

UNITED STATES DISTRICT COURT
DISTRICT OF MAINE

JOHN A. WOODCOCK, JR.
DISTRICT JUDGE

202 HARLOW STREET
P.O. BOX 756
BANGOR, MAINE 04402-0756
(207) 945-0549

July 2, 2007

Honorable Ortrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

Re: Calendar Year 2006 Filing

Dear Judge Smith:

In response to your letter dated
Du Pont E. I. Nemours & Co. Common
should have been alongside its name.
for the calendar year 2006 to reflect this

I trust that this request clarifies the
2006 report. If you have any further que

Very truly yours,

John A

JAW/mfs

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FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Woodcock, John A	2. Court or Organization Maine	3. Date of Report 05/07/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address P.O. Box 756 Bangor, ME 04402-0756	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee - through June 30, 2006; Trustee Emeritus - effective July 1, 2006	Bowdoin College
2. Member Board of Visitors	University of Maine School of Law
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/06	United States Judges Admin Office of the US Courts	\$ 159,654.22
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Woodcock, John A

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merck Common Stock	B	Dividend	K	T	Partial Sale	02/09	J		
2. Bowater Common Stock	A	Dividend	J	T					
3. Boeing Common Stock	A	Dividend	J	T					
4. Cisco Common Stock		None	K	T					
5. MetLife Common Stock	A	Dividend	K	T					
6. Wells Fargo Common Stock	C	Dividend	M	T					
7. Powershares Wilderhill Clean Energy Portfolio		None	J	T	Buy	04/04	K		
8. Citizens Communications Common Stock	B	Dividend	K	T					
9. Mitsui Common Stock - ADRs (Comment)	A	Dividend	L	T					
10. Barclays PLC ADR Common Stock	A	Dividend	K	T					
11. 3M Co Common Stock	A	Dividend			Sell	04/04	J	A	
12. Powershares Lux Nonotech Portfolio (Comment)		None	J	T					
13. Legg Mason Appreciation Fund (Comment)	A	Dividend	J	T	Partial Sale	08/14	J	C	
14. ExxonMobil Common Stock (Comment)	A	Dividend	L	T					
15. General Electric Common Stock (Comment)	A	Dividend	L	T					
16. The Growth Fund of America (Comment)	A	Dividend	K	T					
17. SCP Pool Corp. Common Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Medtronic Common Stock	A	Dividend	K	T	Partial Buy	04/06	J		
19. Microsoft Common Stock	A	Dividend	J	T					
20. Norfolk Southern Common Stock	A	Dividend	K	T					
21. Pepsico Common Stock	A	Dividend	K	T					
22. Precision Drilling Trust Common Stock	A	Dividend	J	T	Buy	9/29	J		
23. Procter & Gamble Common Stock	A	Dividend	K	T					
24. Teva Common Stock	A	Dividend			Sell	06-26	K	B	
25. Bangor Savings Bank Checking Account	A	Interest	J	T					
26. ASA (Bermuda) LTD Common Stock	A	Dividend	J	T					
27. American Power Conversion Corp Common Stock	A	Dividend			Sell	8/30	J	A	
28. Fidelity Trend Fund	A	Dividend	K	T					
29. Nuveen Tax Adv Total Return Strategy Fund	B	Dividend	K	T					
30. Xerox Common Stock		None	J	T					
31. Morgan Stanley India Investment Fund		None	J	T					
32. CVS Common Stock	A	Dividend	J	T	Buy	9/29	J		
33. URS Corp Common Stock		None			Sale	1/06	K	B	
34. Legg Mason Fundamental Value Fund	A	Dividend	J	T	Partial Sale	8/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" aft each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Valueer Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
(Comment)									
35. ExxonMobile Common Stock (X) (Comment)		None							
36. Templeton World Fund		None			Sale	8/14	J	B	
37. Legg Mason Capital & Income Fund (Comment)	A	Dividend	K	T	Partial Sale	01/09	J	B	
38. Legg Mason Partners Appreciation Fund (Comment)	A	Dividend	K	T					
39. Investment Company of America	A	Dividend	J	T					
40. United Natural Foods, Inc. Common Stock		None	K	T					
41. UBS Bank USA Dep Acct (Comment)	A	Interest	M	T					
42. Royce Micro-Cap IRA Account	A	Dividend	K	T					
43. EuroPac Growth Mutual Fund		None	J	T					
44. Qualcomm, Inc. Common Stock	A	Dividend	J	T					
45. Lord Abbett Affiliated Fund	A	Dividend	K	T	Partial Buy	9/28	J		
46. Pioneer Mid-Cap Growth Mutual Fund IRA		None			Sale	2/08	J	C	
47. Legg Mason Appreciation Mututal Fund IRA (Comment)	A	Dividend	K	T					
48. Legg Mason Capital and Income Fund IRA (Comment)	A	Dividend	K	T					
49. Home Depot Common Stock	A	Dividend	K	T					
50. Eagle Bulk Shipping, Inc. Common Stock	A	Dividend	K	T	Buy	9/29	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. American Capital Strategies Ltd Common Stock	A	Dividend	K	T	Buy	9/29	J		
52. American Funds Investment Company Coverdell Account	A	Dividend	J	T					
53. Automatic Data Processing Common Stock (X)	A	Dividend	J	T					
54. Wyeth Com Common Stock (X)	A	Dividend	K	T					
55. Target Corp (X)	A	Dividend	K	T					
56. American Funds Income Fund of America CL A	B	Dividend	L	T					
57. American Funds Income Fund of America CL A (see 56)	A	Distribution							
58. Investors Financial Services Corp Common Stock	A	Dividend	J	T					
59. Northrup Grumman Common Stock	A	Dividend	K	T					
60. S & P 500 Depository Receipts (X)	A	Dividend	J	T					
61. Public SVC Enterprise Group Common Stock (X)	A	Dividend	J	T					
62. Berkshire Hathaway Common Stock		None			Sell	8/30	K	B	
63. Pitney Bowes Inc. Common Stock (X)	A	Dividend	J	T					
64. Pepsico Common Stock (X) (Comment)		None							
65. McDonalds Corp. Common Stock (X)	A	Dividend	K	T					
66. IBM Common Stock	A	Dividend	J	T					
67. Lowes Common Stock	A	Dividend			Sale	9/29	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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68. Johnson & Johnson Common Stock (X)	A	Dividend	J	T					
69. Patterson Companies Common Stock		None	K	T					
70. Stericycle Common Stock		None	K	T					
71. Sysco Common Stock	A	Dividend	K	T					
72. US Bancorp Common Stock	A	Dividend	K	T					
73. J.P. Morgan Chase Common Stock (X)	A	Dividend	K	T					
74. Zimmer Holdings Common Stock		None	K	T					
75. Bellsouth Corp Common Stock (X)	A	Dividend	J	T					
76. Union Central Universal Life Insurance Policy	A	Interest	L	T					
77. MetLife Life Insurance Policies	A	Dividend	L	T					
78. Intel Corp Common Stock (X)	A	Dividend	J	T					
79. Walt Disney Co. Common Stock	A	Dividend	J	T					
80. UBS Bank Deposit Account (Comment)	A	Interest	J	T					
81. H & R Block, Inc. Common Stock (X)	A	Dividend	J	T					
82. Bristol Myers Squibb Common Stock (X)	A	Dividend	J	T					
83. General Electric Co. Common Stock (X) (Comment)		None							
84. Chevron Corp Common Stock (X)	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A=\$1,000 or less

F=\$50,001 - \$100,000

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000

H2=More than \$5,000,000

E=\$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J=\$15,000 or less

N=\$250,001 - \$500,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

R=Cost (Real Estate Only)

V=Other

P4=More than \$50,000,000

S=Assessment

W=Estimated

T=Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
85. Citigroup Inc. Common Stock (X)	A	Dividend	J	T					
86. ConocoPhillips Common Stock (X)	A	Dividend	K	T					
87. Du Pont E. I. De Nemours & Co. Common Stock	A	Dividend	J	T					
88. ExxonMobil Corp Common Stock (X) (Comment)		None							
89.		None							
90.		None							
91.		None							
92.		None							
93.		None							
94.		None							
95.		None							
96.		None							
97.		None							
98.		None							
99.		None							
100.		None							
101.		None							

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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102.		None							
103.		None							
104.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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R =Cost (Real Estate Only)

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C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 7, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544