

United States Court of Appeals
for the Federal Circuit

Chambers of
Glenn L. Archer, Jr.
Senior Circuit Judge

717 Madison Place, N.W.
Washington, D.C. 20439
Phone: 202-633-5846

September 15, 2008

Honorable Ortrie D. Smith, Chair
Committee on Financial Disclosure
Judicial Conference of the United States
One Columbus Circle, NE
Washington, D.C. 20544

RECEIVED
2008 SEP 24 A 10:57
FINANCIAL
DISCLOSURE OFFICE

Re: Response to August 12, 2008 Letter

Dear Judge Smith:

In response to your letter of August 12, 2008, please find the requested information below.

The asset "Rental Property – Las Vegas" listed at Part VII, page 6, line 50, was purchased on September 30, 2003, for \$89,000.

The asset "Baltimore Md Port Bond 1 Dec 10" listed in Part VII, page 7, line 56 in my 2006 report should be inserted after line 56 on the current report as 56a. It was inadvertently left off the 2007 report.

I apologize for the delay in providing the necessary information to review and close my 2007 Report.

Sincerely,



Glenn L. Archer, Jr.
Senior Circuit Judge

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Archer, Jr., Glenn L	2. Court or Organization Ct. of Appeals Federal Circuit	3. Date of Report 06/22/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 717 Madison Pl., N.W. Washington, D.C., 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Sec'y-Treas.	Glenmar Farms (farm) Yellow Springs, W. Va.
2. Trustee	Sherman Foundation (Charitable Foundation) Newport Beach, Ca.
3. Trustee	Trust #2 (See part VII)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
2008 JUL 18 A 11:13
ETHICS ADVISORY OFFICE
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. monthly	Alaska retirement annuity
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Honorary Membership	University Club, Washington,DC	\$ 1500
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Colchester Corp. com		None	J	W					
2. Glenmar Farms Inc. com		None	L	W					
3. IRA #1 - E-Trade	A	Int & Div	L	T					
4. Apple-com					buy	1/27	J		
5. _Microsoft com					Partial sale	4/18	J	A	
6. _Cisco com					Partial sale	4/18	J	A	
7. - Altria com									
8. -Kr aft- Cl A					Spin-off	3/16			
9. - Lowes com					sell	10/1	J	A	
10. - Capital One Financial					sold	4/26	J	A	
11. _E-Trade Money Market Funds									
12. IRA #2 - E-Trade	A	Int & Div	L	T					
13. _Cisco com									
14. _Intel com									
15. _Mariott com									
16. _Microsoft com									
17. _Applied Materials com									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Petsmart-com					buy	2/28	J		
19. _E-Trade Money Market Funds									
20. E--Trade - Brokerage Acct									
21. _Microsoft com	A	Dividend	J	T					
22. -ACM Inc Fd	A	Dividend	J	T					
23. -Am Cent GNMA Fd	A	Interest	J	T					
24. -Cohen & Steers REIT Fd	A	Dividend	J	T					
25. Dodge & Cox Inc Fd	A	Dividend	J	T					
26. -Edison International	A	Dividend	J	T					
27. -Hawaiian Elec Inds	A	Dividend	J	T					
28. -Hyperion Tot Rt Fd	A	Dividend	J	T					
29. -Peyder & Rygel GNMA Fd	A	Dividend	J	T					
30. -Rite Aid Corp	A	Dividend	J	T					
31. -Southern Co	A	Dividend	J	T					
32. -Vangard GNMA Fd	A	Interest	J	T					
33. -Wachovia Pref Series A	A	Dividend	J	T					
34. -US Treas Bond 11/15/21	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. _E-Trade Money Market Funds	C	Interest	M	T					
36. Trust #1 (JEWL Tr) at E-Trade	D	Int & Div	N	T					
37. -Alliance Bernstein					Partial sale		L	F	See Part VIII
38. _American El Power com									
39. _Cisco com									
40. _Intel com									
41. _Equity One com									
42. _Microsoft com									
43. _Pfizer com					sell		K	D	See Part VIII
44. -Altria					Buy	3/1	J		
45. -Kraft CI A					Spin=off	3/16			
46. -Goldman Sachs					Buy	3/1	K		
47. -Halliburton					Buy	3/1	J		
48. E-Trade Money Market Funds									
49. Real Property -Fawnskin, Ca		None	N	W					
50. Real Property - Las Vegas, NV	B	Rent	L	R					
51. Trust #2 -at E-Trade	D	Int & Div	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000	D =\$5,001 - \$15,000 I12 =More than \$5,000,000 M =\$100,001 - \$250,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. E-Trade Money Market Funds									
53. _Queen Annes Co Md Scl Bonds 15Jan13									
54. _Md St Comm Dev Bond 1Apr13									
55. _Baltimore Co Pub Imp Bonds 15 Oct15									
56. _Md St Health Bond 15Aug18									
57. _Md St Hlth Easton Bond 1Jul19									
58. _Md St Indl Dev Bond 15Aug20									
59. _St Marys Coll Md Bonds 1Sept20					redeemed	9/4	J	A	
60. _Balt Co Md Met Bond 1Jun23									
61. _Montgomery Co Md Bonds 15Feb24									
62. _Baltimore Md Rev Bonds 1Jul28d									
63. _Md St Hlth Hghr Ed Bonds 1Jul27									
64. _St Marys Coll Md Bonds 1Sept27					redeemed	9/4	K	A	
65. _Md St Hlth Bonds 15Aug28									
66. _Md St Hlth Hghr Ed Bonds 1Jul29									
67. _Md St Hlth Hef Bonds 1Jan33									
68. _Bank of America com					sell	11/27	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. _Constellation Energy com					partial sell	11/27	K	E	
70. _Dominion Resources com					sell	11/27	L	E	
71. _FPL Group com					partial sell	11/27	K	C	
72. _Hospitality Pptys Trust com					sell	11/27	J	A	
73. _Host Marriott Corp com					sell	11/27	J	C	
74. _K Mart com					sell	1/25	J	A	
75. _Mills Corp com					sell	5/7	J	A	
76. _Mirant Corp com					sell	1/25	J	A	
77. _McDonalds com					sell	11/27	J	C	
78. _Scana Corp com					sell	11/27	J	C	
79. _Verizon com					sell	11/27	J	A	
80. _Zimmer Holdings com					sell	11/27	J	B	
81. _Wal-Mart com					sell	11/27	K	D	
82. _Westar Energy com					sell	11/27	J	A	
83. Trust #2 cont'd - (Not in E-Trade Acct)									
84. -Real Property Norton Co Ks	D	Rent & Rlty	N	W					
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

For Part VII II, 37 and 43, the trade dates are not currently available. They will be furnished when records can be obtained from my residence and sent to me at my [REDACTED] home.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Archer, Jr., Glenn L

Date of Report

06/22/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

A large black rectangular redaction box covering the signature.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544