

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kobayashi, Leslie E.	2. Court or Organization USDC, District of Hawaii	3. Date of Report 04/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 300 Ala Moana Blvd. Rm. C-423 Honolulu, Hawaii 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Chair	Pu'uwai Kila (non-profit)
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

State of Hawaii, Judiciary - Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	City Bank	Line of Credit	J
2.	First Hawaiian Bank	Line of Credit	J
3.	Honolulu Federal Credit Union	Line of Credit	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ROLLOVER									
2. - Morgan Stanley Bank cash account	A	Interest	K	T					
3. -AQR Managed Futures Strategy	A	Dividend	J	T	Buy	04/21/17	J		
4.		None	J	S	Buy (add'l)	07/12/17	J		
5. -Blackrock Global L/S Credit I	A	Dividend	J	T	Buy	01/26/17	J		
6. -Blackrock Low Dur BD Inv Inst	A	Dividend	K	T	Buy	01/26/17	K		
7.		None	K	T	Buy (add'l)	07/12/17	J		
8.		None	K	T	Buy (add'l)	12/22/17	J		
9. -Alps ETF Riverfront STR Inc		None			Sold	01/26/17	J	A	
10. -Blackrock Alt Mult-Strat Inst	A	Dividend	K	T	Buy	01/26/17	K		
11. -Cambiar Intl Equity Inv		None	K	T	Buy	04/21/17	K		
12.		None			Sold (part)	07/12/17	J	A	
13.		None			Sold (part)	11/28/17	J	A	
14.	A	Distribution	K	T			J	A	
15. -Causeway Emerging Mkts Inst	A	Dividend	K	T	Buy	02/02/17	K		
16.		None			Sold (part)	07/12/17	J	A	
17.		None			Sold (part)	11/28/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.		None	K	S	Buy (add'l)	12/27/17	J		
19. -AT&T Inc.		None			Sold	01/26/17	J	A	
20. -Center Coast MLP Focus	A	Dividend	K	T	Buy	02/23/17	K		
21.	A	Dividend	K	T	Buy (add'l)	07/12/17	J		
22.	A	Dividend	K	T	Buy (add'l)	12/21/17	J		
23. -Cons Staples Sel Sect SPDR FD		None			Sold	07/12/17	J	A	
24. -Deutsche X-Trackers MSCI EAF	A	Dividend			Buy	01/19/17	K		Morgan Stanley
25.		None			Sold	07/12/17	K	E	
26. -E V Income Fund of Boston	A	Dividend	K	T	Buy	01/27/17	K		
27.		None			Sold (part)	07/12/17	J	D	
28.		None			Buy (add'l)	12/22/17	J		
29. -Edgewood Growth Instl	A	Dividend	J	T	Buy	01/27/17	J		
30.		None	J	T	Buy (add'l)	07/12/17	J		
31.		None	J	T	Sold (part)	11/28/17	J	A	
32.									
33.		None	J	T	Buy (add'l)	12/22/17	J		
34. -Flexshares Qualtr Divd IDX ETF	A	Dividend			Sold	07/12/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -First Trust Utls Alphadex ETF		None	J	T	Sold	07/12/17	J	A	
36. -Global X MSCI Norway ETF	A	Dividend			Sold	07/12/17	J	A	
37. -Goldman Activebeta US LC E/F		None			Sold	07/12/17	J	A	
38. -Guggenheim Bulletshares IG2023	A	Dividend			Sold	07/12/17	J	A	
39. -Harding Loevner Intl Eqty Inst	A	Dividend	K	T	Buy	02/09/17	K		
40.		None	J	T	Buy (add'l)	07/12/17	J		
41.		None			Sold (part)	11/28/17	J	A	
42.	A	Dividend	J	T	Buy (add'l)	12/22/17	J		
43.	A	Distribution	J	T	Buy (add'l)	12/27/17	J		
44. -Hartford Midcap I	A	Dividend	J	T	Buy	07/12/17	J		
45.					Sold (part)	11/28/17	J	A	
46.	A	Distribution			Buy (add'l)	12/27/17	J		
47.					Buy (add'l)	12/22/17	J		
48. -Ishares 1-3 Year Credit	A	Dividend	J	V	Sold	07/12/17	J	A	
49. -Ishares Barclays 1-3 Yr TSY BD	A	Dividend			Sold	07/12/17	J	A	
50. -Ishares Curr Hedged MSCI Japan		None			Sold	01/26/17	J	A	
51. -Ishares Intl Select Div ETF	A	Dividend			Sold	07/12/17	J	A	

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52. -iShares Russell 1000 GRW ETF	A	Dividend			Buy	03/24/17	K		
53.					Buy (add'l)	02/08/17	J		
54.					Sold	11/28/17	J	A	
55. -iShares Russell 1000 Value ETF	A	Dividend	K	T	Buy	03/03/17	K		
56.					Sold (part)	04/21/17	J	C	
57.					Sold (part)	07/12/17	J	C	
58. -iShares Russell 2000 Value ETF	A	Dividend			Buy	01/27/17	J		
59.					Sold	06/09/17	J	A	
60. -iShares Russell Midcap G ETF	A	Dividend			Buy	02/10/17	J		
61.					Sold	05/12/17	J	A	
62. -iShares Russell Midcap V ETF	A	Dividend			Buy	01/26/17	J		Morgan Stanley
63.					Sold	05/12/17	J	A	
64. -iShares TIPS Bond ETF	A	Dividend	J	T	Buy	01/12/17	J		
65.		None	J	T	Buy (add'l)	07/12/17	J		
66. -iShares US Healthcare Prov ETF		None			Sold	07/07/17	J	A	
67. -iShares US Fin Services ETS	A	Dividend			Sold	07/12/17	J	A	
68. -iShares MSCI EAFE Fund	A	Dividend			Sold	07/12/17	J	A	

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69. -Ishares MSCI EAFE Growth ETF					Sold	07/12/17	J	A	
70. -Ishares MSCI Australia ETF		None			Sold	01/26/17	J	A	
71. -Ishares Nasaq Biotech		None			Sold	01/26/17	J	A	
72. -JPMorgan Value Advantage I	A	Dividend			Buy	03/16/17	K		Morgan Stanley as agent
73.		None			Buy (add'l)	07/12/17	J		
74.		None			Sold	11/28/17	J	A	
75. -Loomis Growth Y		None	K	T	Buy	07/12/17	K		
76.		None			Sold (part)	11/28/17	J	B	
77.	A	Dividend	J	T					
78.	A	Distribution	J	T					
79.					Buy (add'l)	12/22/17	J		
80. -Matthews Asian Japan Inv	A	Dividend			Buy	01/26/17	J		Morgan Stanley as agent
81.					Sold	07/12/17	J	C	
82. -Metropolitan West Tot Ret BD	A	Dividend	K	T	Buy	01/31/17	K		
83.					Buy (add'l)	07/12/17	J		
84. -Neuberger Intrinsic Value Inst	A	Dividend	J	T	Buy	01/31/17	J		
85.					Buy (add'l)	07/12/17	J		

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86.	A	Distribution	J	T	Buy (add'l)	09/01/17	J		
87. -Oakmark Internatl I	A	Dividend	K	T	Buy	07/12/17	K		
88.		None			Sold (part)	11/28/17	J	A	
89.	A	Distribution	K	T	Buy (add'l)	12/22/17	J		
90. -Paychex Inc		None			Sold	07/12/17	J	A	
91. -Pepsico Inc	A	Dividend			Sold	07/12/17	J	A	
92. -PIMCO 0-5 H/Y Corp Bond	A	Dividend			Sold	07/12/17	J	A	
93. -PIMCO 25+ Year Zero Coupon		None			Sold	07/12/17	J	A	
94. -PIMCO Inv Grade Corp Bd	A	Int./Div.			Sold	07/12/17	J	A	
95. -iShares Nasdaq Biotech		None			Sold	01/26/17	J	A	
96. -PIMCO Short Term P	A	Dividend	J	T	Buy	01/26/17	J		
97.		None	J	T	Buy (add'l)	07/12/17	J		
98. -Powershares Aero & DEF PTF	A	Dividend			Sold	07/12/17	J	A	
99. -Powershares KBW Regional Bank		None			Sold	07/12/17	J	A	
100. -Powershares QQQ TR		None			Sold	01/26/17	J	A	
101. -Powershares S&P 500 X-Rate		None			Sold	01/26/17	J	A	
102. S&P 500 Index Fund		None			Sold	01/26/17	J	A	

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103. -SPDR BBG Bar Srt Term Hi Yield		None			Sold	07/12/17	J	A	
104. -SPDR Morgan Stanley Technology		None			Sold	07/12/17	J	A	
105. -SPDR Barcap Shrt Term HI Yield		None			Sold	07/12/17	J	A	
106. -SPDR Barclays Capital Short	A	Dividend			Sold	07/12/17	J	A	
107. -SPDR Barclays Capital High Yield	A	Dividend			Sold	07/12/17	J	A	
108. -SPDR S&P Capital Markets ETF		None			Sold	01/26/17	J	A	
109. - T Rowe Price QM US SM CP GR EQ		None	J	T	Buy	02/10/17	J		
110.		None	J	T	Buy (add'l)	07/12/17	J		
111.	A	Distribution			Sold (part)	11/28/17	J	A	
112. -UBS E-Tracs Aler		None			Sold	01/26/17	J	A	
113. -Vanguard Intermediate Term Corp		None			Sold	01/26/17	K	A	
114. -Vanguard Short-Term Corporate		None			Sold	01/26/17	J	A	
115. -Wells Fargo Special MDCP VL I	A	Int./Div.	J	T	Buy	07/12/17	J		
116. -Wisdom Tree European Hedged Equit	A	Distribution			Sold	01/26/17	J	A	
117. -Wisdom Tree Trust Japan Hedge EQ	A	Dividend	J	T	Buy	07/12/17	J		
118. Wisdom Tree LG Cap Div ETF		None			Sold	07/12/17	J	A	
119. -Wisdomtree Low P/E ETF		None			Sold	07/12/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Wisdom Tree TR ITL HDG QTLY DIV		None			Sold	07/12/17	J	A	
121. Honolulu FCU - checking and savings account	A	Interest	J	T	Buy (add'l)	12/31/17	J		
122. McDonald's Corp. (Common Stock)	A	Dividend	J	T	Buy (add'l)	08/24/17	J		
123. COVERDELL ESA									
124. -MSIF Growth A	A	Dividend	J	T	Buy (add'l)	07/12/17	J		
125.	A	Distribution	J	T	Buy (add'l)	07/12/17	J		
126. -Morgan Stanley Bank	A	Interest	J	T	Buy (add'l)	07/12/17	J		
127. MORGAN STANLEY UTMA ACCOUNT									
128. -Vanguard Dividend Appreciation	A	Dividend	J	T	Buy (add'l)	09/25/17	J		
129. -Wisdomtree TR Emerg Mkt High FD	A	Dividend	J	T	Buy (add'l)	09/29/17	J		
130. -Wisdomtree Trust Japn Hedge EQ	A	Dividend	J	T	Buy (add'l)	09/29/17	J		
131. -Walt Disney Co Hldg Co	A	Dividend	J	T	Buy (add'l)	01/19/17	J		
132. - Morgan Stanley Bank cash account	A	Interest	J	T	Buy (add'l)	12/31/17	J		
133. IRA ACCOUNT									
134. - Morgan Stanley Bank cash account	A	Interest	J	T	Buy (add'l)	08/31/17	J		
135. EV Global Macro ABS Ret C	B	Int./Div.	L	T	Buy (add'l)	09/25/17	L		
136.		None	L	T	Buy (add'l)	01/26/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - AIM Constellation A		None			Sold	01/26/17	J	A	
138. - Allianz NFJ Intl Value A		None			Sold	01/26/17	J	A	
139. - American Century Growth Advantage		None			Sold	01/26/17	J	A	
140. - DWS Real Estate Sec A (A Mutual Fund)		None			Sold	01/26/17	J	A	
141. - Enterprise Group Fund Growth PT		None			Sold	01/26/17	J	A	
142. - Focus Growth Fund B		None			Sold	01/26/17	J	A	
143. - IM Enterprise Growth FD Cl A		None			Sold	01/26/17	J	A	
144. -Invesco Diversified Dividend A		None			Sold	01/26/17	J	A	
145. - Invesco Div GW Securities A		None			Sold	01/26/17	J	A	
146. - Invesco GLB DIV GW Secur B		None			Sold	01/26/17	J	A	
147. - MS Capital Opportunities TR A		None			Sold	01/26/17	J	A	
148. - MS Dividend Grwth Securities		None			Sold	01/26/17	J	A	
149. - MS Focus Growth FD A		None			Sold	01/26/17	J	A	
150. -MS Equally Weighted S&P 500 C		None			Sold	01/26/17	J	A	
151. - MS Global Dividend -Grwth Sec B(fkn as MSDW)		None			Sold	01/26/17	J	A	
152. - MS Strategist Fund (fkn as MSDW)		None			Sold	01/26/17	J	A	
153. -iShares MSCI EAFE Fund		None			Sold	01/26/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - MSDW Dividend Growth D		None			Sold	01/26/17	J	A	
155. - MS Global Advantage Fund A		None			Sold	01/26/17	J	A	
156. - MS Global Dividend Grwth Sec D		None			Sold	01/26/17	J	A	
157. -MS Global Strategist Fund A		None			Sold	01/26/17	J	A	
158. - MS Mid-Cap Growth B		None			Sold	01/26/17	J	A	
159. - MS Multi Cap Growth Tr A		None			Sold	01/26/17	J	A	
160. - MSDW Dividend Growth Fund B		None			Sold	01/26/17	J	A	
161. - MSDW Small Cap Growth Fund B		None			Sold	01/26/17	J	A	
162. - MSDW Aggressive Equity Fund B		None			Sold	01/26/17	J	A	
163. - MSDW Competitive Edge Fund		None			Sold	01/26/17	J	A	
164. - MSDW Am Oppoturnity Fund		None			Sold	01/26/17	J	A	
165. - MSDW Growth Securities B		None			Sold	01/26/17	J	A	
166. - MSDW Global Dividend Growth D		None			Sold	01/26/17	J	A	
167. - MSDW Competitive Edge Bip B		None			Sold	01/26/17	J	A	
168. - Perkins Mid Cap Val Div		None			Sold	01/26/17	J	A	
169. - Pimco Small Cap Value Fd Cl A		None			Sold	01/26/17	J	A	
170. - Phoenix AI-Cap Growth		None			Sold	01/26/17	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Phoenix Engermann Growth A		None			Sold	01/26/17	J	A	
172. - Salomon Hi Yld BD FD Cl A		None			Sold	01/26/17	J	A	
173. -SPDR DJ Wilshire REIT ETF		None			Sold	01/26/17	J	A	
174. - Strategist Fund A		None			Sold	01/26/17	J	A	
175. ANNUITIES AND INSURANCE									
176. -VOYA Financial	A	Distribution	M	T	Buy (add'l)	12/31/17	M		
177. -Voya Intermediate Bond Portfolio		Distribution	L	T	Buy (add'l)	12/31/17	L		Morgan Stanley
178. -VY Columbia Contrarian Core Portfolio - Service		Distribution	K	T	Buy (add'l)	12/31/17	K		
179. -VY Invesco Comstock Portfolio-Service Class		Distribution	L	T	Buy (add'l)	12/31/17	L		
180. -VY Morgan Stanley Global Franchise Portfolio		Distribution	L	T	Buy (add'l)	12/31/17	L		
181. -Voya Retirement Moderate Growth		Distribution	M	T	Buy (add'l)	12/31/17	L		
182. COLLEGEBOUND 529 ACCOUNT									
183. -Invesco CollegeBound 2021-2022 Portfolio C	A	Int./Div.	J	T	Buy (add'l)	12/31/17	J		
184. WELLS FARGO INVESTMENT ACCOUNTS									
185. -Goldman Sachs Grp Inc Medium Term Notes	A	Interest	J	T	Buy (add'l)	12/31/17	J		
186. -Honolulu Waterwater Sys Bond	A	Interest	K	T	Buy (add'l)	12/31/17	K		
187. -Wells Fargo Bank cash account	A	Interest	J	T	Buy (add'l)	12/31/17	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. CASH ACCOUNTS									
189. United States Savings Bonds Series EE		None	J	T	Buy (add'l)	12/31/17	J		
190. Honolulu FCU savings and checking accounts	A	Interest	J	T	Buy (add'l)	12/31/17	J		
191. -SPDR S&P Transn ETF		None			Sold	01/26/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

CollegeBound 529 is not self-directed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leslie E. Kobayashi,**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544