

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herrera, Judith C.	2. Court or Organization U.S. District Court, NM	3. Date of Report 03/14/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States District Court P.O. Box 2085 Santa Fe, NM 87504-2085	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Office Building - Rental Property #1	N
2. Charles Schwab	Margin Account	None
3. Charles Schwab	Margin Account	None
4. Las Campanas Limited Partnership	Lot	M
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS --income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Account	A	Interest	K	T					
2. Rental Property #1 Santa Fe, NM Purch. 0 3/30/94 \$516,642.	G	Rent	P1	W					
3. [REDACTED] Ptnrship #1 Santa Fe, NM	E	Interest	P1	W					
4.									
5. Lines 6 thru 173 below are Charles Schwab account.									
6. Janus Overseas Fund		None			Sold	1/16	L		
7. Metzler/Payden European Emerging Market s Fund		None			Sold	1/16	L		
8. Apple Inc		None			Buy (add'l)	1/16	J		
9.					Buy (add'l)	1/22	J		
10.					Buy (add'l)	1/23	K		
11.					Buy (add'l)	1/24	J		
12.					Buy (add'l)	1/28	J		
13.					Buy (add'l)	3/3	J		
14.					Buy (add'l)	3/5	J		
15.					Buy (add'l)	4/22	J		
16.					Buy (add'l)	6/2	J		
17.					Buy (add'l)	6/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	6/9	J		
19.					Buy (add'l)	7/18	J		
20.					Buy (add'l)	7/21	J		
21.					Buy (add'l)	7/22	J		
22.					Buy (add'l)	7/30	J		
23.					Buy (add'l)	8/29	J		
24.					Buy (add'l)	9/2	J		
25.					Buy (add'l)	9/4	J		
26.					Buy (add'l)	9/8	J		
27.					Buy (add'l)	9/15	J		
28.					Buy (add'l)	9/22	J		
29.					Sold	9/23	M		
30.					Buy	10/7	K		
31.					Sold	10/8	K		
32.					Buy	10/27	K		
33.					Buy (add'l)	12/15	J		
34. Celanese Corp	A	Dividend			Sold	1/16	J		

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35. Chesapeake Energy Corp	A	Dividend			Buy	3/25	J		
36.					Buy (add'l)	4/10	J		
37.					Buy (add'l)	4/23	J		
38.					Buy (add'l)	5/15	J		
39.					Buy (add'l)	5/19	J		
40.					Buy (add'l)	5/21	J		
41.					Buy (add'l)	5/23	J		
42.					Buy (add'l)	7/2	K		
43.					Buy (add'l)	8/7	J		
44.					Buy (add'l)	8/8	J		
45.					Sold	8/15	K		
46. Citigroup Inc		None			Buy (add'l)	1/3	J		
47.					Sold	1/15	J		
48. First Solar Inc		None			Buy	4/9	J		
49.					Buy (add'l)	4/24	J		
50.					Sold	5/1	J		
51. Fluor Corporation	A	Dividend			Buy	5/27	J		

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52.					Buy (add'l)	5/28	J		
53.					Buy (add'l)	5/29	J		
54.					Buy (add'l)	6/2	J		
55.					Sold	7/22	J		
56. Foster Wheeler Corporation		None			Buy (add'l)	2/21	J		
57.					Buy (add'l)	2/26	J		
58.					Buy (add'l)	2/27	J		
59.					Buy (add'l)	3/26	J		
60.					Sold	5/01	K		
61.					Buy	11/5	J		
62.					Sold	11/11	J		
63. Freeport McMoran Copper	A	Dividend			Sold	1/23	K		
64. Google		None			Buy	4/17	J		
65.					Sold	4/22	J		
66.					Buy	10/27	K		
67.					Buy (add'l)	11/10	J		
68. Holly Corp		None			Buy	5/8	J		

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69.					Buy (add'l)	5/13	J		
70.					Sold	5/19	J		
71. Humana Inc		None			Buy	3/12	J		
72.					Buy (add'l)	3/14	J		
73.					Sold	3/24	J		
74. Intel Corp	A	Dividend			Buy	1/16	J		
75.					Sold	2/25	J		
76. Intuitive Surgical		None			Buy	4/18	J		
77.					Buy (add'l)	4/21	J		
78.					Buy (add'l)	4/22	J		
79.					Sold	6/6	J		
80. Lehman Bros Holding Inc		None			Buy	7/11	J		
81.					Sold	7/22	J		
82. McDermott Intl Inc.		None			Buy (add'l)	4/30	J		
83.					Buy (add'l)	5/1	J		
84.					Sold (part)	8/4	L		
85.					Buy (add'l)	8/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
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86.					Buy (add'l)	8/7	J		
87.					Buy (add'l)	8/8	J		
88.					Buy (add'l)	8/12	J		
89.					Sold	8/15	K		
90.					Buy	9/19	K		
91.					Sold	9/23	J		
92.					Buy	10/6	K		
93.					Sold	10/7	K		
94.					Buy	10/24	K		
95.					Buy (add'l)	10/27	K		
96.					Buy (add'l)	11/3	J		
97.					Sold	11/11	K		
98. Manitowoc Company Inc		None			Sold	1/16	K		
99. Masimo Corp		None			Buy (add'l)	1/22	J		
100.					Buy (add'l)	1/25	J		
101.					Sold (part)	2/08	J		
102.					Sold	2/11	K		

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103. MEMC Electronic Materials		None			Buy (add'l)	1/16	J		
104.					Buy (add'l)	1/24	J		
105.					Buy (add'l)	1/28	J		
106.					Buy (add'l)	3/27	J		
107.					Sold (part)	4/18	J		
108.					Sold (part)	4/22	J		
109.					Sold (part)	4/23	K		
110.					Sold	4/28	K		
111. Occidental Petroleum Corp	A	Dividend			Buy	5/7	J		
112.					Buy (add'l)	6/3	J		
113.					Buy (add'l)	6/18	J		
114.					Sold	7/3	J		
115. Open Joint Stock Company ADRF	A	Dividend			Buy (add'l)	1/16	J		
116.					Sold	5/1	K		
117. Petroleo Brasileiro	A	Dividend			Buy	1/3	J		
118.					Buy (add'l)	1/16	J		
119.					Buy (add'l)	2/1	J		

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120.					Buy (add'l)	2/8	J		
121.					Buy (add'l)	2/29	J		
122.					Buy (add'l)	3/4	J		
123.					Buy (add'l)	3/26	J		
124.					Buy (add'l)	4/16	J		
125.					Buy (add'l)	4/23	J		
126.					Buy (add'l)	5/1	J		
127.					Buy (add'l)	7/10	J		
128.					Sold (part)	7/18	J		
129.					Sold	7/24	K		
130. Precision Castparts Corp	A	Dividend			Buy (add'l)	1/22	J		
131.					Buy (add'l)	1/28	J		
132.					Buy (add'l)	2/8	J		
133.					Buy (add'l)	2/25	J		
134.					Sold (part)	3/13	J		
135.					Sold	3/24	K		
136. Research in Motion Ltd		None			Buy	10/7	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Herrera, Judith C.

Date of Report

03/14/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	10/7	K		
138. Quintana Maritime		None			Sold	1/16	J		
139. Southern Copper Corp		None			Sold	1/16	J		
140. Schlumberger Ltd	A	Dividend			Buy	1/18	J		
141.					Sold	3/4	J		
142. CIA Siderurgica SPN ADRF	A	Dividend			Buy	4/10	J		
143.					Buy (add'l)	4/23	J		
144.					Buy (add'l)	5/1	J		
145.					Buy (add'l)	5/2	J		
146.					Sold	7/2	K		
147. Terra Industries Inc	A	Dividend			Buy (add'l)	1/16	J		
148.					Buy (add'l)	2/27	J		
149.					Buy (add'l)	2/29	J		
150.					Buy (add'l)	3/04	J		
151.					Buy (add'l)	4/2	J		
152.					Buy (add'l)	4/16	J		
153.					Sold (part)	5/1	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Herrera, Judith C.

Date of Report

03/14/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	5/8	J		
155.					Buy (add'l)	5/21	J		
156.					Buy (add'l)	7/24	J		
157.					Buy (add'l)	7/25	J		
158.					Buy (add'l)	8/4	J		
159.					Sold	9/4	K		
160. Thornburg Mortgage Inc		None			Buy	3/6	J		
161.					Buy (add'l)	3/7	J		
162.					Buy (add'l)	3/11	J		
163.					Buy (add'l)	3/12	J		
164.					Buy (add'l)	3/13	J		
165.					Sold (part)	5/1	J		
166.					Sold	6/13	J		
167. Transocean Inc		None			Buy (add'l)	1/29	J		
168.					Buy (add'l)	2/1	J		
169.					Buy (add'l)	2/21	J		
170.					Sold	3/24	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Herrera, Judith C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Yahoo Inc		None			Buy	2/11	K		
172.					Sold (part)	2/27	J		
173.					Sold	3/4	J		
174.									
175. Herrera, Long, Pound & Komer Profit Sharing Plan	A	Distribution	K	T					
176. Bank of America Profit Sharing Plan	A	Interest	J	T					
177. Ameriprise Financial RVS Large Cap Equity Fund		None	K	T					
178. NW Mutual Life Ins. [REDACTED]		None	K	T					
179. NW Mutual Life Ins. [REDACTED]		None	L	T					
180. NW Mutual Life Ins. [REDACTED]		None	L	T					
181. NW Mutual Life Ins. [REDACTED]		None	L	T					
182. Fidelity Select Teleco		None	J	T					
183.									
184. ING Life Ins & Annuity Co [REDACTED]	F	Distribution			Distributed	7/22	L		
185. Ameriprise Financial RVS Large Cap Equity Fund [REDACTED]		None	J	T					
186. NW Mutual Variable Extra Ordinary Life [REDACTED]		None	K	T					
187. NW Mutual Variable Extra Ordinary Life [REDACTED]		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. NW Mutual Variable Extra Ordinary Life [REDACTED]		None	J	T					
189. Long, Pound & Komer Profit Sharing Plan (RVS Large Cap)	D	Distribution			Distributed	2/04	J		
190.									
191.									
192.									
193.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

Herrera, Judith C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herrera, Judith C.	2. Court or Organization U.S. District Court, NM	3. Date of Report 03/14/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States District Court P.O. Box 2085 Santa Fe, NM 87504-2085	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Name of Person Reporting

Herrera, Judith C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

Herrera, Judith C.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Office Building - Rental Property #1	N
2. Charles Schwab	Margin Account	None
3. Charles Schwab	Margin Account	None
4. Las Campanas Limited Partnership	Lot	M
5.		

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Name of Person Reporting

Herrera, Judith C.

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03/14/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Account	A	Interest	K	T					
2. Rental Property #1 Santa Fe, NM Purch. 0 3/30/94 \$516,642.	G	Rent	P1	W					
3. [REDACTED] Ptnrship #1 Santa Fe, NM	E	Interest	P1	W					
4.									
5. Lines 6 thru 173 below are Charles Schwab account.									
6. Janus Overseas Fund		None			Sold	1/16	L		
7. Metzler/Payden European Emerging Market s Fund		None			Sold	1/16	L		
8. Apple Inc		None			Buy (add'l)	1/16	J		
9.					Buy (add'l)	1/22	J		
10.					Buy (add'l)	1/23	K		
11.					Buy (add'l)	1/24	J		
12.					Buy (add'l)	1/28	J		
13.					Buy (add'l)	3/3	J		
14.					Buy (add'l)	3/5	J		
15.					Buy (add'l)	4/22	J		
16.					Buy (add'l)	6/2	J		
17.					Buy (add'l)	6/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Herrera, Judith C.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	6/9	J		
19.					Buy (add'l)	7/18	J		
20.					Buy (add'l)	7/21	J		
21.					Buy (add'l)	7/22	J		
22.					Buy (add'l)	7/30	J		
23.					Buy (add'l)	8/29	J		
24.					Buy (add'l)	9/2	J		
25.					Buy (add'l)	9/4	J		
26.					Buy (add'l)	9/8	J		
27.					Buy (add'l)	9/15	J		
28.					Buy (add'l)	9/22	J		
29.					Sold	9/23	M		
30.					Buy	10/7	K		
31.					Sold	10/8	K		
32.					Buy	10/27	K		
33.					Buy (add'l)	12/15	J		
34. Celanese Corp	A	Dividend			Sold	1/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Chesapeake Energy Corp	A	Dividend			Buy	3/25	J		
36.					Buy (add'l)	4/10	J		
37.					Buy (add'l)	4/23	J		
38.					Buy (add'l)	5/15	J		
39.					Buy (add'l)	5/19	J		
40.					Buy (add'l)	5/21	J		
41.					Buy (add'l)	5/23	J		
42.					Buy (add'l)	7/2	K		
43.					Buy (add'l)	8/7	J		
44.					Buy (add'l)	8/8	J		
45.					Sold	8/15	K		
46. Citigroup Inc		None			Buy (add'l)	1/3	J		
47.					Sold	1/15	J		
48. First Solar Inc		None			Buy	4/9	J		
49.					Buy (add'l)	4/24	J		
50.					Sold	5/1	J		
51. Fluor Corporation	A	Dividend			Buy	5/27	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	5/28	J		
53.					Buy (add'l)	5/29	J		
54.					Buy (add'l)	6/2	J		
55.					Sold	7/22	J		
56. Foster Wheeler Corporation		None			Buy (add'l)	2/21	J		
57.					Buy (add'l)	2/26	J		
58.					Buy (add'l)	2/27	J		
59.					Buy (add'l)	3/26	J		
60.					Sold	5/01	K		
61.					Buy	11/5	J		
62.					Sold	11/11	J		
63. Freeport McMoran Copper	A	Dividend			Sold	1/23	K		
64. Google		None			Buy	4/17	J		
65.					Sold	4/22	J		
66.					Buy	10/27	K		
67.					Buy (add'l)	11/10	J		
68. Holly Corp		None			Buy	5/8	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	5/13	J		
70.					Sold	5/19	J		
71. Humana Inc		None			Buy	3/12	J		
72.					Buy (add'l)	3/14	J		
73.					Sold	3/24	J		
74. Intel Corp	A	Dividend			Buy	1/16	J		
75.					Sold	2/25	J		
76. Intuitive Surgical		None			Buy	4/18	J		
77.					Buy (add'l)	4/21	J		
78.					Buy (add'l)	4/22	J		
79.					Sold	6/6	J		
80. Lehman Bros Holding Inc		None			Buy	7/11	J		
81.					Sold	7/22	J		
82. McDermott Intl Inc.		None			Buy (add'l)	4/30	J		
83.					Buy (add'l)	5/1	J		
84.					Sold (part)	8/4	L		
85.					Buy (add'l)	8/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	8/7	J		
87.					Buy (add'l)	8/8	J		
88.					Buy (add'l)	8/12	J		
89.					Sold	8/15	K		
90.					Buy	9/19	K		
91.					Sold	9/23	J		
92.					Buy	10/6	K		
93.					Sold	10/7	K		
94.					Buy	10/24	K		
95.					Buy (add'l)	10/27	K		
96.					Buy (add'l)	11/3	J		
97.					Sold	11/11	K		
98. Manitowoc Company Inc		None			Sold	1/16	K		
99. Masimo Corp		None			Buy (add'l)	1/22	J		
100.					Buy (add'l)	1/25	J		
101.					Sold (part)	2/08	J		
102.					Sold	2/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. MEMC Electronic Materials		None			Buy (add'l)	1/16	J		
104.					Buy (add'l)	1/24	J		
105.					Buy (add'l)	1/28	J		
106.					Buy (add'l)	3/27	J		
107.					Sold (part)	4/18	J		
108.					Sold (part)	4/22	J		
109.					Sold (part)	4/23	K		
110.					Sold	4/28	K		
111. Occidental Petroleum Corp	A	Dividend			Buy	5/7	J		
112.					Buy (add'l)	6/3	J		
113.					Buy (add'l)	6/18	J		
114.					Sold	7/3	J		
115. Open Joint Stock Company ADRF	A	Dividend			Buy (add'l)	1/16	J		
116.					Sold	5/1	K		
117. Petroleo Brasileiro	A	Dividend			Buy	1/3	J		
118.					Buy (add'l)	1/16	J		
119.					Buy (add'l)	2/1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	2/8	J		
121.					Buy (add'l)	2/29	J		
122.					Buy (add'l)	3/4	J		
123.					Buy (add'l)	3/26	J		
124.					Buy (add'l)	4/16	J		
125.					Buy (add'l)	4/23	J		
126.					Buy (add'l)	5/1	J		
127.					Buy (add'l)	7/10	J		
128.					Sold (part)	7/18	J		
129.					Sold	7/24	K		
130. Precision Castparts Corp	A	Dividend			Buy (add'l)	1/22	J		
131.					Buy (add'l)	1/28	J		
132.					Buy (add'l)	2/8	J		
133.					Buy (add'l)	2/25	J		
134.					Sold (part)	3/13	J		
135.					Sold	3/24	K		
136. Research in Motion Ltd		None			Buy	10/7	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	10/7	K		
138. Quintana Maritime		None			Sold	1/16	J		
139. Southern Copper Corp		None			Sold	1/16	J		
140. Schlumberger Ltd	A	Dividend			Buy	1/18	J		
141.					Sold	3/4	J		
142. CIA Siderurgica SPN ADRF	A	Dividend			Buy	4/10	J		
143.					Buy (add'l)	4/23	J		
144.					Buy (add'l)	5/1	J		
145.					Buy (add'l)	5/2	J		
146.					Sold	7/2	K		
147. Terra Industries Inc	A	Dividend			Buy (add'l)	1/16	J		
148.					Buy (add'l)	2/27	J		
149.					Buy (add'l)	2/29	J		
150.					Buy (add'l)	3/04	J		
151.					Buy (add'l)	4/2	J		
152.					Buy (add'l)	4/16	J		
153.					Sold (part)	5/1	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	5/8	J		
155.					Buy (add'l)	5/21	J		
156.					Buy (add'l)	7/24	J		
157.					Buy (add'l)	7/25	J		
158.					Buy (add'l)	8/4	J		
159.					Sold	9/4	K		
160. Thornburg Mortgage Inc		None			Buy	3/6	J		
161.					Buy (add'l)	3/7	J		
162.					Buy (add'l)	3/11	J		
163.					Buy (add'l)	3/12	J		
164.					Buy (add'l)	3/13	J		
165.					Sold (part)	5/1	J		
166.					Sold	6/13	J		
167. Transocean Inc		None			Buy (add'l)	1/29	J		
168.					Buy (add'l)	2/1	J		
169.					Buy (add'l)	2/21	J		
170.					Sold	3/24	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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171. Yahoo Inc		None			Buy	2/11	K		
172.					Sold (part)	2/27	J		
173.					Sold	3/4	J		
174.									
175. Herrera, Long, Pound & Komer Profit Sharing Plan	A	Distribution	K	T					
176. Bank of America Profit Sharing Plan	A	Interest	J	T					
177. Ameriprise Financial RVS Large Cap Equity Fund		None	K	T					
178. NW Mutual Life Ins. [REDACTED]		None	K	T					
179. NW Mutual Life Ins. [REDACTED]		None	L	T					
180. NW Mutual Life Ins. [REDACTED]		None	L	T					
181. NW Mutual Life Ins. [REDACTED]		None	L	T					
182. Fidelity Select Teleco		None	J	T					
183.									
184. ING Life Ins & Annuity Co [REDACTED]	F	Distribution			Distributed	7/22	L		
185. Ameriprise Financial RVS Large Cap Equity Fund [REDACTED]		None	J	T					
186. NW Mutual Variable Extra Ordinary Life [REDACTED]		None	K	T					
187. NW Mutual Variable Extra Ordinary Life [REDACTED]		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div...rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. NW Mutual Variable Extra Ordinary Life [REDACTED]		None	J	T					
189. Long, Pound & Komer Profit Sharing Plan (RVS Large Cap)	D	Distribution			Distributed	2/04	J		
190.									
191.									
192.									
193.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544