

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Wingate, Henry T	2. Court or Organization U.S.D.C. (Miss. Southern)	3. Date of Report 05/15/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) District Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 245 East Capitol St., Ste 430 Jackson, MS 39201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ *NONE (No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1 Trustee	Grinnell College, Grinnell, Iowa; Member of Board of Trustees as of May, 2000
2 Executive Committee Member	Yale Law School Executive Alumni Board, New Haven, CT; Committee Member as of September 2000
3 Adjunct Professor	Mississippi College School of Law, Jackson, MS, as of January 2001
4	
5	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ *NONE (No reportable agreements)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1	
2	
3	

RECEIVED
2010 MAY 25 A 10:30
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT
Page 2 of 8

Name of Person Reporting
Wingate, Henry T.

Date of Report
05/15/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1		
2.		
3.		
4		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1	
2.	
3.	
4	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1	Ginnell College	February 5-8	Ginnell, Iowa	Ginnell Board Meeting	Lodging and some meals
2	FREE Foundation	June 21-24	Bozeman MT	Conference	Transportation, meals, lodging
3	George Mason Univ Law & Economics Center	11/30 - 12/6	Captiva, FL	Program on Science in the Courts	Transportation, meals, lodging
4					
5					

FINANCIAL DISCLOSURE REPORT
Page 3 of 8

Name of Person Reporting
Wingate, Henry T.

Date of Report
05/15/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1		
2		
3		
4		
5		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1		
2		
3		
4		
5		

FINANCIAL DISCLOSURE REPORT
Page 4 of 8

Name of Person Reporting
Wingate, Henry T.

Date of Report
05/15/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 Trustmark Nat'l Bank, Jackson, MS (CDs/Savings/IRA)	A	Interest	K	T					
2 Prudential Life Ins	B	Interest	L	U					
3 PaineWebber (Boston Finan Qual. Housing Tax Credits)	A	Interest	J	W					
4 IRA-1									
5 Rj Bank (f/k/a Money Market Mut.Fund/Hentage Cash Trust)	A	Interest	J	T					
6 Franklin/Temple Mutual Beacon (IRA)	A	Dividend	J	T					
7 Raymond James Money Market Mut Fund -Credit Interest Program	A	Interest	J	U					
8 Lsi Logic Corp (f/k/a Agere Systems, Inc.	A	Dividend	J	T					
9 Coca Cola Company	A	Dividend	J	T					
10 Exxon Mobil Corp	A	Dividend	J	T					
11 General Electric Company	A	Dividend	J	T					
12 Walt Disney Company	A	Dividend	J	T					
13 Lincoln Nat'l Legacy Ann.		None	K	T					
14 Franklin Temple Mutual Beacon Fund	B	Dividend	K	T					
15 Fundamental Investors Fund	B	Dividend	J	T					
16 Growth Fund of America	A	Dividend	K	T					
17 Income Fund of America Class C	C	Dividend	L	T					

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal L = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 5 of 8

Name of Person Reporting
Wingate, Henry T.

Date of Report
05/15/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Franklin Income Fund Class C	C	Dividend	L	T					
19. Franklin Rising Dividends Class C	B	Dividend	J	T					
20. Mutual Series Shares Class C	B	Dividend	J	T					
21. Mutual Series Discovery Class C	B	Dividend	J	T					
22. Templeton Growth Class C	A	Dividend	J	T					
23. Templeton Global Bond Fund Class C	B	Dividend	K	T					
24. Franklin Templeton China World Fund	A	Dividend	J	T					
25. Templeton Global Smaller Companies Growth Class C	A	Dividend	J	T					
26. Pershing IRA	B	Int./Div.	K	T	Buy	09/29/09	J		
27. REAL ESTATE:									
28. - Parcel 1, Jackson, Hinds County, MS		None	K	W					
29. - Parcel 2, Jackson, Hinds County, MS	C	Rent	K	Q					
30. - Parcel 3, Jackson, Hinds County, MS	C	Rent	K	W					
31. - Parcel 4, Jackson, Hinds County, MS	D	Rent	L	Q					
32. - Parcel 6, Jackson, Hinds County, MS	D	Rent	L	Q					
33. - Parcel 7, Jackson, Hinds County, MS	B	Rent	L	Q					
34. - Parcel 8, Jackson, Hinds County, MS	B	Rent	K	W					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	F = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	P = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 6 of 8

Name of Person Reporting
Wingate, Henry T.

Date of Report
05/15/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35 - Parcel 9, Jackson, Hinds County, MS	D	Rent	K	W					

1. Income Item Codes (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Wingate, Henry T.

Date of Report

05/15/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part III-B Non-Investment Income: [REDACTED] None to report for 2009 [REDACTED]

Real Estate

Line 29: Parcel 2, Jackson, Hinds County, MS:
Date of Appraisal: September 12, 1992Line 31: Parcel 4, Jackson, Hinds County, MS
Date of Appraisal: November 20, 1992Line 32: Parcel 6, Jackson, Hinds County, MS
Date of Appraisal: April 21, 1994Line 33: Parcel 7, Jackson, Hinds County, MS:
Date of Appraisal: April 21, 1994

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Wingate, Henry T.

Date of Report

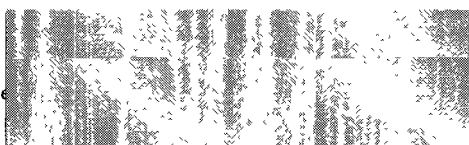
05/15/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N E.
Washington, D.C. 20544