



United States Court of Appeals
125 South Grand Avenue, Suite 303
Pasadena, California 91105-1510

Dorothy W. Nelson
Senior Circuit Judge

June 8, 2004

626/229-7400
Fax 626/229-7455

Committee on Financial Disclosure
Administrative Office of the U.S. Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

SELF INITIATED
AMENDMENT

Re: Financial Disclosure Report Amendment
Dorothy W. Nelson, 9th Circuit Court of Appeals

Dear Committee Members:

Enclosed is my Amended Part VII. INVESTMENTS AND TRUSTS for my
Financial Disclosure Report dated May 10, 2004.

In my Report of May 10, 2004, I inadvertently did not include this
information. With this amendment, my Financial Disclosure Report for Calendar
Year 2003 is now complete and I certify that all the information provided in
Amended Part VII. INVESTMENTS AND TRUSTS is accurate, true, and
complete to the best of my knowledge and belief.

Sincerely,

Dorothy W. Nelson

DWN:jat

Enclosure

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FINANCIAL DISCLOSURE REPORT

Page 1 of 1

Name of Person Reporting

Nelson, Dorothy W

Date of Report

Amended 6/8/04

I. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)									
College Equities Retirement Fund	E	Distribution	L	U					

I certify that all the information given above is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported as withheld because it met applicable statutory provisions permitting non-disclosure.

Signature: 

Amended Date: 6/8/04

Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

In Government Act of 1976
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)

NELSON, Dorothy W

2. Court or Organization

U.S. Court of Appeals 9th Cir.

3. Date of Report

5/10/2004

4. Title (Article III Judges indicate active or senior status;
magistrate judges indicate full- or part-time)

Senior Circuit Judge

5. Report Type (check appropriate type)

☐ Nomination, Date 5/10/2004

☐ Initial ☒ Annual ☐ Final

6. Reporting Period

1/1/2003

to

12/31/2003

7. Chambers or Office Address

125 South Grand Ave. Suite 300

Passadena, CA 91105

8. On the basis of the information contained in this Report and any
modifications pertaining thereto, it is, in my opinion, in compliance
with applicable laws and regulations.

Reviewing Officer

Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director

Western Justice Center Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2003

TIAA-CREF Teacher's Annuity, no control

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FINANCIAL DISCLOSURE REPORT

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

2003

University of Nebraska - Scholar in Residence

4000

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

2003

State of California Judicial Retirement System

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

National Bahá'í Assembly, Director's Meeting

1 weekend monthly, Transportation, Meals and Room

FINANCIAL DISCLOSURE REPORT

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 1

Name of Person Reporting
NELSON, Dorothy W

Date of Report
5/10/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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	PS = \$25,000,001-\$50,000,000		PA = Sixfold or more than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-11-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544