

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W	2. Court or Organization USDC, District of Kansas	3. Date of Report 5/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--|--|
| 1. Visiting Professor from the Judiciary | University of Kansas School of Law (as approved by Chief Judge of my Circuit) |
| 2. Director and officer | Westward Ho, Inc. (corporation which owns [REDACTED] for [REDACTED] in Colorado) |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Lungstrum, John W

Date of Report

5/15/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	University of Kansas income for approved teaching	\$ \$8463.23
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Judges Association	Expenses for attending Board of Directors meeting, Pentagon City, Virginia [REDACTED]
2. American College of Trial Lawyers	Expenses for attending conference to speak on decline of jury trials. Colorado Springs, Colorado [REDACTED]
3.	
4.	
5.	

IV. REIMBURSEMENTS (Explanation)

I attended a Board of Directors meeting for the Federal Judges Association in Pentagon City, Virginia May 6-7, 2006. The FJA reimbursed me for the following expenses:

Expense	Amount
Hotel	\$253.58
Meals	47.12
Airfare	258.60
Transportation from airport to hotel and return	71.40
Airport parking at KCI	10.00
Round trip mileage from residence to airport plus highway toll	49.28
Gratuities	4.00
Total	\$693.98

I spoke at a conference for the American College of Trial Lawyers in Colorado Springs, Colorado, November 2-5, 2006. The ACTL reimbursed me for the following expenses:

Expense	Amount
Round trip mileage from residence to Colorado Springs, Colorado	\$539.32
Highway toll	2.30
Meals	79.46
Hotel parking	30.00
Gratuities	20.00
Total	\$671.08

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Lungstrum, John W

Date of Report

5/15/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Lungstrum, John W

Date of Report

5/15/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Morgan Stanley Liquid Asset Fund (Money Mkt)	B	Dividend	K	T	Deps. & W/ds				
2. Morgan Stanley Pacific Growth (Mutual Fund)	A	Dividend	J	T	Div Reinvest				
3. Morgan Stan. Focus Gr Fd f/k/a Am Opp Fund (Mut Fd)	A	Distribution	J	T	Div Reinvest				
4. Westar Ener Inc f/k/a Western Resources Inc. (Common stock)	B	Dividend	J	T	Sell	11-20	K	C	
5. IRA (See Attachments 1 and 2)	D	Interest	O	T					
6. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	K	T					
7. Kansas State DOT (Bond)	B	Interest	K	T					
8. Lawrence KS GO (Bond)	A	Interest	J	T					
9. Lawrence KS GO (Bond)	A	Interest	K	T					
10. Johnson Co Wtr Dist (Bond)	B	Interest	K	T					
11. Kansas St. Hwy Rev (Bond)	A	Interest	J	T					
12. Johnson Co Rev (Bond)	A	Interest	J	T					
13. Derby GO (Bond)	B	Interest	K	T					
14. Kansas St Dev U (Bond)	B	Interest	K	T					
15. Burligton Envr (Bond)	A	Interest	K	T					
16. Johnson Co Pk & Rec (Bond)	A	Interest	J	T					
17. Topeka KS GO (Bond)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Lungstrum, John W

Date of Report

5/15/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Coffeyville Electric Utility (Bond)	A	Interest	J	T					
19. Johnson Co Wtr Dist (Bond)	A	Interest	J	T					
20. Independent Bank (CD)	A	Interest	L	T	Buy	11-27	L		
21. Discover Bank (CD)	A	Interest	K	T	Buy	7-12	K		
22. Colonial Investment GDM7 (Bond)	A	Interest	K	T	Buy	2-22	K		
23.		None							
24.		None							
25.		None							
26.		None							

1. Income Gain Codes:
(See Columns B1 and D4)A = \$1,000 or less
F = \$50,001 - \$100,000B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)J = \$15,000 or less
N = \$250,001 - \$500,000K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,0003. Value Method Codes
(See Column C2)P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book ValueR = Cost (Real Estate Only)
V = OtherP4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Lungstrum, John W

Date of Report

5/15/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII:

I have treated the stock dividend reinvestment programs (lines 2 and 3) as exempt because they merely represent a change in the form of the asset.

With regard to the IRA (line 5), I have only shown interest in Column B(2) because the computer program would permit listing only one type. However, dividends and capital gains were also realized by the IRA.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Lungstrum, John W

Date of Report

5/15/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Date

May 15, 2007

ALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Attachment 1

**John W. Lungstrum
District of Kansas**

May 15, 2007

VII. Investments and Trusts continued

Listing of assets in rollover IRA as of 31 December, 2006

Description of Assets	Value Code	Value Method
US T Bd Fed Strip (Zero coupon treasury)	K	T
MSDW Liq. Asset (Money market fund)	J	T
MSDW Mid-Cap Growth FD (Mutual Fund)	K	T
Select Future Fund (Mutual Fund)	K	T
MSDW Real Estate Fund (Mutual Fund)	K	T
MSIF Small Cap Value Instl (Mutual Fund)	K	T
MSDW International Fund D (Mutual Fund)	K	T
Sempra Energy (Bond)	J	T
General Motors Acceptance Corp. (Bond)	J	T
May Dept. Stores Co. (Note)	K	T
Financing Corp. Ser 1 (Treasury)	J	T
Financing Corp. Ser D (Treasury)	J	T
MSDW S&P 500 Index (Mutual Fund)	K	T
Van Kampen Comstock I (Mutual Fund)	L	T
Fidelity Advisor Mid Cap A (Mutual Fund)	K	T
Ing Int'l Value A (Mutual Fund)	K	T
Janus Mid Cap Value FD (Mutual Fund)	K	T
S&P 500 Index Fund D (Mutual Fund)	L	T

Description of Assets	Value Code	Value Method
MSDW High Yield Securities B (Mutual Fund)	J	T
MSDW S&P 500 Index Fund B (Mutual Fund)	J	T
Davis New York Venture A (Mutual Fund)	K	T
RS Partners Fund (Mutual Fund)	J	T
SBC Communications Inc. (Bond)	J	T
MSDW Equally Weighted S&P 500 (Mutual Fund)	K	T
Oppenheimer Int'l Bond FD (Mutual Fund)	K	T
Canada Government (Bond)	K	T
EV Income Fund of Boston A (Mutual Fund)	J	T
Fidelity ADV DVRSFD Int'l A (Mutual Fund)	K	T
Forward Hoover Sm Cap Egi Inv (Mutual Fund)	J	T
Ivy Large Cap GRWA (Mutual Fund)	K	T
Oppenheimer Developing MktsA (Mutual Fund)	J	T
Phoenix Real Estate Sec A (Mutual Fund)	J	T
MS Charter Graham (Mutual Fund)	J	T
MS Charter Aspect L (Mutual Fund)	J	T
MS Spectrum Tech (Mutual Fund)	J	T

Attachment 2
John W. Lungstrum
District of Kansas

May 15, 2007

Description of Assets	Type	Date	Value Code	Gain Code
Oppenheimer Int'l Bond FD (Mutual Fund)	Buy	07/07/06	K	
Templeton Developing Mkts Fund A (Mutual Fund)	Sell	01/23/06	J	A
Canada Government (Bond)	Buy	02/21/06	K	
Allianz NFJ Sm-Cap Value A (Mutual Fund)	Sell	02/16/06	K	B
Davis Real Estate A (Mutual Fund)	Sell	02/16/06	J	B
Drefus Appreciation Fund (Mutual Fund)	Sell	02/16/06	K	B
Evergreen Int'l GRFCLA (Mutual Fund)	Sell	02/16/06	K	B
Pimco High Yield Fund A (Mutual Fund)	Sell	02/16/06	J	A
EV Income Fund of Boston A (Mutual Fund)	Buy	02/16/06	J	
Fidelity ADV DVRSFD Int'l A (Mutual Fund)	Buy	02/16/06	K	
Forward Hoover Sm Cap Eqi Inv (Mutual Fund)	Buy	02/16/06	K	
Ivy Large Cap GRW A (Mutual Fund)	Buy	02/16/06	K	

Description of Assets	Type	Date	Value Code	Gain Code
Oppenheimer Developing Mkt A (Mutual Fund)	Buy	02/16/06	J	
Phoenix Real Estate Sec A	Buy	02/16/06	J	
MSDW International Value Equity FD B (Mutual Fund)	Sell	02/14/06	J	A
MSDW International Value Equity Fund (Mutual Fund)	Sell	02/14/06	J	A
MSDW Value Fund B (Mutual Fund)	Sell	07/10/06	J	A
MS Charter Graham (Mutual Fund)	Buy	07/14/06	J	
MS Charter Aspect L (Mutual Fund)	Buy	07/14/06	J	
Cornerstone Fund (Mutual Fund)	Sell	03/14/06	J	A
MS Spectrum Tech (Mutual Fund)	Buy	03/14/06	J	
Spectrum Global Bal (Mutual Fund)	Sell	08/14/06	J	A
MSDW Value Added Equity (Mutual Fund)	Sell	02/14/06	K	A