

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKENNA, LAWRENCE M.	2. Court or Organization Southern District of New York	3. Date of Report 06/03/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States District Court 500 Pearl Street (1640) New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADMINISTRATOR

ESTATE [REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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FINANCIAL
DISCLOSURE OFFICE

McKenna, Lawrence M

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Self-employed (lawyer)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK (Checking)		None	J	T					
2. EMIGRANT SAVINGS BANK (Savings)	A	Interest	J	T					
3. UBS PAINE WEBBER RET. MONEY F UND	A	Interest	J	T					
4. LORD ABBETT INCOME FUND CLASS C (FUND)	A	Interest	J	T					
5. LORD ABBETT GROWTH OPPORTUN ITIES (FUND)		None	J	T					
6. ALTRIA (Common)	A	Dividend	J	T					
7. IBM (Common)	A	Dividend	J	T					
8. BIOSONICS (Common)		None	J	T					
9. MCI WORLD (Common)		None	J	T					
10. SONORAN (Common)		None	J	T					
11. MERCK (Common) (Stock Invest. Plan)	A	Dividend	J	T					
12. CISCO SYSTEMS (Common)		None	J	T					
13. AVAYA (Common)		None	J	T					
14. ASTROPOWER (Common)		None	J	T					
15. FIDELITY CASH RESERVES	A	Interest			Sold	4/28	L	A	
16. FIDELITY STRUCTURED MIDCAP GRO WTH (FUND)		None			Sold	3/5	J	A	
17. MONSANTO (Common)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)					
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EASTMAN KODAK (Common) (Stock Inv. Plan)		None	J	T					
19. H.J. HEINZ (Common) (Stock Inv. Plan)		None	J	T					
20. FIDELITY STRUCTURED MIDCAP (FUND)		None			Sold	3/5	J	A	
21. FIDELITY GROWTH & INCOME II (FUND)	A	Dividend			Sold	3/5	J	A	
22. FIDELITY CONTRA FUND (FUND)	A	Dividend			Sold	3/5	J	A	
23. FEDEX (Common) (Stock Invest. Plan)	A	Dividend	J	T					
24. EXXON MOBIL (Common)	A	Dividend	J	T					
25. HONDA MOTORS (Common)	A	Dividend	J	T					
26. GOOGLE (Common)		None	K	T					
27. FIDELITY INT'L DISCOVERY (FUND)	A	Dividend			Sold	3/5	J	A	
28. ALCATEL-LUCENT (ADR)		None	J	T					
29. WILLIAM BLAIR INT'L GROWTH FUND (FUND)	A	Dividend	J	T					
30. DAVIS NY VENTURE FUND (FUND)	A	Dividend	J	T					
31. DELAWARE INT'L VALUE EQUITY FUND (FUND)	A	Dividend	J	T					
32. RS SMALLER CO. GROWTH FUND (FUND)		None			Sold	7/25	J	A	
33. T. ROWE PRICE VALUE FUND (FUND)	A	Dividend	J	T					
34. JANUS ADVISOR FORTY FUND (FUND)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	P4 = More than \$50,000,000	T = Cash Market

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35. GAMCO GROWTH FUND (FUND)		None	J	T					
36. DWS CORE PLUS INCOME FUND (FUND)	A	Dividend	J	T					
37. PIMCO HIGH YIELD FUND (FUND)	A	Dividend	J	T					
38. AMERICAN CENTURY INT'L (BOND)	A	Dividend	J	T					
39. ROYCE TOTAL RETURN FUND (FUND)	A	Dividend	J	T					
40. CITIBANK DEPOSIT PROGRAM	A	Interest	J	T					
41. SB MONEY FUNDS CASH PORT. (FUND)	A	Dividend	J	T					
42. DUPONT 4.50 PFD	A	Dividend	J	T					
43. FIRST ENERGY (Common)	A	Dividend	J	T					
44. KRAFT (Common)	A	Dividend	J	T					
45. LSI LOGIC (Common)		None	J	T					
46. FIDELITY INT'L DISCOVERY (Fund)	A	Dividend			Sold	3/5	J	A	
47. DREYFUS PREMIER EMERGING MARKETS (Fund)	A	Dividend	J	T					
48. FIRST BANK OF PUERTO RICO (CD)	B	Interest			Matured	2/14	K		
49. CAPMARK BANK (CD)	A	Interest			Matured	7/25	J		
50. COUNTRYWIDE BANK (CD)	A	Interest			Matured	12/12	K		
51. ADVANTA BANK (CD)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less B = \$1,001 - \$100,000 C = \$100,001 - \$1,000,000 D = \$1,000,001 - \$5,000,000 E = \$5,001 - \$15,000 F = \$15,001 - \$50,000 G = \$50,001 - \$100,000 H = \$100,001 - \$250,000 I = \$250,001 - \$500,000 J = \$500,001 - \$1,000,000 K = \$1,000,001 - \$5,000,000 L = \$5,000,001 - \$25,000,000 M = \$25,000,001 - \$50,000,000 N = \$50,000,001 - \$100,000,000 O = \$100,000,001 - \$500,000,000 P = \$500,000,001 - \$1,000,000,000 Q = \$1,000,000,001 - \$5,000,000,000 R = \$5,000,000,001 - \$10,000,000,000 S = \$10,000,000,001 - \$50,000,000,000 T = \$50,000,000,001 - \$100,000,000,000 U = \$100,000,000,001 - \$500,000,000,000 V = \$500,000,000,001 - \$1,000,000,000,000 W = \$1,000,000,000,001 - \$5,000,000,000,000 X = \$5,000,000,000,001 - \$10,000,000,000,000 Y = \$10,000,000,000,001 - \$50,000,000,000,000 Z = \$50,000,000,000,001 - \$100,000,000,000,000	2. Value Codes: (See Columns C1 and D3)	A = \$1,000 or less B = \$1,001 - \$100,000 C = \$100,001 - \$1,000,000 D = \$1,000,001 - \$5,000,000 E = \$5,001 - \$15,000 F = \$15,001 - \$50,000 G = \$50,001 - \$100,000 H = \$100,001 - \$250,000 I = \$250,001 - \$500,000 J = \$500,001 - \$1,000,000 K = \$1,000,001 - \$5,000,000 L = \$5,000,001 - \$25,000,000 M = \$25,000,001 - \$50,000,000 N = \$50,000,001 - \$100,000,000 O = \$100,000,001 - \$500,000,000 P = \$500,000,001 - \$1,000,000,000 Q = \$1,000,000,001 - \$5,000,000,000 R = \$5,000,000,001 - \$10,000,000,000 S = \$10,000,000,001 - \$50,000,000,000 T = \$50,000,000,001 - \$100,000,000,000 U = \$100,000,000,001 - \$500,000,000,000 V = \$500,000,000,001 - \$1,000,000,000,000 W = \$1,000,000,000,001 - \$5,000,000,000,000 X = \$5,000,000,000,001 - \$10,000,000,000,000 Y = \$10,000,000,000,001 - \$50,000,000,000,000 Z = \$50,000,000,000,001 - \$100,000,000,000,000	3. Value Method Codes: (See Column C2)	A = Appraisal B = Broker's Opinion of Value C = Cost (Real Estate Only) D = Fair Market Value E = Liquidation Value F = Liquidation Value G = Liquidation Value H = Liquidation Value I = Liquidation Value J = Liquidation Value K = Liquidation Value L = Liquidation Value M = Liquidation Value N = Liquidation Value O = Liquidation Value P = Liquidation Value Q = Liquidation Value R = Liquidation Value S = Liquidation Value T = Liquidation Value U = Liquidation Value V = Liquidation Value W = Liquidation Value X = Liquidation Value Y = Liquidation Value Z = Liquidation Value
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52. PIMCO TOTAL RETURN FUND (FUND)	A	Dividend	J	T					
53. PHILIP MORRIS (Common)	A	Dividend	J	T	Spmoff (from line 6)	3/31	K		
54. ALLIANZ 8.375% (BOND)	A	Interest	K	T	Buy	6/3	K		
55. HARDING LOEVNER EMERGING MA RKETS (FUND)	A	Dividend	J	T	Buy	6/6	J		
56. HARDING LOEVNER EMERGING MA RKETS (FUND)	A	Dividend	J	T	Buy	7/24	J		
57. LORD ABBETT DEVELOPING GROW TH (FUND)	A	Dividend	J	T	Buy	7/24	J		
58. LORD ABBETT AFFILIATED (FUND)	A	Dividend	J	T	Buy	7/24	J		
59. BANK OF BARODA (CD)	A	Interest	K	T	Buy	8/26	K		
60. FIRST REGIONAL BANK-CA (CD)	A	Interest	J	T	Buy	5/21	J		
61. CITIGROUP 8.50% (PFD)	A	Interest	K	T	Buy	5/6	K		
62. NATIONAL CITY BANK OH (CD)	A	Interest	K	T	Buy	8/26	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The estate listed in I.1 has no assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544