T	Buy	7/30	J		
40. SunAmerica Funds Focused Small Cap Growth CLA	A	Dividend	J	T	Buy	7/30	J		
41. SSGA Funds - Int. Stock SEL	A	Dividend	J	T	Buy	7/30	J		
42. Touchtone Instl Fd S TR Sands Cap Instl Growth	A	Dividend	J	T	Buy	7/30	J		
43. CRM Mid Cap Value Fd Instl	A	Dividend	J	T	Buy	7/30	J		
44. Wells Fargo Advantage Endeavor Select Fund	A	Dividend	J	T	Buy	7/30	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

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NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544