

AO-10

Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Ct - Western La.	3. Date of Report 9/10/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. Courthouse 300 Fannin St., Suite 5101 Shreveport, LA 71101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)
POSITIONNAME OF ORGANIZATION/ENTITY

1. President

S. Maurice Hicks, Jr., A Professional Law Corporation (Inactive)

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 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)
DATEPARTIES AND TERMS

1. 2003

Promissory Note executed by Craig O. Marcotte, LLC to S. Maurice Hicks, Jr. re: installment sale of law firm assets

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Hicks, Jr., S. Maurice

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2003	Business Income - S. Maurice Hicks, Jr. A Professional Law Corporation	198970

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. Hibernia National Bank

Mortgage - Rental Property #1, Ruston, LA

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Hibernia National Bank Accounts	C	Interest	M	T					
2. State Farm Life Insurance Co.	A	Interest	K	T					
3. Northwestern Mutual Life Insurance Co.	A	Interest	K	T					
4. Promissory Note - Sale of Law Firm Assets	G	Business	M	U	INSTALL MENT	6/12	M	G	CRAIG MARCOTTE
5. Timber Land, Lincoln Parish, Louisiana - 1/1/96, \$30,000		None	K	R					
6. Ditech Communications Stock Morgan Keegan Brokerage Acct		None	J	T					
7. Hibernia National Bank Stock	A	Dividend	K	T					
8. Youthstream Media Stock		None	J	W					
9. Rental Property #1, Ruston, LA - 07/03/01, \$75,427	D	Rent	L	R					
10. IRA #1 Hibernia Corp Stock	A	Dividend	K	U					
11. IRA # 1 DGAGX Mutual Fund		None	J	U					
12. IRA # 1 FMAGX Mutual Fund	A	Dividend	K	U					
13. IRA # 1 Hibernia Cash Reserve Fund	A	Interest	L	T	sell-partial	var	K		
14. IRA # 2 C. D. Hibernia	A	Interest	J	T					
15. IRA # 3 C. D. Hibernia	A	Interest	K	U					
16. Law Corp Profit Sharing Plan	A	Interest			ROLLOVE R-IRA	12/01	J		
17. Sun Microsystems Stock		None	J	T					
18. BEA Systems Stock		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = I Value	V = Other	W = Estimated		

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Primedia Inc. Stock		None	J	T					
20. Reliant Resources Stock	A	Dividend	J	T					
21. Nicholas Applegate Fund	A	Dividend	J	T	buy	03/26	J		
22. First Trust Value Line Div Fund	A	Dividend	J	T	buy	08/27	J		
23. VAC Federal Credit Union	A	Interest	J	T	buy	09/08	J		
24. Access Integrated Technologies	A	Dividends	J	T	buy	11/13	J		
25. Barksdale Federal Credit Union (X)	A	Interest	K	T					
26. Red River Syndicate LP (X)	A	Dividends	K	U					
27. IRA #1 Energysouth Inc. Common	A	Dividends	J	T	Buy	09/09	J		
28. IRA #1 Nextel Communications Common	A	Dividends	J	T	Buy	10/03	J		
29. IRA #1 Seagate Technology Common	A	Dividends	J	T	Buy	10/29	J		
30. IRA #1 Bank of America Common	A	Dividends	J	T	Buy	11/03	J		
31. IRA #1 Capital Automotive REIT Common	A	Dividends	J	T	Buy	11/03	J		
32. IRA #1 Coach Inc. Common	A	Dividends	J	T	Buy	11/03	J		
33. IRA #1 Energysouth Common	A	Dividends	J	T	Buy	11/13	J		
34. IRA #1 Martin Midstream Partners LTD	A	Dividend	J	T	Buy	12/04	J		
35. IRA #1 Power Integrations Common	A	Dividend	J	T	Buy	12/16	J		
36. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. I2 Technologies Stock (X)		None	J	T					
38. Lexar Media Stock (X)		None	J	T					
39. Ford Motor Co. (Bonds)	A	Interest			sell	03/26	J	A	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$3,000,000	H2 = More than \$3,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

11/1/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Ct - Western La.	3. Date of Report 9/10/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2003 to 8/11/2004
7. Chambers or Office Address U.S. Courthouse 300 Fannin St., Suite 5101 Shreveport, LA 71101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President

S. Maurice Hicks, Jr., A Professional Law Corporation (Inactive)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2003

Promissory Note executed by Craig O. Marcotte, LLC to S. Maurice Hicks, Jr. re: installment sale of law firm assets

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. 2003

Business Income - S. Maurice Hicks, Jr. A Professional Law Corporation

198970

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Hibernia National Bank	Mortgage - Rental Property #1, Ruston, LA	L

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2. State Farm Life Insurance Co.	A	Interest	K	T					
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4. Promissory Note - Sale of Law Firm Assets	G	Business	M	U	INSTALL MENT	6/12	M	G	CRAIG MARCOTTE
5. Timber Land, Lincoln Parish, Louisiana		None	K	R					
6. Ditech Communications Stock Morgan Keegan Brokerage Acct		None	J	T					
7. Hibernia National Bank Stock	A	Dividend	K	T					
8. Youthstream Media Stock		None	J	W					
9. Rental Property #1, Ruston, LA	D	Rent	L	R					
10. IRA #1 Hibernia Corp Stock	A	Dividend	K	U					
11. IRA # 1 DGAGX Mutual Fund		None	J	U					
12. IRA # 1 FMAGX Mutual Fund	A	Dividend	K	U					
13. IRA # 1 Hibernia Cash Reserve Fund	A	Interest	L	T	sell	var	K		
14. IRA # 2 C. D.	A	Interest	J	T					
15. IRA # 3 C. D.	A	Interest	K	U					
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32. IRA #1 Coach Inc. Common	A	Dividends	J	T	Buy	11/03	J		
33. IRA #1 Energysouth Common	A	Dividends	J	T	Buy	11/13	J		
34. IRA #1 Martin Midstream Partners LTD	A	Dividend	J	T	Buy	12/04	J		
35. IRA #1 Powe Integrations Common	A	Dividend	J	T	Buy	12/16	J		
36. Janney, Montgomery Scott Brokerage Account	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Hicks, Jr., S. Maurice

Date of Report

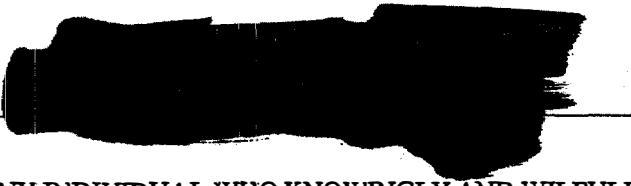
9/10/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

9/10/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544