

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murtha, J. Garvan	2. Court or Organization U.S. District Court - Vermont	3. Date of Report 7/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States District Court P.O. Box 760 Brattleboro, VT 05302-0760		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Limited Partnership #4
2.	Beneficiary	Trust #1
3.	Trustee	Trust #2
4.	Beneficiary	Trust #3
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accenture PLC Stock	A	Dividend	J	T					
2. Bank of the Islands Account	A	Interest	L	T	Open	03/01/11	L		
3. Columbia Crest Gold Corp Stock		None	J	T					
4. Covidien	A	Dividend	J	T	Buy	08/09/11	J		
5. Ecolab Common Stock	A	Dividend	J	T					
6. Emerson Electric Stock	A	Dividend	J	T					
7. Exxon-Mobil Common Stock	A	Dividend	J	T					
8. Federal Home Loan Bond	A	Interest	J	T	Buy	03/16/11	J		
9. BSL Money Mkt Fund	A	Interest	J	T					
10. (Income Money Market Fund) - Trust Co. of VT	A	Interest			Sold	08/19/11	J		
11. Intel Corp. Stock	A	Dividend	J	T					
12. iShare Small Cap 600 Fund	A	Dividend	J	T					
13. Kaman Corp. Common Stock	A	Dividend			Sold	03/15/11	J	D	
14. Matthews Asian Fund	A	Dividend	J	T	Buy	03/16/11	J		
15. Matthews China Fund	A	Dividend	J	T	Buy	03/16/11	J		
16. McDonald's Corp. Stock	A	Dividend	J	T					
17. Medtronic, Inc. Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Microsoft Corp. Stock	A	Dividend			Sold	08/09/11	J	A	
19. Northern Trust Gov't Select Fund	A	Interest	J	T					
20. Pepsico Common Stock	A	Dividend	J	T					
21. Procter & Gamble Stock	A	Dividend	J	T					
22. Sysco Corp. Stock	A	Dividend	J	T					
23. Vanguard Emerging Mkts Stock	A	Dividend	J	T					
24. Vanguard Infl. Prot. Fund	A	Interest	J	T					
25. Waste Management Stock	A	Dividend	J	T					
26. Wisdom Tree Equity Income Fund	A	Dividend	J	T	Buy	03/15/11	J		
27. Wisdom Tree Emergin Debt Fund	A	Interest	J	T	Buy	03/15/11	J		
28. Merchants Bank Account	A	Interest	L	T					
29. Brattleboro Country Club Bond	A	Interest			Redeemed	06/12/11	J		
30. Brattleboro Tennis Club, VT Stock		None	J	W					
31. Ocean Reef Yacht Club Timeshare		None			Donated				
32. [REDACTED] Limited Partnership #4	G	Int./Div.	P2	T					
33. - American Electric Power Stock					Buy	03/11/11	K		
34. - Apple Stock									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - AT&T Stock									
36. - Avon Prod. Stock					Sold	08/11/11	K		
37. - BA Diversified Real Est Fund									
38. - Caterpillar Stock									
39. - Coca Cola Stock									
40. - Columbia Acorn Int'l Fund									
41. - Columbia CT Bond Fund					Sold	03/28/11	N	D	
42. - Columbia CT Muni Bd Fund					Sold	05/02/11	N	D	
43. - Columbia Div. Inc. Fund									
44. - Columbia Mid Cap Fd									
45. - Columbia Small Cap Fd									
46. - Conn St GO Bds									
47. - Conn St Health Bds					Buy	06/22/11	L		
48. - Conn St Tax Oblig Bds									
49. - CSX Corp. Stock									
50. - Disney Stock									
51. - Dreyfus Tax Exempt Fund									

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52. - East Htfd Ct Bds					Buy	07/01/11	M		
53. - Easton Ct Bds					Buy	06/01/11	M		
54. - Exxon Mobil Stock									
55. - Federated Secs Instl Shs					Sold	11/18/11	M	A	
56. - Nextera Energy Stock									
57. - Franklin Res									
58. - General Electric Bond					Buy	09/21/11	K		
59. - Genzyme Stock					Sold	06/03/11	M	G	
60. - Griswold Ct Bond					Buy	04/11/11	L		
61. - Grosvenor Reg Multi-Strategy Fund					Sold	02/04/11	N	F	
62. - Harbor Int'l Fund									
63. - Hewlett Packard Stock					Sold	12/12/11	M		
64. - Hewlett Packard Bds					Buy	10/26/11	L		
65. - iShares Inc. MSCI Austral. Index Fund									
66. - iShares Inc. MSCI Brazil Index Fund									
67. - iShares Inc. MSCI CDA Index Fund									
68. - iShares Inc. MSCI Singapore Index Fund									

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69. - iShares S&P Natl Amt-Free Bd Fund					Sold	02/25/11	K		
70. - Johnson & Johnson Stock									
71. - McDonald's Stock									
72. - MFS Ser Int'l Fund									
73. - MFS Ser Tr I Resh Int'l Fund									
74. - Microsoft Stock									
75. - Minneapolis Sch Dist Bds									
76. - Morgan J.P. Chase Stock									
77. - Morgan J.P. Chase Bds					Buy	11/22/11	L		
78. - Municipal Assist Corp GO Bds									
79. - Omaha Neb Rev Ref Bds									
80. - Pasadena Tx Rev Ref Bds									
81. - Peters Sch Dist Wash Ref Bds									
82. - PIMCO Commod Real Return Fund					Sold	12/08/11	M		
83. - Procter & Gamble Stock									
84. - Regional Sch Dist Comm. Bond					Buy	04/15/11	L		
85. - Royal Dutch Shell Stock									

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86. - Sanofi Stock					Buy	06/03/11	J		
87. - Shelton Ct Bds					Buy	08/02/11	L		
88. - Southington Ct Bonds					Buy	06/03/11	M		
89. - Staples Stock					Sold	04/15/11	M	E	
90. -S/W Ill Dev Auth Bds									
91. - Teva Pharmaceutical Stock									
92. - United Technologies Stock									
93. - Vanguard Int'l Fund									
94. - Vanguard REIT					Buy	03/18/11	M		
95. - Vanguard Short Term Bds					Buy	11/01/11	N		
96. - Verizon Bds					Buy	11/22/11	L		
97. Trust Company of VT - IRA	C	Div. & Int.	M	T					
98. - 3M Stock									
99. - Abbott Labs Stock									
100. - Accenture Ltd. Stock									
101. - Apache Corp. Stock									
102. - Apple Stock					Buy	08/16/11	J		

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103. - Automatic Data Processing Stock					Sold	08/16/11	J	A	
104. - Applied Materials Stock					Buy	02/16/11	J		
105. - Bank of America Stock									
106. - Bemis Stock									
107. - Citigroup Stock									
108. - Colgate Palmolive Stock									
109. - Conoco Phillips Stock									
110. - EM Stock					Buy	08/16/11	J		
111. - Emerson Electric Stock									
112. - Franklin Res. Stock									
113. - HCP Stock									
114. - Illinois Tool Wks Stock									
115. - iShare MSCI Fund					Sold	08/16/11	J		
116. - iShare Midcap Fund									
117. - iShare Small Cap Fund					Sold	08/16/11	J		
118. - Johnson & Johnson Stock									
119. - Kimco Realty Stock					Sold	02/16/11	J		

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120. - Lowes Co. Stock					Sold	08/16/11	J		
121. - Matthews Asian Fund									
122. - Matthews China Fund									
123. - Maxim Integrated Prods. Stock									
124. - McDonald's Stock					Buy	08/16/11	J		
125. - Microsoft Corp.					Sold	08/16/11	J	A	
126. - Nextera Energy Stock									
127. - Nokia Stock									
128. - Northern Trust Gov't Select Fund									
129. - Novartis Stock									
130. - Omnicom Group Stock									
131. - Pepsico, Inc. Stock									
132. - PIMCO Emerging Bond Fund					Buy	02/16/11	J		
133. - PIMCO Emerging Mkt Debt Fund					Buy	02/16/11	J		
134. - PIMCO Short Term Inst'l Fund									
135. - Procter & Gamble Stock									
136. - Royal Dutch Shell Stock									

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Date of Report

7/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Sanofi Aventis Stock									
138. - Southern Stock					Buy	02/16/11	J		
139. - Spectra Energy Stock									
140. - Stryker Corp. Stock									
141. - Target Corp. Stock									
142. - United Technologies Stock									
143. - US Bancorp Stock									
144. - US Tips Bond									
145. - Vanguard Emerging Mkts Stock									
146. - Wells Fargo Stock									
147. - Wisdom Tree Emerging Debt Fund					Buy	08/16/11	J		
148. - Winsdome Tree Emerging Markets Fund					Buy	02/16/11	J		
149. Trust #2	E	Div. & Int.	O	T					
150. - Coca Cola					Sold	9/29/11	K	A	
151. - Columbia Acorn Fund Class Z					Sold	9/29/11	L		
152. - Columbia Dividend Income Fund					Sold	9/29/11	N		
153. - Columbia Large Cap Growth Fund					Sold	09/29/11	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Murtha, J. Garvan

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7/24/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Conn St Spl Tax OB/IG Bond					Sold	9/29/11	L		
155. - Dreyfus Tax Exempt Cash Fund									
156. - Federated Adj Rate Secs Bond					Sold	04/21/11	N	A	
157. - iShares S&P Pfd Stk Index Fund					Sold	09/29/11	L		
158. - JP Morgan Chase Dep Shs					Sold	09/29/11	K	A	
159. - Kaman Stock					Sold	03/16/11	M	G	
160. - MFS Ser Int'l Fund					Sold	04/21/11	K	C	
161. - Milford Conn Bond					Sold	09/29/11	K		
162. - PIMCO Comm Real Return fund					Sold	9/29/11	L		
163. - Reg'l Sch Dist #5 Conn Bond					Sold	9/29/11	K		
164. - Reg'l Sch Dist #14 Conn Bond					Sold	9/29/11	K		
165. - Reg'l Sch Dist #16 Conn Bond					Sold	9/29/11	K		
166. - Sherman Conn Bond					Sold	9/29/11	K		
167. - Teva Pharmaceutical Fund					Sold	9/29/11	L	C	
168. - Torrington Conn Bond					Sold	9/29/11	K		
169. - U.S. Bancorp Sr Mtn Bond					Sold	9/29/11	K	A	
170. - Vanguard REIT ETF Fund					Sold	9/29/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Woodstock, CT Bond					Sold	9/29/11	K		
172. Merrill Lynch IRA	C	Div. & Int.	M	T					
173. - Blackrock Global Fund					Sold	04/28/11	J		
174. - DWA Tech Leaders Fund					Buy	04/15/11	J		
175. - Fiduciary/Clay MLP Opp Fund					Sold	02/17/11	J		
176. - First Tr Consumer Discrt Fund									
177. - First Tr Exchg Traded Fund									
178. - First Tr Financials Fund					Sold	04/07/11	J		
179. - First Tr Materials Fund					Sold	12/16/11	J		
180. - First Tr Mid Cap Core Fund									
181. - First Tr Nasdaq Fund					Sold	12/06/11	J		
182. - First TR S&P REIT Fund					Buy	07/22/11	J		
183. - First Tr SR FI II Fund									
184. - Franklin Strategic Fund									
185. - Hartford Cap Apprec. Fund					Sold	04/01/11	J		
186. - Hartford Floating Rate Fund									
187. - iShares Cohen & Steers Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - iShares Gold TR					Buy	11/17/11	J		
189. - iShares MSCI CDA Index Fund					Sold	07/01/11	J		
190. - iShares MSCI Indonesia Fund					Buy	08/30/11	J		
191. - iShares MSCI Mexico Free Fund					Sold	07/21/11	J		
192. - iShares MSCI Thailand Fund									
193. - iShares MSCI Turkey Fund					Sold	2/14/11	J		
194. - iShares S&P Latin America Fund					Sold	02/08/11	J		
195. - iShares Silver TR					Sold	7/21/11	J		
196. - iShares TR Fund									
197. - iShares Transportation Fund					Buy	10/14/11	J		
198. - iShares Trust Dow Fund					Buy	06/07/11	J		
199. - Ivy Asset Strategy Fund					Sold	02/25/11	J		
200. - JPM Chase Cap XVI Stock									
201. - Kayne Anderson MLP Fund					Sold	07/07/11	J		
202. - Mainstay High Yield Fund									
203. - Market Vectors ETF Fund					Sold	01/27/11	J		
204. - Morgan Stanley Cap TR IV Stock					Sold	12/16/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - PIMCO Emerg Mkts Bd Fund									
206. - PowerShares Exchange Fund					Buy	05/24/11	J		
207. - PowerShares DWA Emerg Mkt Fund					Sold	11/25/11	J		
208. - PowerShares S&P SC Energy Fund					Sold	10/07/11	J		
209. - Principal Midcap Fund					Buy	08/12/11	J		
210. - Rydex ETF TR Index Fund									
211. - Rydex ETF TR Fund									
212. - Sector SPDR Utilities Fund					Buy	10/13/11	J		
213. - SPDR Gold Trust					Sold	04/05/11	J		
214. - SPDR Pharmaceuticals Fund					Buy	08/25/11	J		
215. - SPDR Oil Gas Fund					Buy	08/26/11	J		
216. - SunAmerica Strategic Fund									
217. - Vanguard Total Fund									
218. - Wells Fargo Cap									
219. Trust #1 Income Beneficiary	D	Distribution	M	T					
220. Trust #3 (X) Income Beneficiary	D	Distribution	M	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Garvan Murtha**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544