

AO-10

Rev. 1/2004

**FINANCIAL DISCLOSURE REPORT**

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                              |                                                                                                                                                                                                                                 |                                                         |
|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| 1. Person Reporting (Last name, First name, Middle initial)<br>ASPEN, MARVIN E                                                               | 2. Court or Organization<br>U.S. DISTRICT COURT                                                                                                                                                                                 | 3. Date of Report<br>5/10/2004                          |
| 4. Title (Article III Judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>UNITED STATES DISTRICT J | 5. Report Type (check appropriate type)<br><br><input type="radio"/> Nomination,      Date<br><input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final                                 | 6. Reporting Period<br><br>1/1/2003<br>to<br>12/31/2003 |
| 7. Chambers or Office Address<br>219 SOUTH DEARBORN STREET<br>ROOM 2578<br>CHICAGO, IL 60604                                                 | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____ |                                                         |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions)☐ NONE - (No reportable positions.)

| <u>POSITION</u>             | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------------------|------------------------------------|
| 1. AMERICAN BAR ASSOCIATION | HOUSE OF DELEGATES                 |
| 2. _____                    | _____                              |

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions)☒ NONE - (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1. _____    | _____                    |

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**FINANCIAL DISCLOSURE REPORT**Name of Person Reporting  
ASPEN, MARVIN EDate of Report  
5/10/2004**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u>      | <u>GROSS INCOME</u><br>(yours, not spouse's) |
|----|-------------|-----------------------------|----------------------------------------------|
| 1. | 2003        | STATE OF ILLINOIS (PENSION) | 18818.52                                     |

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|----|-------------|------------------------|
| 1. | 2003        | [REDACTED] (Salary)    |
| 2. | 2003        | [REDACTED] (Salary)    |

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

|    | <u>SOURCE</u>            | <u>DESCRIPTION</u>                              |
|----|--------------------------|-------------------------------------------------|
| 1. | AMERICAN BAR ASSOCIATION | Travel-related expenses/coach air; hotel; meals |
| 2. |                          | 1/3-4 Reno, NV)                                 |
| 3. |                          | 2/7-9 (Seattle, WA)                             |
| 4. |                          | 5/2-4 (Aspen, CO)                               |
| 5. |                          | 5/30-31 (Galveston, TX)                         |
| 6. |                          | 8/7-10 (San Francisco, CA)                      |
| 7. |                          | 11/6 (Washington, DC)                           |

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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## II. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                    |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|------------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                    |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code<br>1 (A-<br>H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                               |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                    |                                                                   |
| 1. BANK ONE, NA, formerly FIRST NAT'L BANK<br>OF CHGO (AGGREGATE S)                                                         | A                                       | Interest                                    | K                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 2. PARK NATIONAL BANK OF CHGO<br>(CHECKING ACCT)                                                                            | A                                       | Interest                                    | J                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 3. AMERICAN TELEPHONE & TELEGRAPH CO.<br>(COMMON STOCK)                                                                     | A                                       | Dividend                                    | J                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 4. EXXON MOBIL CORPORATION (COMMON<br>STOCK)                                                                                | B                                       | Div                                         | K                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 5. PACIFIC GAS & ELECTRIC CO. (COMMON<br>STOCK)                                                                             | A                                       | Dividend                                    | J                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 6. PFIZER, INC. (COMMON STOCK)                                                                                              | C                                       | Div                                         | M                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 7. R.J. REYNOLDS-NABISCO CO. (COMMON<br>STOCK)                                                                              | A                                       | Div                                         | J                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 8. COMMERCIAL BUILDING, NORTHBROOK, IL<br>(LTD PTNRSH)                                                                      | D                                       | Dividend                                    | L                                               | U                                         |                                                           |                                |                                 |                                    |                                                                   |
| 9. SBC COMMUNICATIONS (COMMON STOCK)                                                                                        | B                                       | Div                                         | K                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 10. BELL SOUTHERN (COMMON STOCK)                                                                                            | B                                       | Div                                         | K                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 11. QUEST, formerly U.S. WEST (COMMON STOCK)                                                                                |                                         |                                             |                                                 |                                           | Sold                                                      | 3/3                            | J                               |                                    |                                                                   |
| 12. I.D.S. FINANCIAL SERVICES (*MUTUAL FUND)                                                                                | A                                       | Div                                         | J                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |
| 13. BSV (LIMITED PARTNERSHIP)                                                                                               | F                                       | Dividend                                    | L                                               | U                                         |                                                           |                                |                                 |                                    |                                                                   |
| 14. Microsoft Corp.                                                                                                         | A                                       |                                             | J                                               |                                           |                                                           |                                |                                 |                                    |                                                                   |
| 15. NASDAQ 100 TRUST SERI                                                                                                   | A                                       | Dividend                                    | J                                               | T                                         |                                                           |                                |                                 |                                    |                                                                   |

|                                                  |                                                                                   |                                                    |                                                                                         |                                                           |                       |
|--------------------------------------------------|-----------------------------------------------------------------------------------|----------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------------------------|-----------------------|
| 1. Income/Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001-\$100,000                                     | B = \$1,001-\$2,500<br>G = \$100,001-\$1,000,000   | C = \$2,501-\$5,000<br>H1 = \$1,000,001-\$5,000,000                                     | D = \$5,001-\$15,000<br>H2 = More than \$5,000,000        | E = \$15,001-\$50,000 |
| 2. Value Codes:<br>(See Columns C1 and D3)       | J = \$15,000 or less<br>N = \$250,000-\$500,000<br>P3 = \$25,000,001-\$50,000,000 | K = \$15,001-\$50,000<br>O = \$500,001-\$1,000,000 | L = \$50,001-\$100,000<br>P1 = \$1,000,001-\$5,000,000<br>P4 = \$5,000,001-\$25,000,000 | M = \$100,001-\$250,000<br>P2 = \$25,000,001-\$50,000,000 |                       |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                   | R = Cost (Real Estate Only)<br>V = Other           | S = Assessment<br>W = Estimated                                                         | T = Cash/Market                                           |                       |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/10/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544