

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) QUIST, GORDON J.	2. Court or Organization DISTRICT CT-WESTERN DIST, MICH	3. Date of Report 05/08/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 482 GRAND RAPIDS FORD FEDERAL COURTHOUSE 110 MICHIGAN NW GRAND RAPIDS MI 49503-2363	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 9/18/2009	CHARLES SCHWAB & CO.-IRA DISBRIBUTION-NORMAL	\$11,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. United States Russia Foundation	6/13/09 to 6/20/09	Russia	Met with Russian judges on judicial ethics and discipline.	Transportation, food, lodging and one boat trip.
2. United States Russia Foundation	9/8/09 to 9/9/09	Chicago, IL	Met with Russian judges on judicial ethics and discipline.	Transportation, food and lodging.
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHASE BANK	A	Interest	J	T					
2. CHASE BANK	A	Interest	J	T					
3. JOHN HANCOCK LIFE INSURANCE (WHOLE LIFE)		None			Redeemed	8/1/2009	J	D	
4. NORTHWEST MUTUAL LIFE INS (WHOLE LIFE)		None	M	T					
5. INDEPENDENT BANK	A	Interest	J	T					
6. BROKERAGE ACCT #2									
7. LOOMIS SAYLES BOND CL 1		None			Sold	1/21/09	J		
8. ARTIO INTL EQUITY FD CL		None			Sold	01/21/09	J		
9. SCHWABDEPOSIT ACCOUNT	A	Interest	L	T					
10. RESPONSE GENETICS INC		None	J	T	Sold (part)	10/20/09	J		
11.					Buy (add'l)	1/21/09	J		
12. GE MONEY BANK NA 3.75% 09 CD FDIC INS DUE 7/9/09	A	Interest			Matured	07/09/09	K		
13. GE MONEY BANK NA 3.2% 09 FDIC INS DUE 1/9/09	A	Interest			Matured	01/09/09	K		
14. SECURITY B&T 3.15% 09 CD FDIC INS DUE 1/21/09	A	Interest			Matured	01/21/09	J		
15. GE CAPITAL FIN 2.9% 09 CD FDIC INS DUE 2/13/09	A	Interest			Matured	02/13/09	K		
16. WACHOVIA MRTGE BK 2.85% 08 CD FDIC INS DUE 3/30/09	A	Interest			Matured	03/30/09	K		
17. MORGAN STANLEY 3.35% 09 CD FDIC INS DUE 7/8/09	A	Interest			Matured	07/08/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. WILMINGTON TR BK 2.6% 09 CD FDIC INS DUE 4/27/09	A	Interest			Matured	04/27/09	K		
19. GMAC BANK UT NA 3.25% 09 CD FDIC INS DUE 8/28/09	A	Interest			Matured	8/28/09	K		
20. COMM BANK NV NA 3.55% 09 CD FDIC INS DUE 2/26/10	A	Interest			Redeemed	8/14/09	K		
21. COMPASS BANK NA 1.8% 09 CD FDIC INS DUE 5/20/09	A	Interest			Matured	05/20/09	J		
22. KIMBERLY-CLARK CORP		None	J	T	Buy	11/23/09	J		
23. TECO ENERGY INC		None	J	T	Buy	11/30/09	J		
24. UGI CORPORATION NEW		None	J	T	Buy	9/23/09	J		
25. SCHWAB GNMA FUND INVESTOR SHARES	A	Dividend			Buy	7/1/09	J		
26.					Merged (with line 27)	8/10/09	J		
27. SCHWAB GNMA FUND SELECT SHARES	A	Dividend	K	T	Buy	7/20/09	J		
28.					Buy (add'l)	8/4/09	J		
29.					Buy (add'l)	9/28/09	J		
30.					Buy (add'l)	10/7/09	J		
31. SCHWAB PREMIER INCOME FD	A	Dividend	K	T	Buy	8/4/09	J		
32.					Buy (add'l)	8/31/09	J		
33.					Buy (add'l)	9/18/09	J		
34. THIRD AVENUE FOCUSED CREDIT FD INV CL	A	Dividend	K	T	Buy	9/8/09	J		

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2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	9/18/09	J		
36.					Buy (add'l)	10/12/09	J		
37. POWERSHS DB COMMDTY INDX		None	J	T	Buy	11/17/09	J		
38. POWERSHS DB MULTI SECTOR (DBO)		None	J	T	Buy	9/16/09	J		
39. BEAL BANK NV NA 1.05% 09 CD FDIC INS DUE 7/22/09	A	Interest			Buy	1/21/09	K		
40.					Matured	7/22/09	K		
41. EAST WEST BANK .085% 09 CD FDIC INS DUE 3/26/09	A	Interest			Buy	1/21/09	K		
42.					Matured	03/26/09	K		
43. NY COMMUNITY BANK .7% 09 CD FDIC INS DUE 6/25/09	A	Interest			Buy	2/17/09	J		
44.					Matured	06/25/09	J		
45. CATHAY BANK NA 1.2% 10 CD FDIC INS DUE 4/6/10		None	J	T	Buy	3/23/09	J		
46. BEAL BANK NA .3% 09 CD FDIC INS DUE 12/16/09	A	Interest			Buy	8/10/09	J		
47.					Matured	12/16/09	J		
48. BEAL BANK NA .65% 10 CD FDIC INS DUE 7/7/10		None	J	T	Buy	10/2/09	J		
49. WILMINGTON TR BK .4% 10 CD FDIC INS DUE 4/30/10		None	J	T	Buy	10/20/09	J		
50. WILMINGTON TR BK .4% 10 CD FDIC INS DUE 4/30/10		None	J	T	Buy	10/27/09	J		
51. AMER EXP CENT BK 1% 11CD FDIC INS DUE 2/25/11		None	J	T	Buy	11/17/09	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Ascertainment W = Estimated	T = Cash Market	

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52. FIRSTMERIT BANK .2% 10 CD FDIC INS DUE 6/16/10		None	J	T	Buy	12/02/09	J		
53. BROKERAGE ACCT #1									
54. SCHWAB MUNI MONEY FUND (I.E. SCHWAB TAX EXEMPT FUND)	A	Dividend	J	T					
55. BROKERAGE ACCT #3									
56. SCHWAB DEPOSIT ACCOUNT	A	Interest	J	T					
57. BROKERAGE ACCT #4									
58. SCHWAB DEPOSIT ACCOUNT	A	Interest	J	T					
59. WACHOVIA BANK NA 2.85% 09 CD FDIC INS DUE 3/30/09	A	Interest			Matured	3/30/09	J		
60. SCHWAB GNMA FUND INVESTOR SHARES	A	Dividend			Buy	7/1/09	J		
61.					Merged (with line 62)	8/10/09	J		
62. SCHWAB GNMA FUND SELECT SHARES	A	Dividend	J	T	Buy	10/27/09	J		
63.									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544