

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KAUFFMAN, BRUCE W	2. Court or Organization W.S. DISTRICT COURT, EASTERN P	3. Date of Report 05/01/2005
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address ROOM 5613, U.S. COURTHOUSE 601 MARKET STREET PHILADELPHIA, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. DIRECTOR	FEDERAL JUDGES ASSOCIATION
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

KAUFFMAN, BRUCE W

Date of Report

05/01/2005

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	PENN MUTUAL LIFE INSURANCE - ANNUITY DISTRIBUTION	\$ 100,000.00
2. 10/31/05	ARTWORK - JOHN OPPER ACCRYLICS ON CANVAS	\$ 1,500
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	SELF-EMPLOYED - MARKETING DESIGN
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. FEDERAL JUDGES ASSOCIATION ANNUAL MEETING	WASHINGTON, D.C., MAY 2005, ANNUAL BOARD MEETING (MEALS AND HOTEL)
2. NEW YORK INTELLECTUAL PROPERTY LAW ASSOCIATION	NEW YORK, NY, MARCH 2005, ANNUAL DINNER WALDORF ASTORIA (MEALS AND HOTEL)
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

KAUFFMAN, BRUCE W

Date of Report

05/01/2005

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. ACS INC.	STUDENT LOAN	K
2. SUNBANK TRUST MORTGAGE	MORTGAGE ON DAUFUSKIE ISLAND, SC PROPERTY HELD FOR INVESTMENT	L
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

KAUFFMAN, BRUCE W

Date of Report

05/01/2005

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PENNSYLVANIA BUSINESS BANK - CHECKING	A	Interest	J	T					
2. CANTONE RESEARCH, INC. - IRA (SEE NOTE 2, 7, 9, 15)	D	Interest							
3. CANTONE RESEARCH, INC. - IRA (SEE NOTE 2, 7, 9, 15)	F	Distribution							
4. CANTONE RESEARCH, INC. - IRA (SEE NOTE 2, 7, 9, 15)	E	Dividend	O	T					
5. - SG HOLDINGS, INC. - BONDS (SEE NOTE 8)					REDEMPTION	7/28	J		
6. - AT&T CORP (SEE NOTE 5)					SELL	04/22	J		
7. - BANK DEPOSIT SWEEP (FORMERLY COMMAND MONEY FUND) -SEE NOTE									
8. - GENERAL ELECTRIC CO. (SEE NOTE 6)					PARTIAL SELL	4/22	J		
9. - JOHN HANCOCK CORE EQUITY FUND CLASS B					SELL	04/22	J		
10. - JOHN HANCOCK SER TR TECHNOLOGY FD CL B					SELL	04/22	J		
11. - GENERAL MOTOR ACCEPTANCE NOTES					PARTIAL SELL	05/09	K		
12. - TECO ENERGY INC									
13. - HEALTHFUSION.COM									
14. - AT&T CORP. (SEE NOTE 5)					MERGER	03/14	J		SEE LINE 6 ABOVE & NOTE 5.
15. - ARTEMIS INTL.									
16. - SG HOLDINGS, INC (SEE NOTE 8)					MERGER	03/14	J		SEE LINE 5 ABOVE & NOTE 8.
17. - COCA COLA CO DELAWARE (SEE									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 O = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting
KAUFFMAN, BRUCE W

Date of Report
05/01/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NOTE 10)									
18. - COMCAST CORP NEW CL A (SEE NOTE 11)					SELL	4/22	J		
19. - INTEL CORP (SEE NOTE 12)									
20. - SELIGMAN COMU&I FDB					PARTIAL SELL	4/22	J		
21. - SAFEGUARD SCIENTIFIC									
22. - IVY INT'L FUND CL A					SELL	5/11	K		
23. - IVY INT'L FUND CLASS B					SELL	5/11	K		
24. - CISCO SYSTEM, INC.					SELL	4/22	J		
25. - EMERGE INTERACTIVE, INC.									
26. - EVERGREEN S ADJRTE A					SELL	5/11	K		
27. - MORGAN STANLEY MTNRO MP									
28. - AT&T CORP (SEE NOTE 5)					MERGER	03/14	J		SEE LINE 6 ABOVE & NOTE 5.
29. - COCA COLA CO. DELAWARE (SEE NOTE 10)					MERGER	03/14	J		SEE LINE 17 & NOTE 10.
30. - COMCAST CORP NEW CL A (SEE NOTE 11)					MERGER	03/14	J		SEE LINE 18 & NOTE 11
31. - GENERAL ELECTRIC (SEE NOTE 6)					MERGER	03/14	M		SEE LINE 8 & NOTE 6
32. - INTEL CORP (SEE NOTE 12)					MERGER	03/14	J		SEE LINE 19 & NOTE 12
33. - PFIZER CORP.					BUY	4/22	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting
KAUFFMAN, BRUCE W

Date of Report
05/01/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. - PFIZER CORP.					SELL	06/02	K		
35. - ADVANTAGE ENERGY TRUST					BUY	12/14	L		
36. - ANNALY MTG-MGMT INC. REIT					BUY	4/22	K		
37. - BONAVISTA ENERGY TRUST					BUY	4/22	K		
38. - BONAVISTA ENERGY TRUST					BUY	5/11	K		
39. - BONAVISTA ENERGY TRUST					BUY	06/03	K		
40. - BONAVISTA ENERGY TRUST					BUY	06/09	K		
41. - BONAVISTA ENERGY TRUST					BUY	09/23	K		
42. - BONAVISTA ENERGY TRUST					SELL	12/14	L		
43. - CAROLINA GROUP					BUY	4/22	K		
44. - CAROLINA GROUP					BUY	06/03	K		
45. - HOSPITALITY PROPERTIES REIT TRUST					BUY	4/22	K		
46. - INTEL CORP.					BUY	4/22	K		
47. - NUVEEN QUALITY PREFERRED INCOME FUND 2					BUY	4/22	K		
48. - SALOMON BROS EMERGING MKTS INCOME II FUND					BUY	4/22	K		
49. CSP DEBT ACCOUNT	F	Distribution	J	U					
50. ROYAL BANK OF PENNSYLVANIA (IRA ACCOUNT) (SEE NOTE 14)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

KAUFFMAN, BRUCE W

Date of Report

05/01/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. ROYAL BANK OF PENNSYLVANIA (IRA ACCOUNT) (SEE NOTE 14)	E	Distribution	J	T					
52. HPH - POPLAR STREET ASSOCIATES	D	Distribution	K	U					
53. K3 LLC		None	J	U					
54. HOLLIDAYSBURG ASSOCIATES, L.P. (X)	B	Distribution	K	U					
55. HOLLIDAYSBURG LAND ASSOCIATES, L.P. (X)		None	J	U					
56. INVESTMENT PROPERTY, DAUFUSKIE ISLAND, SC		None	L	R	PURCHASE	12/27	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 O = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

KAUFFMAN, BRUCE W

Date of Report

05/01/2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) FROM 2004 REPORT, PART VII, PAGE 1, LINE 1 - HUNTINGTON ASSOCIATES (LTD PARTNERSHIP) - THIS IS A FINAL K-1 - THIS WILL NOT CARRYFORWARD ONTO 2005 REPORT.
- 2) FROM 2004 REPORT, PART VII, PAGE 1, LINE 2 - IRA ROLLOVER 1985 - TRANSFERRED ASSETS TO CANTONE RESEARCH, INC. IN MARCH 2005.
- 3) FROM 2004 REPORT, PART VII, PAGE 1, LINE 4 - COMMAND INSURED INCOME ACCOUNT. - THIS ACCOUNT WAS SPECIFICALLY A WACHOVIA BANK HOLDING ACCOUNT. WHEN THE ASSETS WERE TRANSFERRED TO CANTONE RESEARCH, INC. THE CASH FROM THIS ACCOUNT BECAME CASH IN THE CANTONE RESEARCH INC. ACCOUNT.
- 4) FROM 2004 REPORT, PART VII, PAGE 1, LINE 7, LINE 20 AND LINE 37 - COMMAND MONEY FUND - SEE LINE 3 ABOVE FOR EXPLANATION.
- 5) FROM 2004 REPORT, PART VII, PAGE 1, LINE 11 AND LINE 35 - AT&T CORP. WAS CONSOLIDATED WHEN ASSETS WERE TRANSFERRED TO CANTONE RESEARCH (SEE NOTE 2 & 7) THUS, TOTAL VALUE OF SALE IS REPORTED ON LINE 6. FOR 2006 REPORT, NO ASSET WILL BE REPORTED SINCE IT WAS SOLD DURING 2005.
- 6) FROM 2005 REPORT, PART VII, PAGE 1, LINE 8 - GENERAL ELECTRIC CO. - ASSETS MERGED WHEN THEY WERE TRANSFERRED FROM WACHOVIA TO CANTONE RESEARCH, INC. IN MARCH 2005. ONLY LISTED ONCE IN THIS REPORT TO SHOW MERGER. THUS, FROM 2004 REPORT, GENERAL ELECTRIC CO. FROM PART VII, LINE 8, LINE 21 AND LINE 39 MERGED AND THEY ARE LISTED ON LINE 8 IN 2005 REPORT.
- 7) FROM 2004 REPORT, PART VII, PAGE 1, LINE 13 - WACHOVIA - 69 -T6 - TRANSFERRED ASSETS TO IRA ROLLOVER 1985 WHICH WAS LATER TRANSFERRED TO CANTONE RESEARCH, INC. IN MARCH 2005. SEE NOTE 18 FROM 2004 REPORT.
- 8) FROM 2004 REPORT, PART VII, PAGE 1, LINE 17 - SG HOLDINGS, INC. - REDEEMED WITH SG HOLDINGS, INC. LISTED ON PAGE 1, LINE 5 OF 2005 REPORT. SEE ACCOUNT MERGER NOTES 2 AND 6 ABOVE. FOR 2006 REPORT, ASSET WILL ONLY BE LISTED ONCE.
- 9) FROM 2004 REPORT, PART VII, LINE 34 - WACHOVIA -51-T6 - WAS ROLLED OVER INTO IRA ACCOUNT. ON THE 2005 REPORT, THE SECURITIES LISTED UNDER THIS ACCOUNT WILL APPEAR UNDER THE IRA 1985 ACCOUNT (PART VII, LINE 2,3, 4) AND THIS ACCOUNT WILL BE DELETED FROM THE REPORT. SEE NOTE 11 FROM 2004 REPORT. IRA 1985 ACCOUNT WAS TRANSFERRED IN MARCH 2005 TO CANTONE RESEARCH, INC.
- 10) FROM 2004 REPORT, PART VII, LINE 35 - COCA COLA CO. DELAWARE - ASSETS MERGED WHEN ACCOUNT WAS TRANSFERRED. FOR 2006 REPORT, ASSET WILL ONLY BE LISTED ONCE.
- 11) FROM 2004 REPORT, PART VII, LINE 38 - COMCAST CORP. NEW CL A - ASSETS MERGED WHEN ACCOUNT WAS TRANSFERRED. FOR 2006 REPORT, NO ASSET WILL BE REPORTED SINCE IT WAS SOLD DURING 2005.
- 12) FROM 2004 REPORT, PART VII, LINE 40 - INTEL CORP. - ASSETS MERGED WHEN ACCOUNT WAS TRANSFERRED. FOR 2006 REPORT, ASSET WILL ONLY BE LISTED ONCE.
- 13) FROM 2004 REPORT, PART VII, PAGE 3, LINE 45 - CITIZENS BANK OF PENNSYLVANIA - ACCOUNT CLOSED 5/2004. THUS, THERE IS NO VALUE REPORTED. FURTHERMORE, THIS ACCOUNT WILL NOT BE CARRIED FORWARD TO NEXT YEARS REPORT.
- 14) FROM 2005 REPORT, PART VII, LINE 38 - ROYAL BANK OF PENNSYLVANIA (IRA ACCOUNT) - ACCOUNT CLOSED 10/13/2005 - WILL NOT BE REPORTED ON 2006 ANNUAL REPORT.
- 15) FROM 2005 REPORT, PART VII, LINE 2, LINE 3 & LINE 4 - ALL THE SAME ACCOUNT. THE ACCOUNT IS BROKEN OUT TO SHOW DIFFERENT CLASSES OF INCOME. TOTAL VALUE OF ACCOUNT IS REPORTED ON LINE 4.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

KAUFFMAN, BRUCE W

Date of Report

05/01/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/11/06

NO PERSON WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544