

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TEILBORG, JAMES A	2. Court or Organization U. S. DISTRICT COURT	3. Date of Report 05/10/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 401 WEST WASHINGTON STREET SUITE 523-SPC 51 PHOENIX, AZ 85003-2154	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

RECEIVED
2007 MAY 11 P 12:21
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	METROPOLITAN LIFE # 1 (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

TEILBORG, JAMES A

Date of Report

05/10/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	METROPOLITAN LIFE (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)	\$ 83,000
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

TEILBORG, JAMES A

Date of Report

05/10/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	THE ARIZONA CLUB	HONORARY MEMBERSHIP (DUES, LIKE PRIVILEGES)	\$ 500
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting
TEILBORG, JAMES A

Date of Report
05/10/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RENTAL PROPERTY #1, ASPEN, CO.	E	Rent	M	W					
2. RAW LAND [REDACTED] AZ (2000, \$79,000.)		None	L	R					
3. AMERICAN SCANDIA VARIABLE ANNUITY	E		N	T					
4. GENWORTH VARIABLE ANNUITY #1 (FORMERLY G.E. ANNUITY)	F		O	T					
5. GENWORTH VARIABLE ANNUITY # 2 (FORMERLY G.E. ANNUITY)	C		K	T					
6. HARTFORD LIFE VARIABLE ANNUITY	E		N	T					
7. PACIFIC LIFE VARIABLE ANNUITY # 1	E		O	T					
8. LAKE PLEASANT 241 LIMITED PARTNERSHIP	A	Distribution	J	U					
9. UBS PACE MM INVESTORS (FORMERLY PACE MM)	A	Dividend	K	T	BUY	10/26	J		
10. UBS BANK USE DEP ACCT	B	Interest	K	T					
11. BANK OF AMERICA ACCTS	A	Interest	L	T					
12. CHASE ACCT (FORMERLY BANK ONE)	A	Interest	K	T					
13. RMA MONEY MARKET PORTFOLIO	B	Dividend	J	T					
14. THERMOSURGERY, INC.		None	J	T					
15. NATIONWIDE LIFE POLICY	A	Int./Div.	M	T					
16. PACIFIC LIFE VARIABLE ANNUITY POLICY # 2 (SELECT EXEC II)	B		K	T					
17. EQUITABLE LIFE POLICY	E	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	P4=More than \$50,000,000 S=Assessment W=Estimated	T=Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

TEILBORG, JAMES A

Date of Report

05/10/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AMERICAN FUNDS AMCAP FUND, CLASS F	C	Dividend	M	T	PARTIAL SELL	4/17	L	E	
19.					BUY	4/19	K		
20.					BUY	6/21	J		
21.					PARTIAL SELL	7/3	J	A	
22.					PARTIAL SELL	10/25	K	D	
23.					BUY	12/22	J		
24. LORD ABBETT MIDCAP VALUE FUND, CLASS A		None			SELL ALL	4/17	L	E	
25. HARTFORD LIFE INSURANCE LEADERS ANNUITY	D	Int./Div.	L	T					
26. [REDACTED]		None	L	U					
27. DAVIS NY VENTURE FUND, INC. CLASS A	A	Dividend	K	T	PARTIAL SELL	10/17	J	A	
28. PIMCO TOTAL RETURN FUND, CLASS A	A	Dividend	K	T	BUY	7/26	J		
29. CALAMOS GROWTH FUND CLASS A	B	Dividend	L	T	BUY	4/19	L		
30.					PARTIAL SELL	7/3	J	A	
31.					PARTIAL SELL	10/25	L	D	
32. CALAMOS GROWTH & INCOME FUND CLASS A		None			SELL ALL	4/17	K	C	
33. EXCELSIOR VALUE RESTRUCTURING FUND		None			SELL ALL	4/17	K	D	
34. FIDELITY ADVISORS SMALL CAP		None			PARTIAL	4/17	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

TEILBORG, JAMES A

Date of Report

05/10/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
FUND					SELL				
35.					SELL ALL	10/25	J	A	
36. ING GNMA INCOME FUND CLASS A	A	Dividend			SELL ALL	4/17	K		
37. ROYCE LOW PRICED STOCK FUND		None			SELL ALL	4/17	K	C	
38. ROYCE SPECIAL EQUITY FUND		None			SELL ALL	4/17	L	D	
39. DWS DREMAN HIGH RETURN EQUITY (FORMERLY SCUDDER DREMAN HIGH)		None			SELL ALL	4/17	L	D	
40. DWS RREEF R. E. SEC A(FORMERLY SCUDDER DREMAN RREEF)	A	Dividend	J	T					
41. VAN KAMPEN GLOBAL FRANCHISE FUND CLASS A		None			SELL ALL	4/17	M	E	
42. VAN KAMPEN GROWTH & INCOME FUND CLASS A		None			SELL ALL	4/17	M	D	
43. FIRST CREDIT UNION ACCT	A	Interest	J	T					
44. ACTIVE ASSETS MONEY TRUST	A	Dividend	J	T					
45. DELAWARE DIVERSIFIED INCOME FUND	D	Dividend	L	T	BUY	4/19	K		
46.					BUY	6/21	J		
47.					PARTIAL SELL	7/3	J	A	
48.					PARTIAL SELL	10/25	J		
49. DRYDEN SH TRM CORP BOND FD CLASS A	B	Dividend	K	T	PARTIAL SELL	7/21	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting
TEILBORG, JAMES A

Date of Report
05/10/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
50. FIDELITY ADV OVERSEAS FD CLASS A		None			SELL ALL	1/24	K	C	
51. GOLDMAN SACHS GRW OPPORTUNITIES	B	Dividend	K	T					
52. IVY LARGE CAP GROWTH FUND CLASS A		None	K	T	BUY	7/26	J		
53. LORD ABBETT ALL VALUE FUND CLASS A	A	Dividend	M	T	BUY	4/19	M		
54.					BUY	6/21	J		
55.					PARTIAL SELL	7/3	J	A	
56.					PARTIAL SELL	10/25	K	C	
57. MAINSTAY SM CAP OPPORTUNITIES		None	K	T	BUY	7/26	J		
58. PIMCO COMMODITY REAL RETURN		None			SELL ALL	4/17	L		
59. UBS DYNAMIC ALPHA FUND CLASS A	A	Dividend	M	T	BUY	4/19	K		
60.					BUY	6/21	J		
61.					PARTIAL SELL	7/3	J	A	
62.					BUY	10/25	K		
63. JOHN HANCOCK FREEDOM 529 ACCOUNT 1 CL A			J	T					
64. JOHN HANCOCK FREEDOM 529 ACCOUNT 2 CL A			J	T					
65. JOHN HANCOCK FREEDOM 529 ACCOUNT 3 CL A			K	T					
66. JOHN HANCOCK FREEDOM 529			J	T	BUY	11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting	Date of Report
TEILBORG, JAMES A	05/10/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
ACCOUNT 4 CL A									
67. AMERICAN FUNDS CAPITAL INCOME	D	Dividend	L	T	BUY	10/25	L		
68.					BUY	12/26	J		
69. ARIZONA EDUC LN MARKTG SER A-3	B	Interest			BUY	6/22	K		
70.					SELL ALL	11/08	K		
71. FIDELITY ADV DVRSFD INTL A	C	Dividend	K	T	BUY	1/27	K		
72.					BUY	7/26	J		
73. FT. TEMPLETON GROWTH A	C	Dividend	M	T	BUY	4/19	M		
74.					BUY	6/21	J		
75.					PARTIAL SELL	7/3	J	A	
76. HENDERSON GLOBAL INVESTORS INTL A	D	Dividend	M	T	BUY	4/19	M		
77.					BUY	6/21	J		
78.					PARTIAL SELL	7/3	J	A	
79.					PARTIAL SELL	10/25	J	A	
80.					BUY	12/11	J		
81. ING GLOBAL REAL ESTATE FUND CL A	B	Dividend	L	T	BUY	10/25	L		
82. NUVEEN MUNICIPAL VALUE FUND	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

TEILBORG, JAMES A

Date of Report

05/10/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
(X)									
83. OPPENHEIMER INTL BOND FD CL A	C	Dividend	L	T	BUY	4/19	L		
84.					BUY	6/21	J		
85.					PARTIAL SELL	7/3	J	A	
86. ROYCE TOTAL RETURN FUND	C	Dividend	L	T	BUY	4/19	L		
87.					BUY	6/21	J		
88.					PARTIAL SELL	7/3	J	A	
89.					PARTIAL SELL	10/25	L		
90.					BUY	12/7	J		
91. TOUCHSTONE MID CAP GROWTH FUND	D	Dividend	L	T	BUY	10/25	L		
92.					BUY	12/8	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

TEILBORG, JAMES A

Date of Report

05/10/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII:

LINE 8: THIS PARTNERSHIP ISSUED AN AMENDED K-1 CHANGING 2004 BUSINESS INCOME TO A NET LOSS, INTEREST INCOME TO A CODE "A".

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

TEILBORG, JAMES A

Date of Report

05/10/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

10 MAY 07

NOTE: ANY PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544