

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Linn, Richard	2. Court or Organization U.S. Court of Appeals for the Federal Circuit	3. Date of Report 04/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Court of Appeals for the Federal Circuit 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	The Richard Linn American Inn of Court
2.	Visiting Member	The Hon. William C. Conner American Inn of Court
3.	Lifetime Member	The Benjamin Franklin American Inn of Court
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. The Benjamin Franklin American Inn of Court	March 21-22, 2013	Philadelphia, PA	Inn of Court Meeting	Transportation, Meals, and Hotel
2. New York Intellectual Property Law Association	March 22-25, 2013	New York, NY	Annual Judges Dinner	Transportation, Meals, and Hotel
3. The Richard Linn American Inn of Court	April 11-12, 2013	Chicago, IL	Inn of Court Meeting	Transportation, Meals, and Hotel
4. Chicago Kent Law School	April 12-13, 2013	Chicago, IL	Patent Conference	Transportation, Meals, and Hotel
5. Federal Circuit Bar Association	June 19-22, 2013	Colorado Springs, CO	Bench & Bar Conference	Transportation, Meals, and Hotel

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6.	Richard Linn American Inn of Court	June 29-30, 2013	Chicago, IL	Inn of Court Annual Dinner	Transportation, Meals, and Hotel
7.	The Q. Todd Dickinson American Inn of Court	September 16-17, 2013	Pittsburgh, PA	Inn of Court Meeting	Transportation, Meals, and Hotel
8.	Intellectual Property Law Association of Chicago	November 8-9, 2013	Chicago, IL	Annual Judges Dinner	Transportation, Meals, and Hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Intellectual Property Law Association of Chicago	Italian Lead Crystal Vase Award	\$771.61
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of American Cash Equivalent Account	A	Interest	J	T					
2. Brokerage Account #7									
3. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
4. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
5. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
6. Brokerage Account #9									
7. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
8. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
9. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
10. -American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
11. Brokerage Account #10									
12. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					
13. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
14. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
15. -American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
16. Brokerage Account # 11									
17. -American Funds: AMCAP Fund Class 529A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -American Funds: EuroPacific Growth Fund Class 529A	A	Dividend	J	T					
19. -American Funds: Washington Mutual Invest. Fund Class 529A	A	Dividend	J	T					
20. -American Funds: Intermediate Bond Fund of America Cl. 529A	A	Dividend	J	T					
21. Brokerage Account #13									
22. -American Funds Growth Fund	A	Dividend			Sold	08/05/13	M		
23. -Baillie Gifford International Stock Portfolio	A	Dividend			Sold	08/05/13	M		
24. -Neuberger Berman Genesis Portfolio		None			Sold	08/05/13	L		
25. -Davis Venture Value Portfolio		None			Sold	08/05/13	N		
26. -Clarion Global Real Estate Portfolio		None			Sold	08/05/13	K		
27. -MetLife Mid Cap Stock Index Portfolio		None	M	T	Buy	08/05/13	M		
28. -MetLife Stock Index Portfolio		None	N	T	Buy	08/05/13	N		
29. -Russell 2000 Index Portfolio		None	M	T	Buy	08/05/13	M		
30. Brokerage Account #14									
31. -T.Rowe Price Small Cap Growth Portfolio		None			Sold	02/21/13	K	E	
32. -T.Rowe Price Mid Cap Growth Portfolio		None			Sold	02/21/13	K	E	
33. -Lord Abbett Mid Cap Value Portfolio		None			Sold	02/21/13	K	E	
34. GE Capital Retail Bank, formerly Met Life Bank Money Market Account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Page 6, line 34 - Met Life Bank was acquired by GE Capital Retail Bank in 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard Linn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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